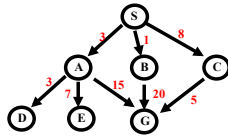


# Artificial Intelligence

## Class 4: Uninformed Search (Ch. 3.4)



Some material adapted from slides by Gang Hua of Stevens Institute of Technology  
 Some material adapted from slides by Charles R. Dyer, University of Wisconsin-Madison  
 Dr. Cynthia Matuszek – CMSC 671  
 Slides adapted with thanks from: Dr. Marie desJardins

## Today's Class

- Q&A
- Sudoku example
- Formalizing search
- Uninformed search
  - What does that mean?
- Specific algorithms
  - Breadth-first search
  - Depth-first search
  - Uniform cost search
  - Depth-first iterative deepening
- Example search problems revisited

“This is the essence of search—following up one option now and putting the others aside for later, in case the first choice does not lead to a solution.”

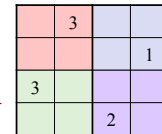
– R&N pg. 75

## Questions?

- Bread-first, depth-first, and uniform cost search
- Heuristic functions
- Admissibility
- Generation and expansion
- Goal tests
- Queuing function
- Complexity, completeness, and optimality

## Sudoku, Naïvely

- **State space:** 4x4 matrix, divided into four 2x2 matrices: A, B, C, D, cells containing values [1-4]
- **Operators:**
  - Put a 2 in square  $\langle x,y \rangle$
- **Preconditions:**
  - $\langle x,y \rangle$  is empty
  - $\langle x, (y\pm 1) \rangle \neq 2$ ;  $\langle x, (y\pm 2) \rangle \neq 2$ ; ...
  - $\langle (x\pm 1), y \rangle \neq 2$ ; ...  $\langle (x\pm 3), y \rangle \neq 2$
  - if  $\langle x,y \rangle$  in A, then  $3 \notin A$ ; ...
- How many operators is that? How many preconditions?
- **Goal:** all blocks are filled



## Formalizing Search III

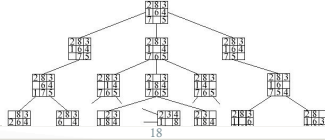
- A **solution** is a sequence of operators that is associated with a path in a state space from a start node to a goal node.
- The **cost of a solution** is the sum of the arc costs on the solution path.
  - If all arcs have the same cost, then the solution cost = the length of the solution (number of steps / state transitions)

## Formalizing Search IV

- **State-space search:** searching through a state space for a solution by **making explicit** a sufficient portion of an **implicit** state-space graph to find a goal node
  - Initially  $V = \{S\}$ , where S is the start node
  - When S is **expanded**, its successors are **generated**; those nodes are added to V and the arcs are added to E
  - This process continues until a goal node is found
- It isn't usually practical to represent entire space

## Formalizing Search V

- Each node implicitly or explicitly represents a **partial solution path** (and its cost) from start node to given node.
  - In general, from a node there are many possible paths (and therefore solutions) that have this partial path as a prefix



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## State-Space Search Algorithm

```
function general-search (problem, QUEUEING-FUNCTION)
;; problem describes start state, operators, goal test,
;; and operator costs
;; queueing-function is a comparator function that
;; ranks two states
;; returns either a goal node or failure
```

```
nodes = MAKE-QUEUE(MAKE-NODE(problem.INITIAL-STATE))
loop
if EMPTY(nodes) then return "failure"
node = REMOVE-FRONT(nodes)
if problem.GOAL-TEST(node.STATE) succeeds
then return node
nodes = QUEUEING-FUNCTION(nodes, EXPAND(node,
problem.OPERATORS))
```

```
end
;; Note: The goal test is NOT done when nodes are generated
;; Note: This algorithm does not detect loops
```



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## Uninformed vs. Informed Search

- Uninformed search strategies**
  - Use no information about the "direction" of the goal node(s)
  - Also known as "blind search"
  - Methods: Breadth-first, depth-first, depth-limited, uniform-cost, depth-first iterative deepening, bidirectional
- Informed search strategies (next class...)**
  - Use information about the domain to (try to) (usually) head in the general direction of the goal node(s)
  - Also known as "heuristic search"
  - Methods: Hill climbing, best-first, greedy search, beam search, A, A\*

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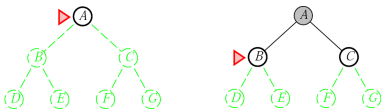
## Key Procedures to Define

- EXPAND**
  - Generate all successor nodes of a given node
- GOAL-TEST**
  - Test if state satisfies all goal conditions
- QUEUEING-FUNCTION**
  - Used to maintain a ranked list of nodes that are candidates for expansion

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## Generation vs. Expansion

- Selecting** a state means making that node current
- Expanding** the current state means applying every legal action to the current state
- Which **generates** a new set of nodes

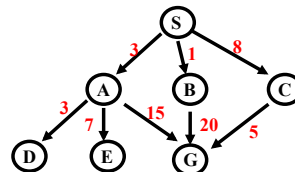


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RAN pg. 68, 80

## Why Apply Goal Test Late?

- Why does it matter when the goal test is applied (expansion time vs. generation time)?**
- Optimality and complexity of the algorithms are strongly affected!



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## Review: Characteristics

- **Completeness:** Is the algorithm guaranteed to find a solution (if one exists)?
- **Optimality:** Does it find the optimal solution?
  - (The solution with the lowest path cost of all possible solutions)
- **Time complexity:** How long does it take to find a solution?
- **Space complexity:** How much memory is needed to perform the search?

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R&N pg. 68, 80

## Admissibility

- A heuristic function IS **admissible** if it never *overestimates* the cost of reaching the goal
- The *estimated cost* it estimates is not higher than the lowest possible cost from the current point in the path

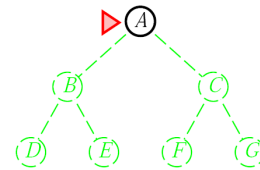
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## Breadth-First

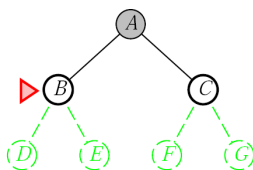
- Enqueue nodes in **FIFO** (first-in, first-out) order
- Characteristics:
  - **Complete** (meaning?)
  - **Optimal** (i.e., admissible) if all operators have the same cost
  - Otherwise, not optimal but finds solution with shortest path length
  - **Exponential time and space complexity**,  $O(b^d)$ , where:
    - $d$  is the depth of the solution
    - $b$  is the branching factor (number of children) at each node
- Takes a **long time to find long-path solutions**

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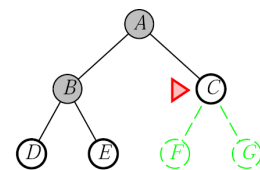
## BFS

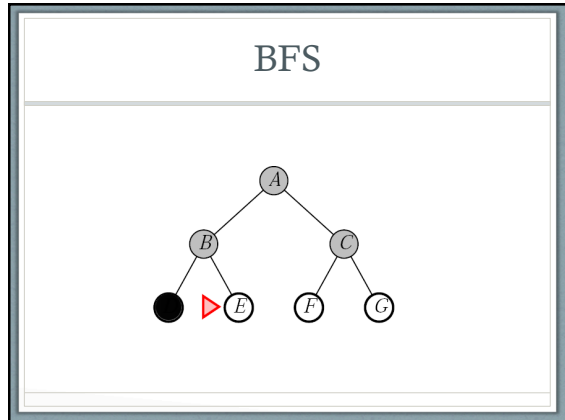
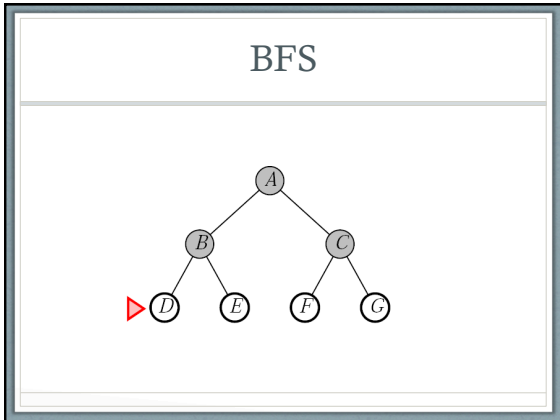


## BFS



## BFS





### Breadth-First: Analysis

- Takes a **long time to find long-path solutions**
  - Must look at all shorter length possibilities first
  - A complete search tree of depth  $d$  where each non-leaf node has  $b$  children:
 
$$1 + b + b^2 + \dots + b^d = (b^{d+1} - 1)/(b-1) \text{ nodes}$$
- What if we expand nodes when they are selected?

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### Breadth-First: O(Example)

$1 + b + b^2 + \dots + b^d = (b^{d+1} - 1)/(b-1) \text{ nodes}$

- Tree where:  $d=12$
- Every node at depths  $0, \dots, 11$  has 10 children ( $b=10$ )
- Every node at depth 12 has 0 children
- $1 + 10 + 100 + 1000 + \dots + 10^{12} = (10^{13} - 1)/9 = O(10^{12})$  nodes in the complete search tree
- If BFS expands 1000 nodes/sec and each node uses 100 bytes of storage
- Will take 35 years to run in the worst case
- Will use 111 terabytes of memory

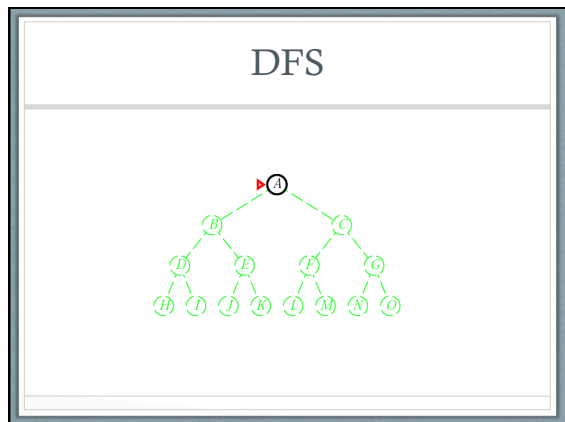
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### Depth-First (DFS)

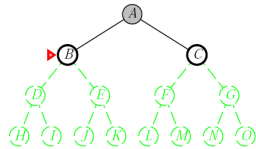
- Enqueue nodes on nodes in **LIFO** (last-in, first-out) order
  - That is, nodes used as a stack data structure to order nodes
- Characteristics:
  - **Might not terminate** without a “depth bound”
    - I.e., cutting off search below a fixed depth  $D$  (“depth-limited search”)
  - **Not complete**
    - With or without cycle detection, and with or without a cutoff depth
  - **Exponential time**,  $O(b^d)$ , but only **linear space**,  $O(bd)$ 
    - Can find **long solutions quickly** if lucky
    - And **short solutions slowly** if unlucky

Loops?  
Infinite search spaces?

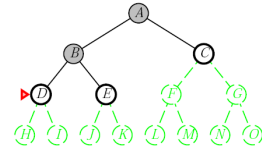
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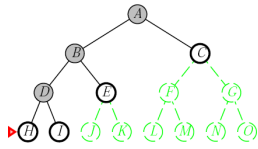
DFS



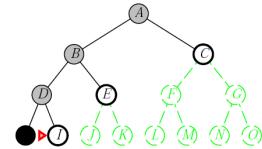
DFS



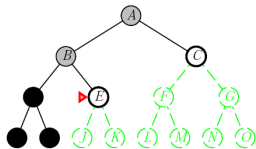
DFS



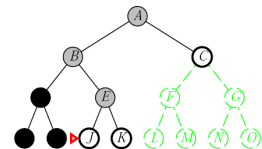
DFS



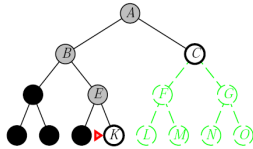
DFS



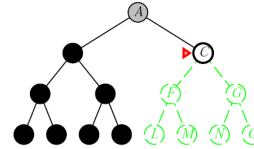
DFS



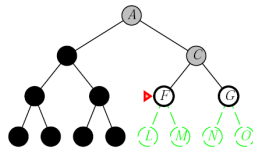
### DFS



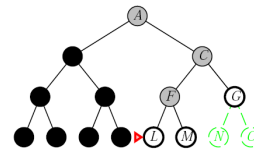
### DFS



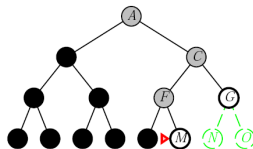
### DFS



### DFS



### DFS



### Depth-First (DFS): Analysis

- DFS:
  - Can find **long solutions quickly** if lucky
  - And **short solutions slowly** if unlucky
- When search hits a dead end
  - Can only back up one level at a time\*
  - Even if the “problem” occurs because of a bad operator choice near the top of the tree
  - Hence, only does “chronological backtracking”

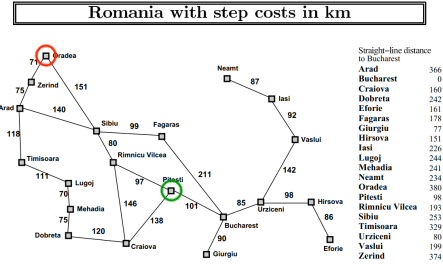
\* Why?

## Uniform-Cost (UCS)

- Enqueue nodes by **path cost**:
  - Let  $g(n)$  = cost of path from start node to current node  $n$
  - Sort nodes by increasing value of  $g$
  - Identical to breadth-first search if all operators have equal cost
- “Dijkstra’s Algorithm” in algorithms literature
- “Branch and Bound Algorithm” in operations research literature
- **Complete (\*)**
- **Optimal/Admissible (\*)**
  - Admissibility depends on the goal test being applied *when a node is removed from the nodes list*, not when its parent node is expanded and the node is first generated
- **Exponential time and space complexity,  $O(b^d)$**

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## Example: Path Costs

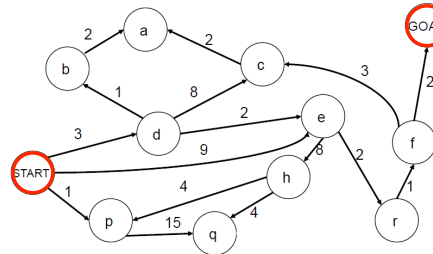


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## UCS Implementation

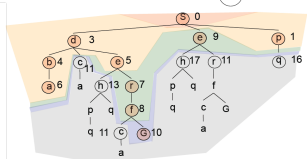
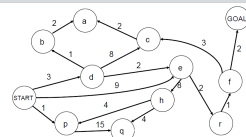
- For each frontier node, save the total cost of the path from the initial state to that node
- Expand the frontier node with the lowest path cost
- Equivalent to breadth-first if step costs all equal
- Equivalent to Dijkstra’s algorithm in general

## Uniform-cost search example

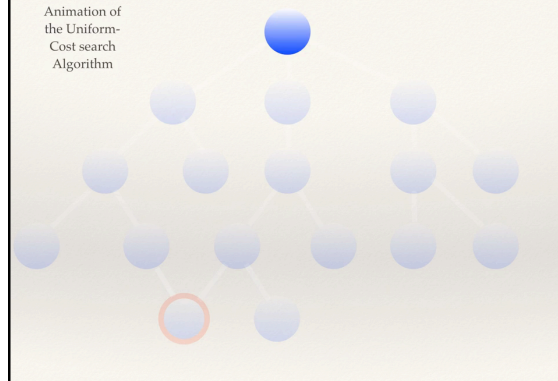


## Uniform-cost search example

- Expansion order: (S,p,d,b,e,a,r,f,e,G)



Animation of the Uniform-Cost search Algorithm



Shah Mehwish © 2018

<https://www.youtube.com/watch?v=XyouchYKYSE>

## Depth-First Iterative Deepening (DFID)

1. DFS to depth 0 (i.e., treat start node as having no successors)
  2. If no solution found, do DFS to depth 1
- until solution found do:  
DFS with depth cutoff  $c$ ;  
 $c = c + 1$
- Complete
  - Optimal/Admissible if all operators have the same cost
    - Otherwise, not optimal, but guarantees finding solution of shortest length
  - Time complexity is a little worse than BFS or DFS because nodes near the top of the search tree are generated multiple times
  - Because most nodes are near the bottom of a tree, worst case time complexity is still exponential,  $O(b^d)$

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## Depth-First Iterative Deepening

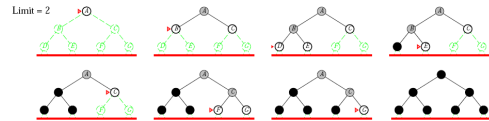
- If branching factor is  $b$  and solution is at depth  $d$ , then nodes at depth  $d$  are generated once, nodes at depth  $d-1$  are generated twice, etc.
  - Hence  $b^d + 2b^{(d-1)} + \dots + db \leq b^d / (1 - 1/b)^2 = O(b^d)$ .
  - If  $b=4$ , then worst case is  $1.78 * 4^d$ , i.e., 78% more nodes searched than exist at depth  $d$  (in the worst case).
- **Linear space complexity**,  $O(bd)$ , like DFS
- Has advantage of both BFS (completeness) and DFS (limited space, finds longer paths more quickly)
- Generally preferred for **large state spaces** where **solution depth is unknown**

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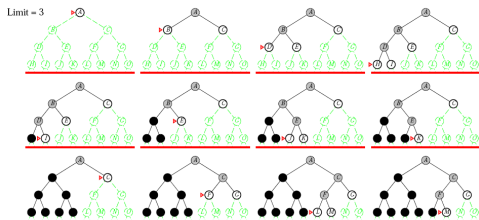
## Iterative deepening search ( $c=1$ )



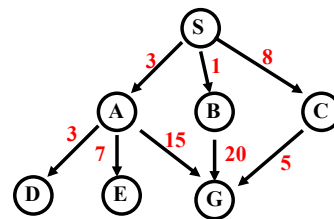
## Iterative deepening search ( $c=2$ )



## Iterative deepening search ( $c=3$ )



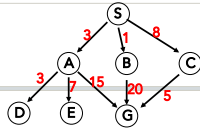
## Example for Illustrating Search Strategies



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## Depth-First Search

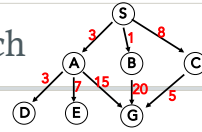


Expanded node	Nodes list
$S^0$	{ $S^0$ }
$A^3$	{ $A^3 B^1 C^8$ }
$D^6$	{ $D^6 E^{10} G^{18} B^1 C^8$ }
$E^{10}$	{ $E^{10} G^{18} B^1 C^8$ }
$G^{18}$	{ $B^1 C^8$ }

Solution path found is S A G, cost 18  
 Number of nodes expanded (including goal node) = 5

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## Breadth-First Search

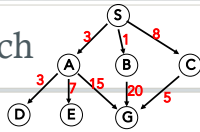


Expanded node	Nodes list
$S^0$	{ $S^0$ }
$A^3$	{ $A^3 B^1 C^8$ }
$B^1$	{ $B^1 C^8 D^6 E^{10} G^{18}$ }
$C^8$	{ $C^8 D^6 E^{10} G^{18} G^{21}$ }
$D^6$	{ $D^6 E^{10} G^{18} G^{21} G^{13}$ }
$E^{10}$	{ $E^{10} G^{18} G^{21} G^{13}$ }
$G^{18}$	{ $G^{21} G^{13}$ }

Solution path found is S A G, cost 18  
 Number of nodes expanded (including goal node) = 7

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## Uniform-Cost Search



Expanded node	Nodes list
$S^0$	{ $S^0$ }
$B^1$	{ $B^1 A^3 C^8$ }
$A^3$	{ $A^3 C^8 G^{21}$ }
$D^6$	{ $D^6 C^8 E^{10} G^{18} G^{21}$ }
$C^8$	{ $C^8 E^{10} G^{18} G^1$ }
$E^{10}$	{ $E^{10} G^{13} G^{18} G^{21}$ }
$G^{13}$	{ $G^{18} G^{21}$ }

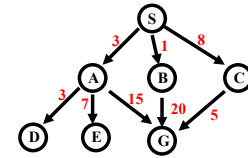
Solution path found is S C G, cost 13  
 Number of nodes expanded (including goal node) = 7

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## How they Perform

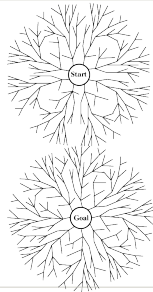
- Depth-First Search:**
  - Expanded nodes: S A D E G
  - Solution found: S A G (cost 18)
- Breadth-First Search:**
  - Expanded nodes: S A B C D E G
  - Solution found: S A G (cost 18)
- Uniform-Cost Search:**
  - Expanded nodes: S A D B C E G
  - Solution found: S C G (cost 13)

*This is the only uninformed search that worries about costs.*
- Iterative-Deepening Search:**
  - nodes expanded: S S A B C S A D E G



## Bi-directional Search

- Alternate searching from
  - start state  $\rightarrow$  goal
  - goal state  $\rightarrow$  start
- Stop when the frontiers intersect.
- Works well only when there are unique start and goal states
- Requires ability to generate "predecessor" states.
- Can (sometimes) find a solution fast

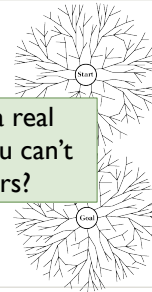


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## Bi-directional Search

- Alternate searching from
  - start state  $\rightarrow$  goal
  - goal state  $\rightarrow$  start
- Stop when the frontiers intersect.
- What world problem where you can't generate predecessors?
- Requires ability to generate "predecessor" states.
- Can (sometimes) find a solution fast

For next time: What's a real world problem where you can't generate predecessors?



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## Comparing Search Strategies

	Complete	Optimal	Time complexity	Space complexity
Breadth first search:	yes	yes	$O(b^d)$	$O(b^d)$
Depth first search	no	no	$O(b^m)$	$O(bm)$
Depth limited search	if $l \geq d$	no	$O(b^l)$	$O(bl)$
depth first iterative deepening search	yes	yes	$O(b^d)$	$O(bd)$
bi-directional search	yes	yes	$O(b^{d/2})$	$O(b^{d/2})$

b is branching factor, d is depth of the shallowest solution, m is the maximum depth of the search tree, l is the depth limit

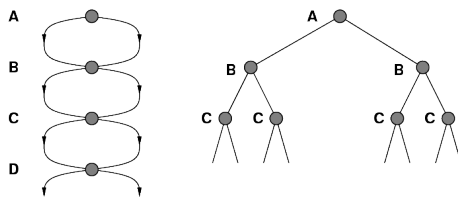
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## Avoiding Repeated States

- Ways to reduce size of state space (with increasing computational costs)
- In increasing order of effectiveness:
  1. Do not return to the state you just came from.
  2. Do not create paths with cycles in them.
  3. Do not generate any state that was ever created before.
- Effect depends on frequency of loops in state space.

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## A State Space that Generates an Exponentially Growing Search Space



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## Holy Grail Search

Expanded node	Nodes list
$S^0$	$\{S^0\}$
$C^8$	$\{C^8 A^3 B^1\}$
$G^{13}$	$\{G^{13} A^3 B^1\}$

Solution path found is S C G, cost 13 (optimal)  
 Number of nodes expanded (including goal node) = 3  
 (minimum possible!)

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## Holy Grail Search

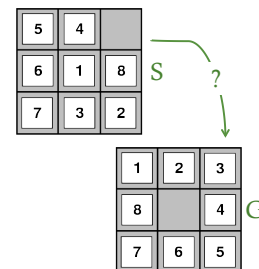
Why not go straight to the solution, without any wasted detours off to the side?

<foreshadowing> **If only we knew where we were headed...** </foreshadowing>

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## 8-Puzzle Revisited

- What's a good algorithm?
  - Depth-first search?
  - Breadth-first search?
  - Uniform-cost?
  - Iterative deepening?



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## “Satisficing”

- Wikipedia: “**Satisficing** is ... searching until an **acceptability threshold** is met”
- Contrast with **optimality**
  - Satisficable problems *do not get more benefit from finding an optimal solution*
- Ex: You have an A in the class. Studying for four hours will get you a 95 on the final. Studying for four more (eight hours) will get you a 99 on the final. What to do?
- A combination of *satisfy* and *suffice*
- Introduced by Herbert A. Simon in 1956

Another piece of  
**problem  
definition**