2 Paper Track: Assessment Option (GA5)

Select one of the following topics (see Section 2.2), and (i) identify, (ii) analyze, and (iii) synthesize modern approaches for the topic you choose.

Identify For this assignment you will need to find an appropriate number of papers to discuss in detail. Though the final number that you select is highly dependent on, among other things, which topics you choose, the length of the papers, and their venues, a reasonable number of papers is between five and ten. This range does not constitute required minimums or maximums.

You may read many more papers than you discuss in detail. Do not view this as "wasted" effort—these should help inform the overall narrative and context for your discussion.

- **Analyze** Ask and answer fundamental research questions: what were the goals of each of the papers? What scientific and engineering questions did each of the tackle? How well did the evaluations support the main claims? What was not done that could have been done?
- **Synthesize** How do the efforts relate to one another? Do they follow one after another, making (incremental) progress on a task (metric)? Does one question some basic assumptions of another, and if so, how do the other papers fit in? What are the limitations of these approaches, and what still remains to be done? You can also **link these papers and ideas to related fields**.

Within the Paper Track, you will be primarly evaluated primarily on the completeness, thoroughness, and clarity of your paper. Grammatical, logical, organizational, or factual errors will be negatively impact the score. Weak or lacking analysis and synthesis will also be large negative influences on the score.

Requirements Papers should be four pages, not including references, in the ACL format. Paper **must** use the ACL style guide; both LAT_FX and Microsoft Word (docx) versions are at

https://csee.umbc.edu/courses/undergraduate/473/f23/content/materials/ acl-style-files/

Be sure to cite appropriately and follow all academic honesty standards. You may include figures (your own, reproductions, or copies of existing figures); be sure to provide appropriate credit for the figures. However, make the figures count: do not include them simply to pad the paper. Do not consider just "recent" papers; try to find papers from the past 25 years.

2.1 Milestones for (GA5)

This paper has three milestones prior to the final submission. All milestones must be met for full credit.

- **Milestone 1: Selection of Option, Topic, and Initial list of papers** This is due Monday October 9th by 11:59 PM. Decide on a topic and compile a list of at least five relevant papers. You do not need to have read the papers but you *should* have read the abstracts. Your topic choice and list of papers (in a PDF) should be submitted to the submission site. This list should not be your complete or final list of papers you'll read or consult: it is meant as a starting point. You may also remove papers from this list when you actually write your paper.
- Milestone 2: Initial version of the paper This is due Monday November 6th by 11:59 PM. Despite it being "initial," this must be a complete, well-written paper. Although this submission will not solely comprise your grade, it should be a paper that you would find acceptable for determining your grade for the course's paper component. To receive full credit, your paper must be a legitimate and full response to the prompt. Submit via the submission site, https://www.csee.umbc.edu/courses/undergraduate/473/f23/submit, selecting "GA: Milestone 2." You must turn in:

- an ANONYMIZED PDF of the paper,
- the paper's source (such that we could regenerate the PDF).

Your anonymized PDF will be provided to other students to review (see Milestone 3); your source will not be shared. You may also provide a written description of what, if any, writing assistance you received (e.g., the GSA Writing Advisor).

Milestone 3: Paper Peer Review This is due Friday November 17th by 11:59 PM. In this process, you will receive up to two other students' papers; you must provide feedback on the breadth, depth, and clarity of exposition. Reviewing forms and guides will be provided. To receive full credit for the reviews, you must provide constructive and civil reviews (a guide will be provided).

This review will be "double-blind:" as a reviewer, you will not know whose papers you are reviewing, and as an author, you will not know who your reviewers are. This is why it is important for the Milestone 1 papers to be anonymized. All paper-reviewer identities will be known to course staff.

- Final version of the paper This is due Friday December 8th by 11:59 PM. This must be a complete, well-written paper. These should be submitted to the submission site, https://www.csee.umbc.edu/courses/undergraduate/473/f23/submit, selecting "GA: Final Turn-in." You must turn in:
 - a NON-ANONYMIZED PDF of the paper,
 - a PDF document discussing the changes made, both as a result of the reviews/feedback and along with any unprompted changes, and
 - the paper's source (such that we could regenerate the PDF).

As with the initial submission, you may also provide a written description of what, if any, writing assistance you received (e.g., the GSA Writing Advisor).

2.2 Topics

Please select a topic from the three listed below. With consultation of the instructors, you may propose your own, separate topic.

2.2.1 Hierarchical/Advanced Language Modeling

For this topic, you will examine advanced and/or hierarchical approaches to language modeling. Examples include (modified) Kneser-Ney smoothing [Chen and Goodman (1999)], syntactic and/or semantic language models [Chelba and Jelinek (1998)], topic models [Blei et al. (2003)]; Teh et al. (2006)], hierarchical Bayesian language models [MacKay and Peto (1995)]; Teh (2006)], and neural language models.

2.2.2 Grounded Language Processing

For this topic, you will examine how non-language signals (e.g., image or audio features) can help NLP tasks, how NLP tasks/models can improve understanding/analysis of those non-language signals, or both. For example, the task of (sequential) image captioning or video summarization involves producing natural language output that describes what is happening in those input images or videos. Visual question answering (and its variants) requires systems to answer questions about an image with a sunny sky in the background, answer the question "does it look like it's going to rain?" Meanwhile, tasks involving conversational and dialogue agents (e.g., Google Now, or Alexa) may need to take in spoken language input and perform some action (including generating "spoken" language output) based on it.

2.2.3 Structured Prediction for NLP

For this topic, you will examine *structured prediction* for a single task, or a significant, relevant aspect of that task. Roughly, structured prediction is any task that given an input, produces some object or label with an internal structure. This is in contrast to prediction tasks that simply predict a single, "flat" label, without any decomposable or introspective structure. We've already briefly talked about two instances of structured prediction—machine translation/alignment and part of speech tagging—and we'll see more as we get further along in the semester. Canonical examples of structured prediction problems include, but are not limited to: (a) syntactic parsing (constituency or dependency); (b) machine translation; (c) semantic parsing (including FrameNet, PropBank, AMR, and VerbNet parsing); (d) structured information extraction (such as template-based slot filling as in the ACE Relation Extraction task); (e) ontology induction; and (f) entity coreference or cross-document entity linking. Generally, "bags-of-items" models do not arise in structured prediction tasks. Tasks like question answering, recognizing/determining textual entailment, and sentiment analysis may or may not involve structured prediction.² Your paper is not restricted to the preceding items; they are offered as suggestions.

2.2.4 Computational Methods for Linguistic Subfields

For this topic you will examine how computational/statistical models are developed to better explain (or mimic) linguistic phenomena/subfields. For example, you could explore computational approaches to phonology, morphology, syntax, semantics, or pragmatics—or any combination, e.g., morphosyntax, syntactic-semantic, phonology/morphology, typology, etc. (Try searching the ACL Anthology for those terms.)

2.2.5 Natural Language Generation

For this topic you will examine methodological and/or evaluation approaches for generating natural language. Classic examples of natural language generation include machine translation and abstractive summarization. There's an entire SIG on generation (SIGGEN) and conference (INLG) devoted to it.

2.2.6 Ethical Issues and Bias in NLP

For this topic you will explore ethical concerns (and approaches for dealing with them) in NLP, and/or issues of implicit/explicit bias in NLP models. For example, this briefly appeared in some of the associations identified by Church and Hanks (1989). More recently you may have heard about how for a while Google Translate would always translate the Turkish sentence "o bir doktor" using the masculine pronoun "he" even though that Turkish sentence is gender neutral (this particular case has now been addressed). There are workshops on Ethics and gender bias in NLP. There is also a more general growing community called FAT/ML (Fairness, Accountability, and Transparency in Machine Learning). You can look through the associated proceedings (but make sure there's an NLP component).

2.2.7 NLP for <Your Area>

For this topic you would survey how NLP can be used in an area of study of interest to you. For instance, there are special interest groups (called SIGs) for NLP for the humanities, Semitic languages, and biomedical applications—among many others. Look at the "SIGs" row in the main table at a https://aclweb.org/anthology/.

 $^{^{2}}$ Although sentiment analysis often asks for a single label at the end, the internal classification may operate over structures. That is, the final flat label may be the result of some internal, latent structured prediction.

Workshops (https://aclweb.org/anthology/venues/ws/) also often offer targeted application and interest areas, for example in the legal domain, patents, economics, and others!

2.2.8 Your Choice!

Unsatisfied with any of the above options? Then feel free to pick your own topic. The requirement is that you *must* clear it with the instructor first and it must have a significant relevance to material covered in this course.

Where to Start

You may analyze any papers read in class or as part of the assignments. You are welcome and encouraged to come talk with course staff, either during office hours, Discord, or by appointment to discuss topics, advice on finding relevant papers, and the direction of your paper.

Google Scholar is an easy way to find linked and cited papers. Another great resource is the ACL Anthology (http://aclanthology.info/), which archives papers by conferences (e.g., ACL, EACL, NAACL, NAACL), journals (CL, TACL), and workshops by year.³ It also offers multiple custom searches: for example, searching "distributed representations" returns papers for crosslingual word representations (C12-1089), representations for relational patterns (P16-1215), and representations for semantic role labeling (D15-1295). Note that in NLP, conferences, and even workshops, are preferred to journals; conference reviewing can be just as, if not more intense and selective, as journal reviews. NLP conferences and workshops are almost always peer-reviewed and archival (meaning they are "finished" publications).

The AAAI digital library also offers an extensive listing of AI-based conferences and proceedings. Of particular relevance are the flagship AAAI, ICML (International Conference on Machine Learning), and KDD (Knowledge Discovery and Data Mining) proceedings. Papers from NeurIPS (Neural Information Processing Systems) often tend to the more theoretical, but with a decided focus on neural networks.

The following is a very small listing of potential starting papers:

- 1. Hinton (1986): "Learning Distributed Representations of Concepts"
- 2. Brown et al. (1992): "Class-based *n*-gram models of natural language"
- Rosenfeld (1994): "Adaptive Statistical Language Modeling: A Maximum Entropy Approach", Chapters 5-8
- 4. Kneser and Ney (1995): "Improved backing-off for *m*-gram language modeling"
- Bengio et al. (2003): "A Neural Probabilistic Language Model"
- 6. Ando and Zhang (2004): "A Framework for Learning Predictive Structures from Multiple Tasks and Unlabeled Data"

- 7. Rosenfeld (2004): "Two Decades of Statistical Language Modeling: Where Do We Go From Here?"
- 8. Mnih and Hinton (2008): "A Scalable Hierachical Distributed Language Model"
- 9. Graves (2013): "Generating Sequences with Recurrent Neural Networks"
- Graves and Jaitly (2014): "Towards End-to-End Speech Recognition with Recurrent Neural Networks"
- 11. Devlin et al. (2014): "Fast and Robust Neural Network Joint Models for Statistical Machine Translation"

³Paper ids generally have the form XYY-ZZZZ, where X is a single letter identifier (P is the main ACL, D is for EMNLP, Q is for TACL, etc.), YY are the final two digits of the year (2018 \rightarrow 18), and ZZZZ is a per-proceedings identifier.