## Graph Theory

## Chapter 9

## Graphs

* A graph consists of nodes and edges
* The set of all nodes (or vertices) in a graph is $V$
* The set of all edges in a graph is $E$
* Each edge connects one or two vertices (called its' endpoints)

* A graph is formally represented $(V, E)$
*We will (usually) stick to simple graphs


## Simple Graphs

* No loops
* Endpoints of an edge are distinct
* No multiple edges
* between the same two vertices



## Directed Graphs

* A directed edge, or arc connects two vertices, but has a start and end
* Formally an arc $(u, v)$ starts at $u$ and ends at $v$

* A graph with directed edges is a directed graph or digraph


## Seven Bridges of Königsberg



## Traveling Salesman Problem



## Terminology

* Two vertices $u$ and $v$ in an undirected graph $G$ are neighbors if there exists an edge $e$ in $G$ which connects $u$, and $v$
* Equivalently, $u$ and $v$ are said to be adjacent if there exists an edge which connects them
* The set of all neighbors of $u$ ( $u$ 's neighborhood) is $N(u)$
* This is defined similarly for sets of vertices



## Degree

* If an edge $e$ has vertex $u$ as one of its' endpoints, $e$ is incident with $u$
* The degree of a node $u, \operatorname{deg}(u)$, is the count of the number of edges incident with $u$
* A node with degree 0 is isolated



## In/Out -Degree

* In directed graphs, separate degrees
* In-Degree: \# of edges ending at $u$ $\operatorname{deg}^{-}(u)$
* Out-Degree: \# of edges starting at $u$ $\operatorname{deg}^{+}(u)$
* Vertices with in-degree 0 are sources
* Vertices with out-degree 0 are sinks

$$
\sum_{v \in V} \operatorname{deg}^{-}(v)=\sum_{v \in V} \operatorname{deg}^{+}(v)=|E|
$$



## Representations

## Graph ADT

* $V=$ set of vertices
$E=$ set of edges
* Three operations
* getDegree(u)
* getAdjacent(u)
* isAdjacentTo(u, v)


## Graph Representations

* Adjacency list
* For each vertex, list the adjacent vertices
* Good for sparse graphs with few edges
* Adjacency matrix
* 2D matrix of 1s and 0s
* 1 iff there is an edge from $i$ to $j$
* Good for dense graphs with many edges


## Graph Representations

Graph


Adjacency List

| $V$ | Adj. |
| :---: | :---: |
| 1 | $1,2,5$ |
| 2 | $1,3,5$ |
| 3 | 2,4 |
| 4 | $3,5,6$ |
| 5 | $1,2,4$ |
| 6 | 4 |


| $V$ | 1 | 2 | 3 | 4 | 5 | 6 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 1 | 1 | 1 | 0 | 0 | 1 | 0 |
| 2 | 1 | 0 | 1 | 0 | 1 | 0 |
| 3 | 0 | 1 | 0 | 1 | 0 | 0 |
| 4 | 0 | 0 | 1 | 0 | 1 | 1 |
| 5 | 1 | 1 | 0 | 1 | 0 | 0 |
| 6 | 0 | 0 | 0 | 1 | 0 | 0 |

## Directed Graphs



Adjacency Matrix

| $V$ | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 1 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |
| 2 | 0 | 0 | 0 | 0 | 1 | 1 | 0 |
| 3 | 1 | 1 | 0 | 1 | 0 | 0 | 0 |
| 4 | 0 | 0 | 0 | 0 | 1 | 0 | 1 |
| 5 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| 6 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| 7 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Practice


Practice


## Performance of List vs Matrix

|  | Space | getDegree $(u)$ | isAdjacentTo $(u, v)$ | getAdjacent $(u)$ |
| :---: | :---: | :---: | :---: | :---: |
| Adjacency <br> List | $O(V+E)$ | $O(\mathrm{D}(u))$ | $O(\mathrm{D}(u))$ | $O(\mathrm{D}(u))$ |
| Adjacency <br> Matrix | $O\left(V^{2}\right)$ | $O(V)$ | $O(1)$ | $O(V)$ |

## Paths

* A path (sometimes a walk) is a series of edges which starts at a vertex, travels from vertex to vertex along edges and ends at some vertex
* Formally a path $p$ is a set of edges s.t.
$p$. start $=e_{0}$. start
$p$. end $=e_{n}$. end
$e_{n}$. end $=e_{n+1}$.start
* If $p$. start $=p$.end, then $p$ is a circuit (cycle)

* If no edge is repeated, the path is simple


## Cyclic vs Acyclic

* Directed graphs can be cyclic or acyclic
* Cyclic: contains a cycle
* Acyclic: does not contain any cycles



## Cycle Practice



## Cycle Practice



## How many cycles are there?



## Graph Search

## Depth-First Search (DFS)

* Visit some start vertex
* Follow an edge to a vertex which hasn't been explored
*Visit that vertex
*Follow an edge from that vertex to another unexplored vertex
* If there are no edges to choose from, backtrack to the previous vertex



## DFS



Order visited: $a, b, c, g, h, d, k, j, f, e, i, m, l$

## A Recursive DFS Algorithm

procedure $\operatorname{DFS}\left(G\right.$ : connected graph with vertices $\left.v_{1}, v_{2}, \ldots, v_{n}\right)$
$T:=$ tree consisting only of the vertex $v_{1} f$ $\operatorname{visit}\left(v_{1}\right)$
procedure visit(v: vertex of $G$ )
for each vertex $w$ adjacent to $v$ and not yet in $T$
add vertex $w$ and edge $\{v, w\}$ to $T$
visit(w)

Practice


## Breadth-First Search

* Visit some start vertex
* Visit all neighbors of the start vertex
* Visit all those neighbors' neighbors
* Repeat until all vertices visited



Order visited: $\mathrm{a}, \mathrm{b}, \mathrm{e}, \mathrm{c}, \mathrm{f}, \mathrm{i}, \mathrm{g}, \mathrm{j}, \mathrm{m}, \mathrm{h}, \mathrm{k}, \mathrm{l}, \mathrm{d}$

## A (non-recursive) BFS Algorithm

procedure $B F S$ ( $G$ : connected graph with vertices $v_{1}, v_{2}, \ldots, v_{n}$ )
$T:=$ tree consisting only of vertex $v_{1}$
$L:=$ empty list
put $v_{1}$ in the list $L$ of unprocessed vertices
while $L$ is not empty
remove the first vertex, $v$, from $L$
for each neighbor $w$ of $v$
if $w$ is not in $L$ and not in $T$ then add $w$ to the end of the list $L$ add $w$ and edge $\{v, w\}$ to $T$

## Shortest-Path Problems

## Shortest Path

*The length of a path is the number of edges in it

* Many problems try to find the shortest path between two vertices
* Flights with the fewest stopovers
* Driving directions with few instructions
* Can use DFS or BFS



## Practice



## Weighted Graphs

* Add a weight to every edge
* weights are normally "costs"
* Length of a path is the sum of the weights of each edge



## Dijkstra's Algorithm

* Finds shortest path between $X$ and $Z$ in $O\left(n^{2}\right)$ time
* Greedy algorithm:
* Start with $C=\{X\}$
* Search the neighbors of $C$ to find next closest node to $X$ not in $C$

* Add it to C
* Repeat until $Z$ is the next closest node to $X$


## Dijkstra's Algorithm



## Dijkstra's Algorithm


\{X,c\}, 2

## Dijkstra's Algorithm


$\{\mathrm{X}, \mathrm{c}\}, 2$
\{X,c,e\}, 12

## Dijkstra's Algorithm


$\{\mathrm{X}, \mathrm{c}\}, 2$
\{X,c,e\}, 12

## Dijkstra's Algorithm



## Dijkstra's Algorithm



## Dijkstra's Algorithm



## Practice

* Find the shortest path from $g$ to $f$ using Djikstra's Algorithm



## Connectivity

## Network Reliability

* What would happen if my router in NY went offline? If CA got knocked out?
* I often want there to always be a path available between all the nodes in my graph



## Connectedness

* Two nodes are connected if there exists a path between them
* Otherwise they are disconnected
* If every pair of nodes in a graph is connected, then the whole graph is connected
* Otherwise it is disconnected
* If a graph is connected, then there exists a simple path between every pair of vertices in the graph



## Connected vs Disconnected



## Connected Components

* A part of a graph which is disconnected from all other parts is called a connected component
* Formally, a connected subgraph of $G$ which is not a proper subgraph of any other connected subgraph of $G$ is a connected component
* A connected graph has 1 connected component
* A disconnected graph has 2+


## Directed Graphs

* The underlying undirected graph of a digraph is the same graph, minus directions
* A digraph is weakly connected if the underlying undirected graph is connected
* i.e. if the digraph is "in one piece"



## Strongly Connected



* A digraph $G$ is strongly connected if for every pair of vertices $a, b \in G$, there exists a path from $a$ to $b$ and from $b$ to $a$
* Note: this includes the pair $a, a$


Practice


## Strongly Connected Components

* Analogous to connected components
* Maximal subgraphs of $G$ which are strongly connected i.e. they are not contained within any other such strongly connected subgraphs
* We can compress a digraph into a directed acyclic graph by reducing it to a graph of its strongly connected components
* Treat all nodes in a SCC as interchangeable
* Model how to hop from one SCC to another


## Strongly Connected Components



## Euler and Hamilton Paths/Cycles

## Euler Paths and Circuits

* Euler Path: path in $G$ which uses every edge exactly once
* can visit a node more than once
* Euler Circuit: Euler path which is also a circuit



## Practice



## Practice



## Hamilton Paths and Circuits

* Hamilton Path: path visiting every node exactly once
* each edge can only be used once

* Hamilton Circuit: hamilton path which is also a circuit



## Practice



## Traveling Salesperson Problem

* Traveling Salesperson Problem (TSP) or Traveling Salesman Problem
* Find the shortest hamilton cycle
* i.e. visiting every node once and returning to the start



## Traveling Salesperson Problem

*This is an NP-hard problem

* There are ( $n-1$ )!/2 Hamilton cycles
* $O(n!)$ to compute exhaustively
* FedEx pays lots and lots of money for improvements on this problem
* Often okay to find an approximate solution

| Route | Total |
| :---: | :---: |
| D - T - GR - S - K - D | 610 |
| D - T - GR - K - S - D | 516 |
| D - T - K - - GR - D | 588 |
| D - T - K - GR - S - D | 458 |
| D - T - S K - GR - D | 540 |
| D - T - - GR - K - D | 504 |
| D - S - T - GR - K - D | 598 |
| D - S - - K - GR - D | 576 |
| D - S - K - GR - T - D | 682 |
| D - S - GR - T - - D | 646 |
| D - GR - S - - K - D | 670 |
| D - GR - T - S - - D | 728 |

## Spanning Trees

Section 9.5

## Spanning Tree



## Finding Spanning Trees

* Trees are acyclic, graphs aren't
* Remove edges to break up cycles
* Keep graph connected


Practice


## Minimum Spanning Trees

* Weighted graphs



## Prim's Algorithm

```
procedure Prim (G)
```

$T:=$ a minimum weight edge
for $i:=1$ to $n-2$
$e:=$ an edge of minimum weight, incident to a vertex in $T$, not forming a cycle in $T$
$T:=T$ with $e$ added
return $T$


## Kruskal's Algorithm

procedure Kruskal (G)
$T:=$ an empty graph
for $i:=1$ to $n-1$
$e:=$ any edge of minimum weight in $G$, not forming a cycle if added to $T$
$T:=T$ with $e$ added
return $T$


