# First-Order Logic & Higher-Order Logic, Knowledge-Based Agents



# Bookkeeping

- HW4 out
- Designs will be graded this week read the comments!
- Today's class:
- Review/finalize propositional logic
- Converting to CNF
- First-order logic
- Knowledge-based agents

# First-Order Logic

Chapter 8

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#### Review

- Definitions:
  - Syntax, Semantics, Sentences, Propositions, Entails, Follows, Derives, Inference, Sound, Complete, Model, Satisfiable, Valid (or Tautology), etc.
- Syntactic Transformations:
  - E.g.,  $(A \Rightarrow B) \Leftrightarrow (\neg A \lor B)$
- Truth Tables
  - Negation, Conjunction, Disjunction, Implication, Equivalence (Biconditional)
  - Inference by Model Enumeration



# Review: Logic

- If a problem domain can be represented formally, then a decision maker can use logical reasoning to make rational decisions
- Many types of logic
  - Propositional Logic (Boolean logic)
  - First-Order Logic (aka first-order predicate calculus)
  - Non-Monotonic Logic
  - Markov Logic

#### • A logic includes:

- syntax: what is a correctly-formed sentence?
- semantics: what is the meaning of a sentence?
- Inference procedure (reasoning, entailment): what sentence logically follows given knowledge?

# **Review: Propositional Logic**

- A symbol in Propositional Logic (PL) is a symbolic variable whose value must be either True or False, and which stands for a natural language statement that could be either true or false
  - A = "Smith has chest pain"
  - B = "Smith is depressed"
  - C = "It is raining"

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## **Review: Semantics**

- An interpretation is a complete True / False assignment to all propositional symbols
  - Example symbols: P means "It is hot", Q means "It is humid", R means "It is raining"
  - There are 8 interpretations (TTT, ..., FFF)
- The semantics (meaning) of a sentence is the set of interpretations in which the sentence evaluates to True
- Example: the semantics of the sentence P V Q is the set of 6 interpretations:
  - P=True, Q=True, R=True or False
  - P=True, Q=False, R=True or False
  - P=False, Q=True, R=True or False
- A model of a set of sentences is an interpretation in which all the sentences are true

# Review: Knowledge Base (KB)

- A knowledge base, KB, is a set of sentences
  - Example KB:
  - HaveLecture ⇔ (TodayIsTuesday ∨ TodayIsThursday)
  - ¬HaveLecture
- It is equivalent to a single long sentence: the conjunction of all sentences
  - (HaveLecture ⇔ (TodayIsTuesday ∨ TodayIsThursday)) ∧ ¬HaveLecture
- A model of a KB is an interpretation in which all sentences in KB are true

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#### **Review: Entailment**

- Entailment is the relation of a sentence β logically following from other sentences α (e.g., KB): α ⊨ β
- α ⊨ β if and only if, in every interpretation in which α is true, β is also true; i.e., whenever α is true, so is β
- Deduction theorem:  $\alpha \models \beta$  if and only if  $\alpha \Rightarrow \beta$  is valid (always true)
- Proof by contradiction (refutation, reductio ad absurdum): α ⊨ β if and only if α ∧ ¬β is unsatisfiable
- There are 2<sup>*n*</sup> interpretations to check, if KB has *n* symbols











#### Review: Equivalence & Implication Equivalence is a conjoined double implication • $(X \Leftrightarrow Y) = [(X \Rightarrow Y) \land (Y \Rightarrow X)]$ Implication is (NOT antecedent OR consequent) • • $(X \Longrightarrow Y) = (\neg X \lor Y)$ How do we know this is true? • We can always use a truth table: ¬p V q q ¬p $p \Rightarrow q$ р Т F Т Т Т F F Т F F F Т Т Т Т F F Т Т Т

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# Review: de Morgan's rules

- - (1) Negate everything inside the parentheses
  - (2) Change operators to "the other operator"
- $\neg(X \land Y \land ... \land Z) = (\neg X \lor \neg Y \lor ... \lor \neg Z)$
- $\neg(X \lor Y \lor ... \lor Z) = (\neg X \land \neg Y \land ... \land \neg Z)$

#### Review: Boolean Distributive Laws

- Both of these laws are valid:
- AND distributes over OR
  - $X \land (Y \lor Z) = (X \land Y) \lor (X \land Z)$
  - $(W \lor X) \land (Y \lor Z) = (W \land Y) \lor (X \land Y) \lor (W \land Z) \lor (X \land Z)$
- OR distributes over AND
  - $X \lor (Y \land Z) = (X \lor Y) \land (X \lor Z)$
  - $(W \land X) \lor (Y \land Z) = (W \lor Y) \land (X \lor Y) \land (W \lor Z) \land (X \lor Z)$



#### Conjunctive Normal Form (CNF)

- 1. Replace all  $\Leftrightarrow$  using iff/biconditional elimination
  - $\alpha \Leftrightarrow \beta \equiv (\alpha \Rightarrow \beta) \land (\beta \Rightarrow \alpha)$
- 2. Replace all  $\Rightarrow$  using implication elimination
  - $\alpha \Rightarrow \beta \equiv \neg \alpha \lor \beta$
- 3. Move all negations inward using
  - double-negation elimination
    - $\neg(\neg \alpha) \equiv \alpha$
  - de Morgan's rule
    - $\neg(\alpha \lor \beta) \equiv \neg\alpha \land \neg\beta$
    - $\neg(\alpha \land \beta) \equiv \neg \alpha \lor \neg \beta$
- 4. Apply distributivity of V over  $\Lambda$ 
  - $\alpha \land (\beta \lor \gamma) \equiv (\alpha \land \beta) \lor (\alpha \land \gamma) + 1$  more









#### First-Order Logic First-order logic (FOL) models the world in terms of Objects, which are things with individual identities **Properties** of objects that distinguish them from other objects **Relations** that hold among sets of objects Functions, which are a subset of relations where there is only one "value" for any • given "input" Examples: Objects: students, lectures, companies, cars ... • Relations: brother-of, bigger-than, outside, part-of, has-color, occurs-after, • owns, visits, precedes, ... Properties: blue, oval, even, large, ... • Functions: father-of, best-friend, second-half, one-more-than ... •

#### **User Provides**

- Constant symbols, which represent individuals in the world
  - Mary
  - 3
  - Green
- Function symbols, which map individuals to individuals
  - father-of(Mary) = John
  - color-of(Sky) = Blue
- Predicate symbols, which map individuals to truth values
  - greater(5,3)
  - green(Grass)
  - color(Grass, Green)

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# **FOL** Provides

#### • Variable symbols

- E.g., x, y, foo
- Connectives
  - Same as in PL: not (¬), and (∧), or (∨), implies (⇒), if and only if (biconditional ↔)

#### Quantifiers

- Universal  $\forall x \text{ or } (Ax)$
- Existential ∃x or (Ex)

### Sentences: Terms and Atoms

- A term (denoting a real-world individual) is:
  - A constant symbol: John, or
  - A variable symbol: x, or
  - An n-place function of n terms
    - x and  $f(x_1, ..., x_n)$  are terms, where each  $x_i$  is a term is-a(John, Professor)
  - A term with no variables is a ground term. •
- An **atomic sentence** is an n-place predicate of n terms
  - Has a truth value (t or f) •



#### First-Order Logic (FOL Propositional logic assumes the world contains facts. First-order logic (like natural language) assumes the world contains Objects: people, houses, numbers, colors, baseball games, wars, ... Functions: father of, best friend, one more than, plus, ... · Function arguments are objects; function returns an object **Objects generally correspond to English NOUNS** Predicates/Relations/Properties: red, round, prime, brother of, bigger than, part of, between... • · Predicate arguments are objects; predicate returns a truth value Predicates generally correspond to English VERBS · First argument is generally the subject, the second the object Hit(Bill, Ball) usually means "Bill hit the ball." • Likes(Bill, IceCream) usually means "Bill likes IceCream." Verb(Noun1, Noun2) usually means "Noun1 verb noun2."



# Syntax of FOL: Variables

- Variables range over objects in the world.
- A variable is like a term because it represents an object.
- A variable may be used wherever a term may be used.
  - Variables may be arguments to functions and predicates.
- (A term with NO variables is called a ground term.)
- (A variable not bound by a quantifier is called free.

### Syntax of FOL: Basic syntax elements are symbols

- Constant Symbols (correspond to English nouns)
  - Stand for objects in the world. E.g., KingJohn, 2, France, ...
- Predicate Symbols (correspond to English verbs)
  - Stand for relations (maps a tuple of objects to a truth-value)
    - E.g., Brother(Richard, John), greater\_than(3,2), ...
- Function Symbols (correspond to English nouns)
  - Stand for functions (maps a tuple of objects to an object)
    - E.g., Sqrt(3), LeftLegOf(John), ...
- Model (world) = set of domain objects, relations, functions
- Interpretation maps symbols onto the model (world)
  - Very many interpretations are possible for each KB and world!
  - Job of the KB is to rule out models inconsistent with our knowledge.



Syntax of FOL: Basic elements	
KingJohn, 2, UMBC,	
BrotherOf, >,	(return true or false)
Sqrt, LeftLegOf,	(return some object)
x, y, a, b,	
∀,∃	
$\neg$ , $\land$ , $\lor$ , $\Rightarrow$ , $\Leftrightarrow$ (standard)	
= (but causes difficulties)	
	<b>DL: Basic elemen</b> KingJohn, 2, UMBC, BrotherOf, >, Sqrt, LeftLegOf, x, $y$ , $a$ , $b$ , $\forall$ , $\exists$ $\neg$ , $\land$ , $\lor$ , $\Rightarrow$ , $\Leftrightarrow$ (standa) = (but causes difficulties)



# Quantifiers

#### Universal quantification

- $\forall x P(x)$  means that P holds for **all** values of x in its domain
- States universal truths
- E.g.:  $\forall x \ dolphin(x) \Rightarrow mammal(x)$

#### **Existential** quantification

- $\exists x P(x)$  means that P holds for **some** value of x in the domain associated with that variable
- Makes a statement about some object without naming it
- E.g.,  $\exists x \ mammal(x) \land lays-eggs(x)$





# Sentences: Well-Formedness

- A well-formed formula (wff) is a sentence containing no "free" variables. That is, all variables are "bound" by universal or existential quantifiers.
- (∀x)P(x,y) has x bound as a universally quantified variable, but y is free.



# Quantifiers: Uses of $\exists$

- Existential quantifiers are usually used with "and" to specify a list of properties about an individual:
  - ( $\exists x$ ) student(x)  $\land$  smart(x)
  - "There is a student who is smart"
- A common mistake is to represent this English sentence as the FOL sentence:
  - $(\exists x)$  student $(x) \Rightarrow$  smart(x)
  - But what happens when there is a person who is not a student?

# Translation with Quantifiers

- Universal statements typically use implications
  - All S(x) is P(x):
    - $\forall x(S(x) \Rightarrow P(x))$
  - No S(x) is P(x):
    - $\forall x( S(x) \Rightarrow \neg P(x) )$
- Existential statements typically use conjunctions
  - Some S(x) is P(x):
    - $\exists x (S(x) \land P(x))$
  - Some S(x) is not P(x):
    - $\exists x (S(x) \land \neg P(x))$

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# **Quantifier Scope**

- Switching the order of universal quantifiers does not change the meaning:
  - $(\forall x)(\forall y)P(x,y) \leftrightarrow (\forall y)(\forall x) P(x,y)$
- Similarly, you can switch the order of existential quantifiers:
  - $(\exists x)(\exists y)P(x,y) \leftrightarrow (\exists y)(\exists x) P(x,y)$
- Switching the order of universals and existentials does change meaning:
  - Everyone likes someone:  $(\forall x)(\exists y)$  likes(x,y)
  - Someone is liked by everyone:  $(\exists y)(\forall x)$  likes(x,y)

# Connections between All and Exists

- We can relate sentences involving  $\forall$  and  $\exists$  using De Morgan's laws:
  - $(\forall x) \neg P(x) \leftrightarrow \neg(\exists x) P(x)$
  - $\neg(\forall x) P \leftrightarrow (\exists x) \neg P(x)$
  - $(\forall x) P(x) \leftrightarrow \neg(\exists x) \neg P(x)$
  - $(\exists x) P(x) \leftrightarrow \neg(\forall x) \neg P(x)$

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# **Quantified Inference Rules**

- Universal instantiation
  - ∀x P(x) ∴ P(A)
- Universal generalization
  - $P(A) \land P(B) \dots \therefore \forall x P(x)$
- Existential instantiation
  - $\exists x P(x) \therefore P(F)$
- ← skolem constant F
- Existential generalization
  - P(A) ∴ ∃x P(x)

#### Universal Instantiation (a.k.a. Universal Elimination)

- If (∀x) P(x) is true, then P(C) is true, where C is any constant in the domain of x
- Example:
  - $(\forall x) \text{ eats}(\text{Ziggy, } x) \Rightarrow \text{eats}(\text{Ziggy, IceCream})$
- The variable symbol can be replaced by any ground term, i.e., any constant symbol or function symbol applied to ground terms only

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#### Existential Instantiation (a.k.a. Existential Elimination)

- Variable is replaced by a brand-new constant
  - I.e., not occurring in the KB
- From  $(\exists x) P(x)$  infer P(c)
  - Example:
    - $(\exists x) \text{ eats}(Ziggy, x) \Rightarrow \text{ eats}(Ziggy, Stuff)$
  - "Skolemization" create a new term that instantiates existence
- Stuff is a skolem constant
- · Easier than manipulating the existential quantifier

#### Existential Generalization (a.k.a. Existential Introduction)

- If P(c) is true, then  $(\exists x) P(x)$  is inferred.
- Example
  - eats(Ziggy, IceCream)  $\Rightarrow$  ( $\exists$ x) eats(Ziggy, x)
- All instances of the given constant symbol are replaced by the new variable symbol
- Note that the variable symbol cannot already exist anywhere in the expression

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# Translating English to FOL

- Every gardener likes the sun.
  - $\forall x \text{ gardener}(x) \Rightarrow \text{likes}(x, \text{Sun})$
- You can fool some of the people all of the time.
  - $\exists x \forall t \text{ person}(x) \land time(t) \Rightarrow can-fool(x,t)$
- You can fool all of the people some of the time.
  - $\forall x \exists t (person(x) \Rightarrow time(t) \land can-fool(x,t)) \prec$
  - $\forall x (person(x) \Rightarrow \exists t (time(t) \land can-fool(x,t)) \implies Equivalent$
- All purple mushrooms are poisonous.
  - $\forall x (mushroom(x) \land purple(x)) \Rightarrow poisonous(x)$





# Semantics of FOL

- **Domain M:** the set of all objects in the world (of interest)
- Interpretation I: includes
  - Assign each constant to an object in M
  - Define each function of n arguments as a mapping M<sup>n</sup> => M
  - Define each predicate of n arguments as a mapping M<sup>n</sup> => {T, F}
  - Therefore, every ground predicate with any instantiation will have a truth value
  - In general there is an infinite number of interpretations because |M| is infinite
- **Define logical connectives:** ~, ^, v, =>, <=> as in PL
- Define semantics of (∀x) and (∃x)
  - $(\forall x) P(x)$  is true iff P(x) is true under all interpretations
  - $(\exists x) P(x)$  is true iff P(x) is true under some interpretation

## Terminology

- Model: an interpretation of a set of sentences such that every sentence is True
- A sentence is
  - Satisfiable if it is true under some interpretation
  - Valid if it is true under all possible interpretations
  - **Inconsistent** if there does not exist any interpretation under which the sentence is true
- Logical consequence: S ⊨ X if all models of S are also models of X

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# Axioms, Definitions and Theorems

- Axioms are facts and rules that attempt to capture all of the (important) facts and concepts about a domain; axioms can be used to prove **theorems** 
  - Mathematicians don't want any unnecessary (dependent) axioms –ones that can be derived from other axioms
  - Dependent axioms can make reasoning faster, however
  - Choosing a good set of axioms for a domain is a kind of design problem
- A definition of a predicate is of the form "p(X) ↔ …" and can be decomposed into two parts
  - Necessary description: " $p(x) \Rightarrow ...$ "
  - Sufficient description "...  $\Rightarrow p(x)$ "
  - Some concepts don't have complete definitions (e.g., person(x))

#### **Necessary and Sufficient**

- p is necessary for q
  - $\neg p \Rightarrow \neg q$  ("no p, no q!")
- p is **sufficient** for q
  - $p \Rightarrow q$  ("p is all we need to know!")
- Note that  $\neg p \Rightarrow \neg q$  is equivalent to  $q \Rightarrow p$
- So if p is necessary and sufficient for q, then p iff q.



# More on Definitions Examples: define father(x, y) by parent(x, y) and male(x) parent(x, y) is a necessary (but not sufficient) description of father(x, y) father(x, y) ⇒ parent(x, y) parent(x, y) ^ male(x) ^ age(x, 35) is a sufficient (but not necessary) description of father(x, y): father(x, y) ← parent(x, y) ^ male(x) ^ age(x, 35) parent(x, y) ^ male(x) is a necessary and sufficient description of father(x, y) parent(x, y) ^ male(x) ↔ father(x, y)

# Converting FOL to CNF

- Eliminate biconditionals and implications
- Move ¬ inwards
- Standardize variables apart by renaming them: each quantifier should use a different variable
- **Skolemize:** each existential variable is replaced by a Skolem constant or Skolem function of the enclosing universally quantified variables.
  - For instance,  $\exists x \operatorname{Rich}(x)$  becomes  $\operatorname{Rich}(G1)$  where G1 is a new Skolem constant
  - "Everyone has a heart" [∀x Person(x) ⇒ ∃y Heart(y)∧Has(x,y)] becomes
     ∀x Person(x) ⇒ Heart(H(x)) ∧ Has(x, H(x)), where H is a new symbol (Skolem function)
- Drop universal quantifiers
  - For instance, ∀ x Person(x) becomes Person(x).
- Distribute ∧ over ∨

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## Summary: First Order Logic (FOL)

- Uses the same logical symbols as Propositional Logic (PL)
- Adds: variables, quantification, predicates and functions
  - Names of terms: constants, variables, predicates, functions
- Existential and universal quantifiers can be used to create rules
- Need to be able to translate English to and from FOL
- Some extensions...

# Higher-Order Logic

- FOL only allows to quantify over variables, and variables can only range over objects.
- HOL allows us to quantify over relations
- Example: (quantify over functions)
  - "two functions are equal iff they produce the same value for all arguments"
  - $\forall f \forall g (f = g) \leftrightarrow (\forall x f(x) = g(x))$
- Example: (quantify over predicates)
  - $\forall r \text{ transitive}(r) \Rightarrow (\forall xyz) r(x,y) \land r(y,z) \Rightarrow r(x,z))$
- More expressive, but undecidable.



# **Expressing Uniqueness**

- Sometimes we want to say that there is a single, unique object that satisfies a certain condition
- "There exists a unique x such that king(x) is true"
  - $\exists x \operatorname{king}(x) \land \forall y \operatorname{(king}(y) \Rightarrow x=y)$
  - $\exists x \operatorname{king}(x) \land \neg \exists y \operatorname{(king}(y) \land x \neq y)$
  - ∃! x king(x)
- "Every country has exactly one ruler"
  - $\forall c \text{ country}(c) \Rightarrow \exists ! r \text{ ruler}(c,r)$
- lota operator: "ι x P(x)" means "the unique x such that p(x) is true"
  - "The unique ruler of Freedonia is dead"
  - dead(i x ruler(freedonia,x))

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#### Exercise: FOL translation 1. Everything is bitter or sweet. 8. No frog is green. 2. Either everything is bitter or 9. Some frogs are not green. everything is sweet. 10. A mechanic likes Bob. 3. There is somebody who is 11. A mechanic likes herself. loved by everyone. 12. Every mechanic likes Bob. 4. Nobody is loved by no one. 13. Some mechanic likes every 5. If someone is noisy, everybody nurse. is annoyed 14. There is a mechanic who is 6. Frogs are green. liked by every nurse. 7. Frogs are not green. Exercises: disi.unitn.it/~bernardi/Courses/LSNL/Slides/fl1.pdj

## Exercise: FOL translation

- 1.  $\forall x \text{ (bitter(x)} \lor \text{sweet(x))}$
- 2.  $\forall x \text{ (bitter(x))} \lor \forall x \text{ (sweet(x))}$
- 3.  $\exists x \forall y (loves(y,x))$
- 4.  $\neg \exists x \neg \exists y (loves(y,x))$
- 5.  $\exists x (noisy(x)) \Rightarrow \forall y(annoyed(y))$
- 6.  $\forall x \text{ (frog}(x) \Rightarrow \text{green}(x))$
- 7.  $\forall x (frog(x) \Rightarrow \neg green(x))$

- 8.  $\neg \exists x (frog(x) \land green(x))$
- 9.  $\exists x (frog(x) \land \neg green(x))$
- 10.  $\exists x \text{ (mech.(x)} \land \text{likes(x, Bob))}$
- 11.  $\exists x (mech.(x) \land likes(x, x))$
- 12.  $\forall x \text{ (mech.(x)} \Rightarrow \text{likes(x, Bob))}$
- 13.  $\exists x \forall y (mech(x) \land nurse(y)$ ⇒ likes(x, y))
- 14.  $\exists x (mech(x) \land \forall y (nurse(y)$  $<math>\Rightarrow likes(y, x))$

Exercises: disi.unitn.it/~bernardi/Courses/LSNL/Slides/fl1.pdf