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## Questions?

- Bread-first, depth-first, uniform cost search
- Generation and expansion
- Goal tests
- Queueing function
- Complexity, completeness, and optimality
- Heuristic functions (for informed search)
- Admissibility

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## Formalizing Search: III

- Solution: a sequence of operators...
- Giving a path
- Through state space
- From a start node to a goal node
- Solution cost: sum of arc costs on solution path - If all arcs have the same cost, then the solution cost $=$ the length of the solution


## Homework 1

- Blackboard is open! Check access before tomorrow
- See corrections in Piazza:
- Point values in III. 2 should be 3, 6, and 9
- Your PDF file should contain parts I, II, and IV
- Example return in III.1.(b) should be in brackets:
- lottery() $\Rightarrow[75,235,7,100]$
- Common Mistakes:
- Don't print additional information
- Functions should return or print, not both
- No extra arguments or return values
- Return or output things in the order and format specified

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## Formalizing Search: Review

- A state space is a graph (V, E):
- V is a set of nodes (states)
- $E$ is a set of arcs (agent operations/actions)
- State space contains all possible states


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## Formalizing Search: IV

- State-space search: searching through a state space for a solution
- By making explicit a sufficient portion of an implicit state-space graph to find a goal node
- Initially $\mathrm{V}=\{\mathrm{S}\}$, where S is the start node
- When S is expanded, its successors are generated; those nodes are added to $V$ and the arcs are added to E
- This process continues until a goal node is found
- It isn't usually practical to represent entire space


## Formalizing Search: V

- Each node implicitly or explicitly represents a partial solution path from start node to itself
- (And a cost!)
- In general, from a node there are many possible paths (and therefore solutions) that have this partial path as a prefix


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## Generation vs. Expansion

- Selecting a state means making that node current
- Expanding the current state means applying every legal action to the current state
- Which generates a new set of nodes


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## Some Issues

- Return a path or a node depending on problem
- In 8-queens return a node
- 8 -puzzle return a path
- What about Sheep \& Wolves?
- Changing definition of Queueing-Function $\rightarrow$ different search strategies
- How do you choose what to expand next?*
* All of search is answering this question!


## State-Space Search Algorithm

function general-search (problem, QUEUEING-FUNCTION)
; ; problem describes start state, operators, goal test,
; ; and operator costs
; queueing-function is a comparator function that
ranks two states
; ; returns either a goal node or failure
nodes $=$ MAKE-QUEUE(MAKE-NODE(problem.INITIAL-STATE)) loop
if EMPTY(nodes) then return "failure" node $=$ REMOVE-FRONT(nodes)
if problem.GOAL-TEST(node.STATE) succeeds then return node
nodes = QUEUEING-FUNCTION(nodes, EXPAND(node, problem.OPERATORS)
end
; Note: The goal test is NOT done when nodes are generated ;; Note: This algorithm does not detect loops

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## Key Procedures

- EXPAND
- Generate all successor nodes of a given node
- "What nodes can I reach from her (by taking what actions)?"
- GOAL-TEST
- Test if state satisfies goal conditions
- QUEUEING-FUNCTION
- Maintain a ranked list of nodes that are expansion candidates . "What should I explore next?"

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## Review: Characteristics

- Completeness: Is the algorithm guaranteed to find a solution (if one exists)?
- Optimality: Does it find the optimal solution?
- (The solution with the lowest path cost of all possible solutions)
- Time complexity: How long does it take to find a solution? (\# of nodes expanded/visited)
- Space complexity: How much memory is needed to perform the search? (max \# of nodes in list)


## Uninformed vs. Informed Search

- Uninformed (aka "blind") search
- Use no information about the "direction" of the goal node(s)
- No way tell know if we're "doing well so far"

Breadth-first, depth-first, depth-limited, uniform-cost, depth-first iterative deepening, bidirectional

- Informed (aka "heuristic") search (next class)
- Use domain information to (try to) (usually) head in the general direction of the goal node(s)
- Hill climbing, best-first, greedy search, beam search, A, A*

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## Breadth-First

- Enqueue nodes in FIFO (first-in, first-out) order
- Characteristics:

Complete (meaning?)
Optimal (i.e., admissible) if all operators have the same cost
Otherwise, not optimal but finds solution with shortest path length
Exponential time and space complexity, $O\left(b^{d}\right)$, where:

- $d$ is the depth of the solution
- $b$ is the branching factor (number of children) at each node
- Takes a long time to find long-path solutions


[^0]
## Why Apply Goal Test Late?

- Why does it matter when the goal test is applied (expansion time vs. generation time)?
- Optimality and complexity of the algorithms are strongly affected!




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## Breadth-First: Analysis

- Takes a long time to find long-path solutions
- Must look at all shorter length possibilities first
- A complete search tree of depth $d$ where each non-leaf node has $b$ children:
$1+b+b^{2}+\ldots+b^{d}=\left(b^{d+1}-1\right) /(b-1)$ nodes
- What if we expand nodes when they are selected?
- Checks a lot of short-path solutions quickly

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## Depth-First (DFS)

- Enqueue nodes in LIFO (last-in, first-out) order
- That is, nodes used as a stack data structure to order nodes
- Characteristics:
- Might not terminate without a "depth bound"
- I.e., cutting off search below a fixed depth D ("depth-limited search")
- Not complete

Infinite search spaces?

- With or without cycle detection, and with or without a cutoff depth
- Exponential time, O(bd ${ }^{\mathrm{d}}$, but only linear space, O(bd)


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## Breadth-First: O(Example)

$\mathbf{1}+\mathbf{b}+\mathbf{b}^{2}+\ldots+\mathbf{b}^{\mathbf{d}}=\left(b^{\mathrm{d}+1}-1\right) /(b-1)$ nodes

- Tree where: $\mathrm{d}=12$
- Every node at depths $0, \ldots, 11$ has 10 children ( $b=10$ )
- Every node at depth 12 has 0 children
$\cdot 1+10+100+1000+\ldots+10^{12}=\left(10^{13-1}\right) / 9=\mathrm{O}\left(10^{12}\right)$ nodes in the complete search tree
- If BFS expands 1000 nodes $/ \mathrm{sec}$ and each node uses 100 bytes of storage
- Will take 35 years to run in the worst case
- Will use 111 terabytes of memory

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## DFS



## Depth-First (DFS): Analysis

- DFS:
- Can find long solutions quickly if lucky
- And short solutions slowly if unlucky
- When search hits a dead end
- Can only back up one level at a time*
- Even if the "problem" occurs because of a bad operator choice near the top of the tree
- Hence, only does "chronological backtracking"
* Why?


## Uniform-Cost (UCS)

- Enqueue nodes by path cost:

Let $\mathrm{g}(\mathrm{n})=$ cost of path from start node to current node n
Sort nodes by increasing value of $g$
Identical to breadth-first search if all operators have equal cost

- "Dijkstra's Algorithm" in algorithms literature
- "Branch and Bound Algorithm" in operations research literature
- Complete (*)
- Optimal/Admissible (*)

Admissibility depends on the goal test being applied when a node is removed
from the nodes list, not when its parent node is expanded and the node is from the nodes list, not when its parent node is expanded and the node is first generated

- Exponential time and space complexity, $\mathrm{O}\left(\mathrm{b}^{\mathrm{d}}\right)$


## UCS Implementation

- For each frontier node, save the total cost of the path from the initial state to that node
- Expand the frontier node with the lowest path cost
- Equivalent to breadth-first if step costs all equal
- Equivalent to Dijkstra's algorithm in general

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Depth-First Iterative Deepening (DFID)

1. DFS to depth 0 (i.e., treat start node as having no successors)
2. Iff no solution, do DFS to depth 1


- Complete
- Optimal/Admissible if all operators have the same cost Otherwise, not optimal, but guarantees finding solution of shortest length
- Time complexity is a little worse than BFS or DFS
- Nodes near the top of the tree are generated multiple times Because most nodes are near the bottom of a tree, worst case time complexity is still exponential, $\mathrm{O}(\mathrm{bd})$


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## Depth-First Iterative Deepening

- If branching factor is $b$ and solution is at depth $d$, then nodes at depth $d$ are generated once, nodes at depth $d-1$ are generated twice, etc.
- Hence $b^{d}+2 b^{(d-1)}+\ldots+d b \leq b^{d} /(1-1 / b)^{2}=O\left(b^{d}\right)$.
- If $b=4$, then worst case is $1.78 * 4^{\text {d }}$, i.e., $78 \%$ more nodes searched than exist at depth d (in the worst case).
- Linear space complexity, O(bd), like DFS
- Has advantage of both BFS (completeness) and DFS (limited space, finds longer paths more quickly)
- Generally preferred for large state spaces where solution depth is unknown


## Iterative deepening search (c=2)



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Example for Illustrating Search Strategies



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## Comparing Search Strategies

| Breadth first search: | Complete | Optimal | Time complexity | Space complexity |
| :---: | :---: | :---: | :---: | :---: |
|  | yes | yes | $\mathrm{O}\left(\mathrm{b}^{\text {d }}\right.$ ) | $\mathrm{O}\left(\mathrm{b}^{\text {d }}\right.$ ) |
| Depth first search | no | no | $\mathrm{O}\left(\mathrm{b}^{\mathrm{m}}\right)$ | $\mathrm{O}(\mathrm{bm})$ |
| Depth limited search | if $1>=\mathrm{d}$ | no | $\mathrm{O}\left(\mathrm{b}^{1}\right)$ | $\mathrm{O}(\mathrm{bl})$ |
| depth first iterative deepening search | yes | yes | $\mathrm{O}\left(\mathrm{b}^{\text {d }}\right.$ ) | $\mathrm{O}(\mathrm{bd})$ |
| bi-directional search | yes | yes | $\mathrm{O}\left(\mathrm{b}^{\mathrm{d} / 2}\right)$ | $\mathrm{O}\left(\mathrm{b}^{\mathrm{d} / 2}\right)$ |

[^1]
## How they Perform

- Depth-First Search:

Expanded nodes: SAD E G Solution found: S A G (cost 18)

- Breadth-First Search:

Expanded nodes: S A B CDEG
Solution found: S A G (cost 18)

- Uniform-Cost Search:


Expanded nodes: S A D B C E G
Solution found: S C G (cost 13)
This is the only uninformed search that worries about costs.

- Iterative-Deepening Search:
nodec exnanded. ©CARCCADFC


## Avoiding Repeated States

- Ways to reduce size of state space (with increasing computational costs)
- In increasing order of effectiveness:

1. Do not return to the state you just came from.
2. Do not create paths with cycles in them.
3. Do not generate any state that was ever created before.

- Effect depends on frequency of loops in state space.
- Worst case, storing as many nodes as exhaustive search!


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## Bi-directional Search

- Alternate searching from - start state $\rightarrow$ goal - goal state $\rightarrow$ start
- sp For next time: What's a real ui world problem where you can't
- R generate predecessors?
- Can (sometimes) find a solution fast

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## Holy Grail Search

- Why not go straight to the solution, without any wasted detours off to the side?
- If we knew where the solution was we wouldn't be searching!

If only we knew where we were headed...

## Bi-directional Search

- Alternate searching from start state $\rightarrow$ goal
goal state $\rightarrow$ start
- Stop when the frontiers intersect.
- Works well only when there are unique start and goal states
- Requires ability to generate "predecessor" states.
- Can (sometimes) find a solution fast


## Holy Grail Search <br> Expanded node <br> Nodes list <br> $\left\{\mathrm{S}^{0}\right\}$ <br> $\mathrm{S}^{0} \quad\left\{\mathrm{C}^{8} \mathrm{~A}^{3} \mathrm{~B}^{1}\right\}$ <br> $\mathrm{C}^{8} \quad\left\{\mathrm{G}^{13} \mathrm{~A}^{3} \mathrm{~B}^{1}\right\}$ <br> $\mathrm{G}^{13} \quad\left\{\mathrm{~A}^{3} \mathrm{~B}^{1}\right\}$

Solution path found is S C G, cost 13 (optimal)
Number of nodes expanded (including goal node) $=3$ (minimum possible!)

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## 8-Puzzle Revisited

- What's a good algorithm?
- Depth-first search?
- Breadth-first search?
- Uniform-cost?
- Iterative deepening?


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## Sudoku, Naïvely

- State space: $4 \times 4$ matrix, divided into four $2 \times 2$ matrices: $\mathrm{A}, \mathrm{B}, \mathrm{C}, \mathrm{D}$, cells containing values [1-4]
- Operators:
- Put a 2 in square <x,y>

Preconditions:

- $<x, y>$ is empty
- $\langle x,(y \pm 1)>\neq 2 ;<x,(y \pm 2)>\neq 2 ; \ldots 3 \times 4$ - $<(x \pm 1), y>\neq 2 ; \ldots<(x \pm 3), y>\neq 23$
- if $\langle x, y>$ in $A$, then $3 \notin A ; \ldots$
- How many operators is that? How many preconditions?
- Goal: all blocks are filled

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- Operators:
- Put a 2 in square $<x, y>$

Preconditions:
$\checkmark<x, y>$ is empty

- <x, (y $\pm 1)>\neq 2 ;<x,(y \pm 2)>\neq 2 ; \ldots 3 \times 4$ - < $(x \pm 1), y>\neq 2 ; \ldots<(x \pm 3), y>\neq 23$
- if $\langle\mathrm{x}, \mathrm{y}\rangle$ in A , then $3 \notin \mathrm{~A}$;

|  | 3 |  |  |
| :--- | :--- | :--- | :--- |
|  |  |  | 1 |
| 3 |  |  | 2 |
|  |  | 2 |  |

- How many operators is that?
- Goal: all blocks are filled

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A, B, C, D, cells containing values [1-4]

- Operators:

Put a 2 in square <x,y>
Preconditions:
$\checkmark<x, y>$ is empty
$\checkmark<x,(y \pm 1)\rangle \neq 2 ; x,(y+2)\rangle \neq 2 ;-3$
$\checkmark<(x \pm 1), y>\neq 2 ; \ldots<(x \pm 3), y>\neq 23$

- if $\langle x, y>$ in $A$, then $3 \notin A ; \ldots$

|  | 3 |  |  |
| :--- | :--- | :--- | :--- |
|  |  |  | 1 |
| 3 |  |  | 2 |
|  |  | 2 |  |

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|  | 3 |  |  |
| :--- | :--- | :--- | :--- |
|  |  |  | 1 |
| 3 |  |  | 2 |
|  |  | 2 |  |

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## - Operators:

Put a 2 in square $<x, y>$
Preconditions:
$\checkmark<x, y>$ is empty
$\checkmark<x,(y \pm 1)>\neq 2 ;<x,(y \pm 2)>\neq 2 ;$.. ${ }^{3} \times 4$

- $<(x \pm 1), y>\neq 2 ; \ldots<(x \pm 3), y>\neq 23$
- if $\langle\mathrm{x}, \mathrm{y}\rangle$ in A , then $3 \notin \mathrm{~A}$;

- How many operators is that?
- Goal: all blocks are filled

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## Sudoku, Naïvely

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Preconditions:
$\checkmark<x, y>$ is empty
$\checkmark<x,(y \pm 1)>\neq 2 ;<x,(y \pm 2)>\neq 2 ; \ldots 3 \times 4$
$\checkmark<(x \pm 1), y>\neq 2 ; \ldots<(x \pm 3), y>\neq 23$
$X_{\text {if }}\langle\mathrm{x}, \mathrm{y}>$ in A , then $\mathbf{3} \notin \mathrm{A} ; \ldots$

|  | 3 |  |  |
| :--- | :--- | :--- | :--- |
|  |  |  | 1 |
| 3 |  |  | 2 |
|  |  | 2 |  |

- How many operators is that?
- Goal: all blocks are filled


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|  | 3 |  |  |
| :--- | :--- | :--- | :--- |
|  |  |  | 1 |
| 3 |  |  |  |
|  |  | 2 |  |

- How many operators is that?
- Goal: all blocks are filled

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## "Satisficing"

- Wikipedia: "Satisficing is ... searching until an acceptability threshold is met"
- Contrast with optimality

Satisficable problems do not get more benefit from finding an optimal solution

Another piece of problem definition

- Ex: You have an A in the class. Studying for four hours will get you a 95 on the final. Studying for four more (eight hours) will get you a 99 on the final. What to do?
- A combination of satisfy and suffice
- Introduced by Herbert A. Simon in 1956


[^0]:    

[^1]:    b is branching factor, d is depth of the shallowest solution, m is the maximum depth of the search tree, 1 is the depth limit

