

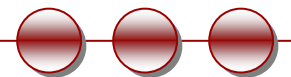
# **CMSC 671**

## **Fall 2010**

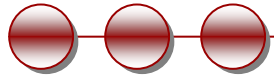
**Thu 9/16/10**

# **Constraints Processing / Constraint Satisfaction Problem**

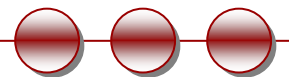
**Prof. Laura Zavala, [laura.zavala@umbc.edu](mailto:laura.zavala@umbc.edu), ITE 373, 410-455-8775**



# Algorithms for CSPs



- ▣ Backtracking (systematic search)
- ▣ Constraint propagation (k-consistency)
- ▣ Variable and value ordering heuristics
- ▣ Intelligent backtracking

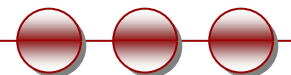




# Constraint satisfaction - Overview

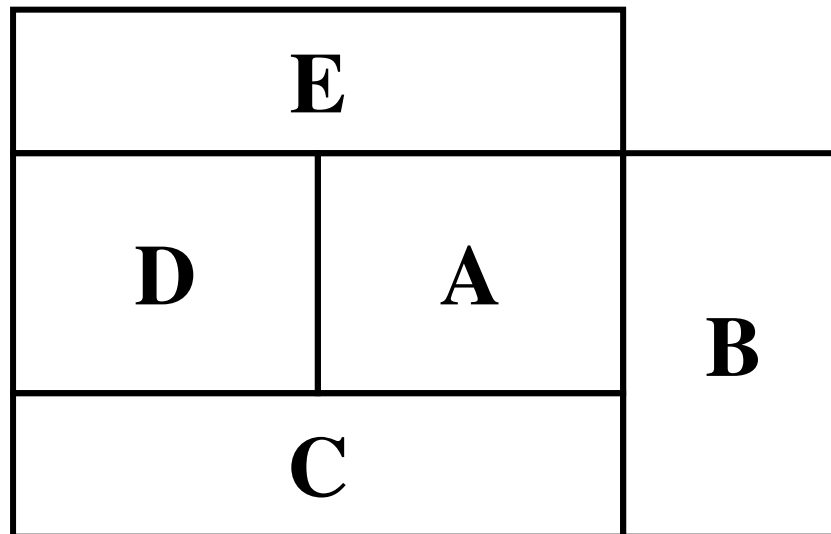
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- Powerful problem-solving paradigm
  - View a problem as a **set of variables** to which we have to assign **values** that satisfy a number of **problem-specific constraints**.
  - Constraint programming, constraint satisfaction problems (CSPs), constraint logic programming...



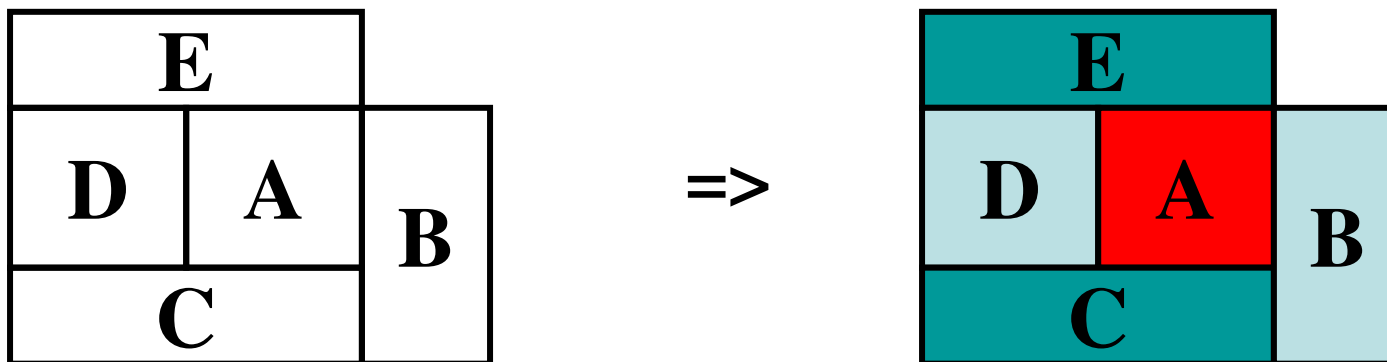
# Informal example: Map coloring

- Color the following map using three colors (red, green, blue) such that no two adjacent regions have the same color.

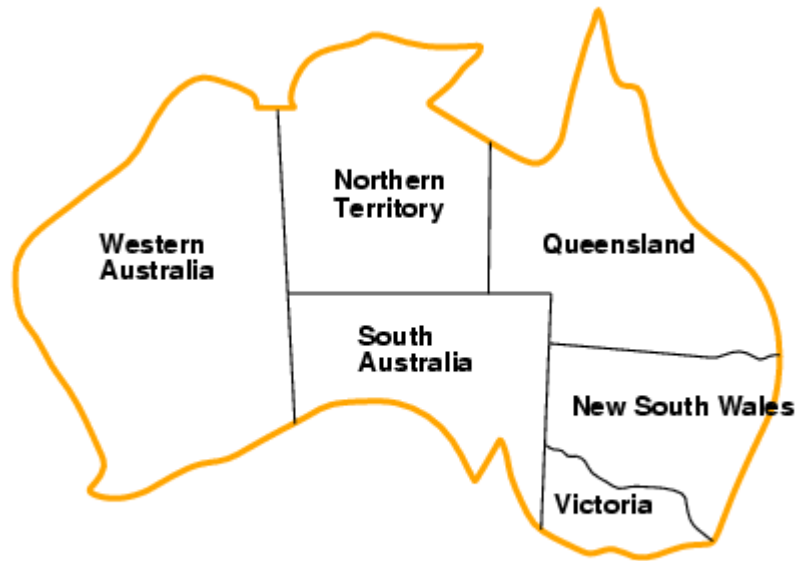



# Map coloring II

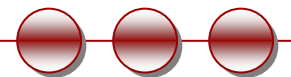
- Variables: A, B, C, D, E all of domain RGB
- Domains:  $RGB = \{\text{red, green, blue}\}$
- Constraints:  $A \neq B, A \neq C, A \neq E, A \neq D, B \neq C, C \neq D, D \neq E$
- One solution: A=red, B=green, C=blue, D=green, E=blue



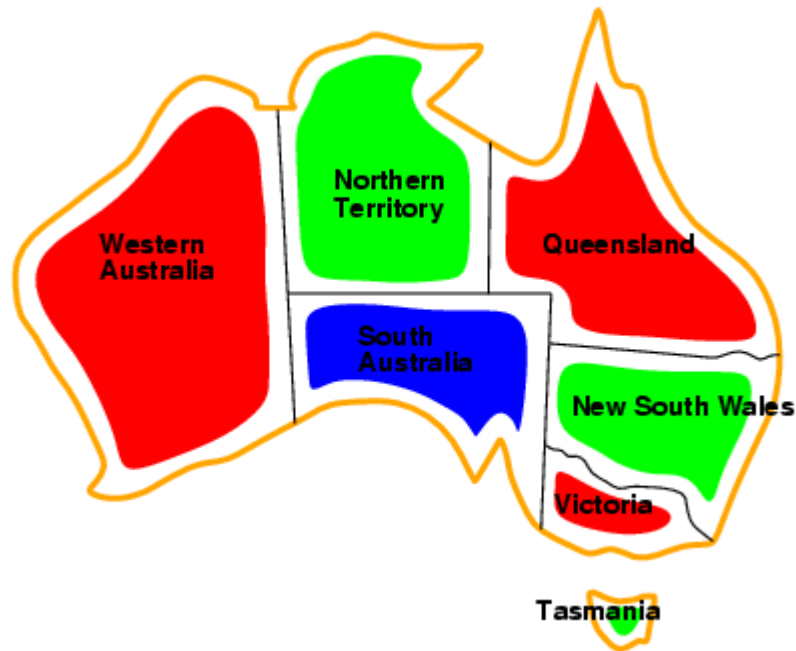
# Map-Coloring - Australia



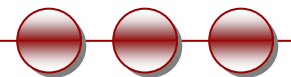
- Variables  $WA, NT, Q, NSW, V, SA, T$  
- Domains  $D_i = \{\text{red, green, blue}\}$
- Constraints: adjacent regions must have different colors
- e.g.,  $WA \neq NT$ , or  $(WA, NT)$  in  $\{(\text{red, green}), (\text{red, blue}), (\text{green, red}), (\text{green, blue}), (\text{blue, red}), (\text{blue, green})\}$



# Map-Coloring - Australia



- Solutions are **complete** and **consistent** assignments, e.g., WA = red, NT = green, Q = red, NSW = green, V = red, SA = blue, T = green



# Why formulate (problems) using CSP?

- CSPs yield a natural representation for a wide variety of problems
- Easier to use an existing CSP-solving system than designing custom solution using another search technique

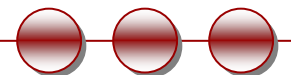




# Informal definition of CSP

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- CSP = Constraint Satisfaction Problem
- Given
  - (1) a finite set of variables
  - (2) each with a domain of possible values (often finite)
  - (3) a set of constraints that limit the values the variables can take on
- A **solution** is an assignment of a value to each variable such that the constraints are all satisfied.
- Tasks might be to decide if a solution exists, to find a solution, to find all solutions, or to find the “best solution” according to some metric (objective function).



# Example: SATisfiability

- Given a set of propositions containing variables, find an assignment of the variables to {false,true} that satisfies them.
- For example, the clauses:
  - $(A \vee B \vee \neg C) \wedge (\neg A \vee D)$
  - (equivalent to  $(C \rightarrow A) \vee (B \wedge D \rightarrow A)$ )

are satisfied by

A = false

B = true

C = false

D = false

# Formal definition of a constraint network (CN)

A constraint network (CN) consists of

- a set of variables  $X = \{x_1, x_2, \dots, x_n\}$ 
  - each with an associated domain of values  $\{d_1, d_2, \dots, d_n\}$ .
  - the domains are typically finite
- a set of constraints  $\{c_1, c_2, \dots, c_m\}$  where
  - each constraint defines a predicate which is a relation over a particular subset of  $X$ .
  - e.g.,  $C_i$  involves variables  $\{X_{i1}, X_{i2}, \dots, X_{ik}\}$  and defines the relation  $R_i \subseteq D_{i1} \times D_{i2} \times \dots \times D_{ik}$
- **Unary** constraint: only involves one variable
- **Binary** constraint: only involves two variables

# Formal definition of a CN (cont.)

- Instantiations
  - An **instantiation** of a subset of variables  $S$  is an assignment of a value in its domain to each variable in  $S$
  - An instantiation is **legal** iff it does not violate any constraints.
- A **solution** is an instantiation of all of the variables in the network.

# Real-world problems

- Scheduling
- Temporal reasoning
- Building design
- Planning
- Optimization/satisfaction
- Vision
- Graph layout
- Network management
- Natural language processing
- Molecular biology / genomics
- VLSI design

# Typical tasks for CSP

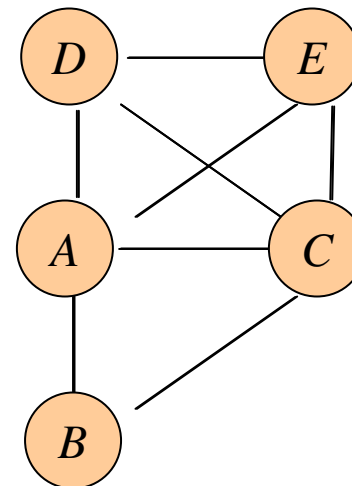
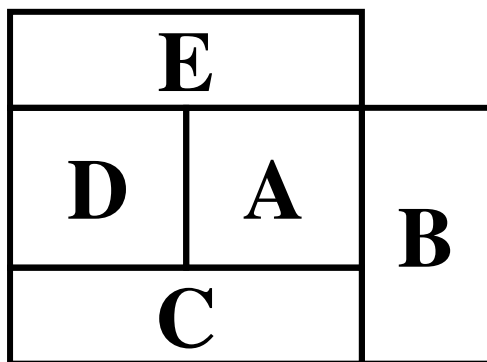
- Solutions:
  - Does a solution exist?
  - Find one solution
  - Find all solutions
  - Given a partial instantiation, do any of the above
- Transform the CN into an equivalent CN that is easier to solve.

# Binary CSP

- A **binary CSP** is a CSP in which all of the constraints are binary or unary.
- Any non-binary CSP can be converted into a binary CSP by introducing additional variables.

# Binary CSP

- A binary CSP can be represented as a **constraint graph**, which has a node for each variable and an arc between two nodes if and only there is a constraint involving the two variables.





# Example: Sudoku

	3		1
	1		4
3	4	1	2
		4	

# Running example: Sudoku

- Variables and their domains

- $v_{ij}$  is the value in the  $j$ th cell of the  $i$ th row
  - $D_{ij} = D = \{1, 2, 3, 4\}$

- Blocks:

- $B_1 = \{11, 12, 21, 22\} \dots B_4 = \{33, 34, 43, 44\}$

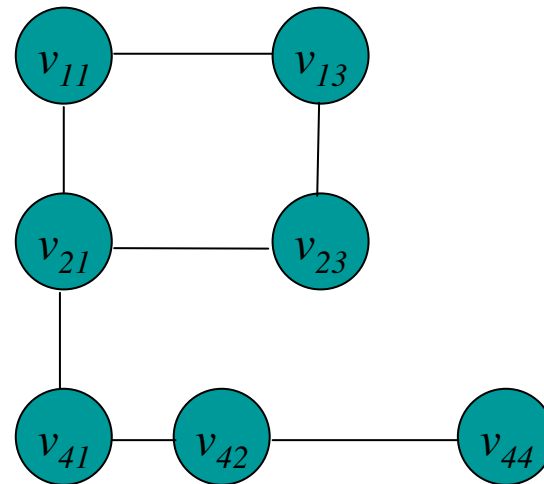
- Constraints (implicit/intensional)

- $C^R : \forall i, \cup_j v_{ij} = D$  (every value appears in every row)
  - $C^C : \forall j, \cup_i v_{ij} = D$  (every value appears in every column)
  - $C^B : \forall k, \cup (v_{ij} \mid ij \in B_k) = D$  (every value appears in every block)
  - Alternative representation: pairwise inequality constraints:
    - $I^R : \forall i, j \neq j' : v_{ij} \neq v_{ij'}$  (no value appears twice in any row)
    - $I^C : \forall j, i \neq i' : v_{ij} \neq v_{i'j}$  (no value appears twice in any column)
    - $I^B : \forall k, ij \in B_k, i'j' \in B_k, ij \neq i'j' : v_{ij} \neq v_{i'j'}$  (no value appears twice in any block)
  - Advantage of the second representation: all binary constraints!

$v_{11}$	3	$v_{13}$	1
$v_{21}$	1	$v_{23}$	4
3	4	1	2
$v_{41}$	$v_{42}$	4	$v_{44}$

# Sudoku constraint network

$v_{11}$	3	$v_{13}$	1
$v_{21}$	1	$v_{23}$	4
3	4	1	2
$v_{41}$	$v_{42}$	4	$v_{44}$

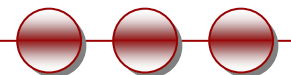




# Solving constraint problems

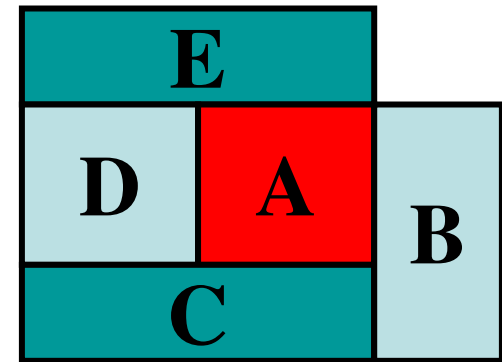
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- Systematic search
  - Generate and test
  - Backtracking
- Variable ordering heuristics
- Value ordering heuristics
- Constraint propagation (consistency)
- Backjumping and dependency-directed backtracking



# Generate and test

- Try each possible combination until you find one that works:
  - green – red – green – red – green
  - green – red – green – red – blue
  - green – red – green – red – red
  - ...
- Doesn't check constraints until all variables have been instantiated
- Very inefficient way to explore the space of possibilities

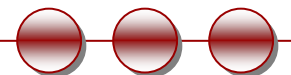




# Backtracking

(a.k.a. depth-first search!)

- Consider the variables in some order
- Pick an unassigned variable and give it a provisional value such that it is consistent with all of the constraints
- If no such assignment can be made, we've reached a dead end and need to backtrack to the previous variable
- Continue this process until a solution is found or we backtrack to the initial variable and have exhausted all possible values

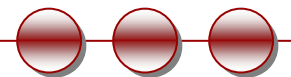
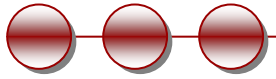


# Backtracking search

```
function BACKTRACKING-SEARCH(csp) returns a solution, or failure
  return RECURSIVE-BACKTRACKING({}, csp)

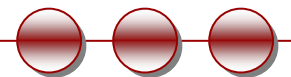
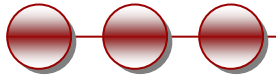
function RECURSIVE-BACKTRACKING(assignment, csp) returns a solution, or
failure
  if assignment is complete then return assignment
  var ← SELECT-UNASSIGNED-VARIABLE(Variables[csp], assignment, csp)
  for each value in ORDER-DOMAIN-VALUES(var, assignment, csp) do
    if value is consistent with assignment according to Constraints[csp] then
      add { var = value } to assignment
      result ← RECURSIVE-BACKTRACKING(assignment, csp)
      if result ≠ failure then return result
      remove { var = value } from assignment
  return failure
```

# Backtracking example

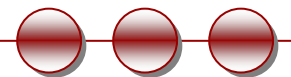
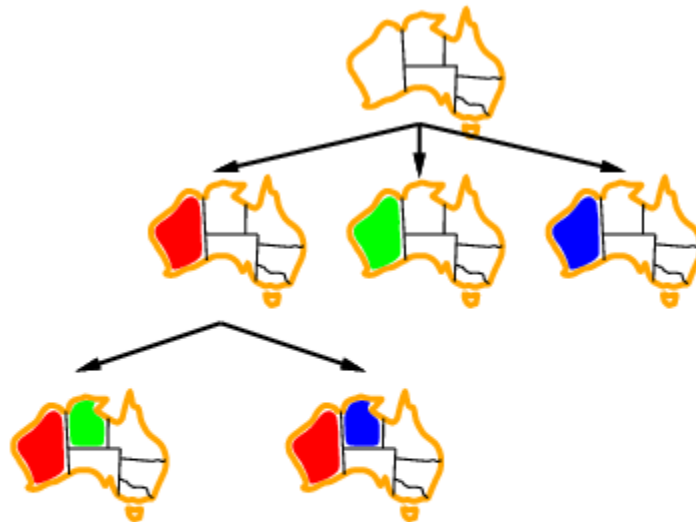




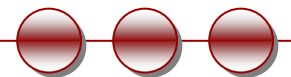
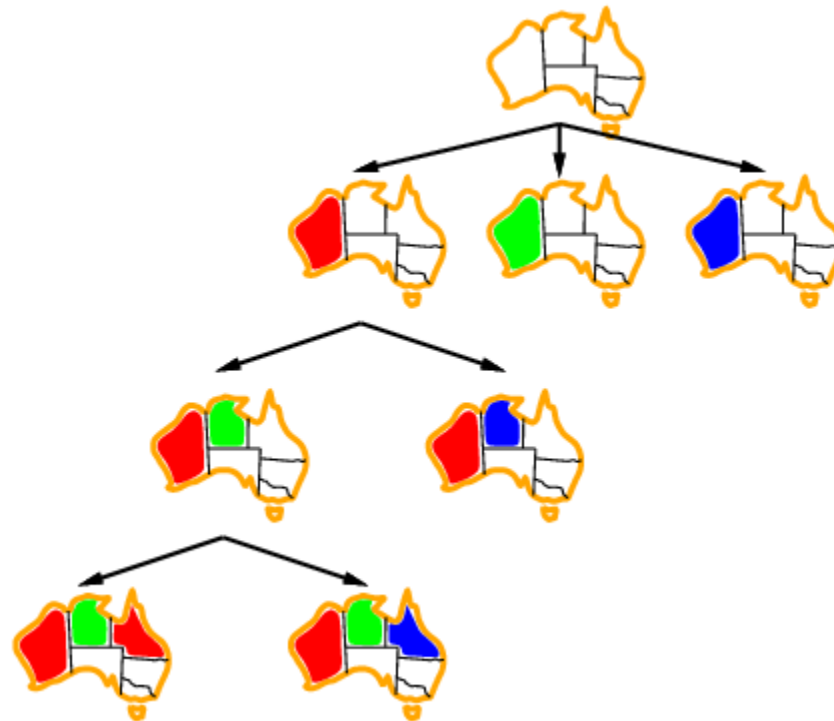
# Backtracking example



# Backtracking example

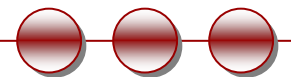


# Backtracking example



# Improving backtracking efficiency

- **General-purpose** methods can give huge gains in speed:
  - Which variable should be assigned next?
  - In what order should its values be tried?
  - Can we detect inevitable failure early?

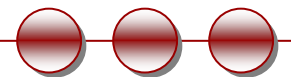




# Problems with backtracking

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- Inefficiency: can explore areas of the search space that aren't likely to succeed
  - Variable and value ordering can help
- Thrashing: keep repeating the same failed variable assignments
  - Consistency checking can help
  - Intelligent backtracking schemes can also help

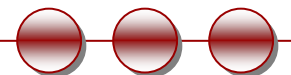




# Variable and value ordering

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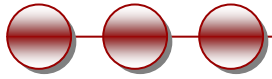
- Minimum remaining values (variables)
  - fewest legal values
- Degree heuristic (variables)
  - largest number of constraints on other unassigned variables
  - reduces branching factor
- Least constraining value (values)
  - rules out the fewest choices for neighboring vars



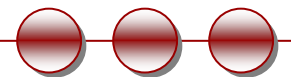
# Constraint Propagation

- Using the constraints to reduce the number of legal values for a variable, which in turn reduces the number of legal values for another variable, and so on.

# Consistency



- Node consistency
  - A node  $X$  is **node-consistent** if every value in the domain of  $X$  is consistent with  $X$ 's unary constraints
  - A graph is node-consistent if all nodes are node-consistent





# Consistency

- Arc consistency
  - An arc  $(X, Y)$  is **arc-consistent** if, for every value  $x$  of  $X$ , there is a value  $y$  for  $Y$  that satisfies the constraint represented by the arc.
  - A graph is arc-consistent if all arcs are arc-consistent.
- To create arc consistency, we perform **constraint propagation**: that is, we repeatedly reduce the domain of each variable to be consistent with its arcs

# Arc consistency algorithm AC-3

```
function AC-3(csp) returns the CSP, possibly with reduced domains
  inputs: csp, a binary CSP with variables  $\{X_1, X_2, \dots, X_n\}$ 
  local variables: queue, a queue of arcs, initially all the arcs in csp

  while queue is not empty do
     $(X_i, X_j) \leftarrow \text{REMOVE-FIRST}(\textit{queue})$ 
    if RM-INCONSISTENT-VALUES( $X_i, X_j$ ) then
      for each  $X_k$  in NEIGHBORS[ $X_i$ ] do
        add  $(X_k, X_i)$  to queue



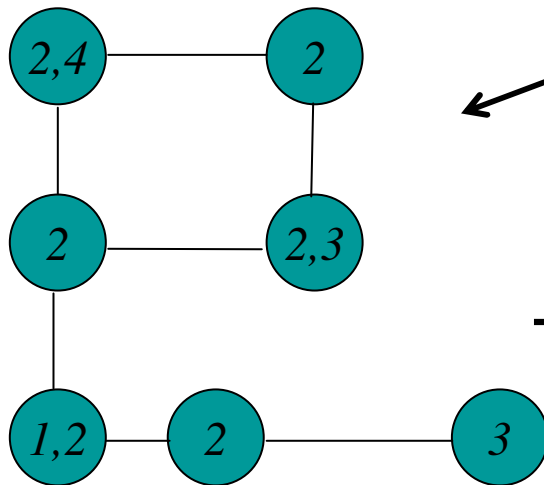
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function RM-INCONSISTENT-VALUES( $X_i, X_j$ ) returns true iff remove a value
  removed  $\leftarrow$  false
  for each  $x$  in DOMAIN[ $X_i$ ] do
    if no value  $y$  in DOMAIN[ $X_j$ ] allows  $(x, y)$  to satisfy constraint( $X_i, X_j$ )
      then delete  $x$  from DOMAIN[ $X_i$ ]; removed  $\leftarrow$  true
  return removed
```

- Time complexity:  $O(n^2d^3)$

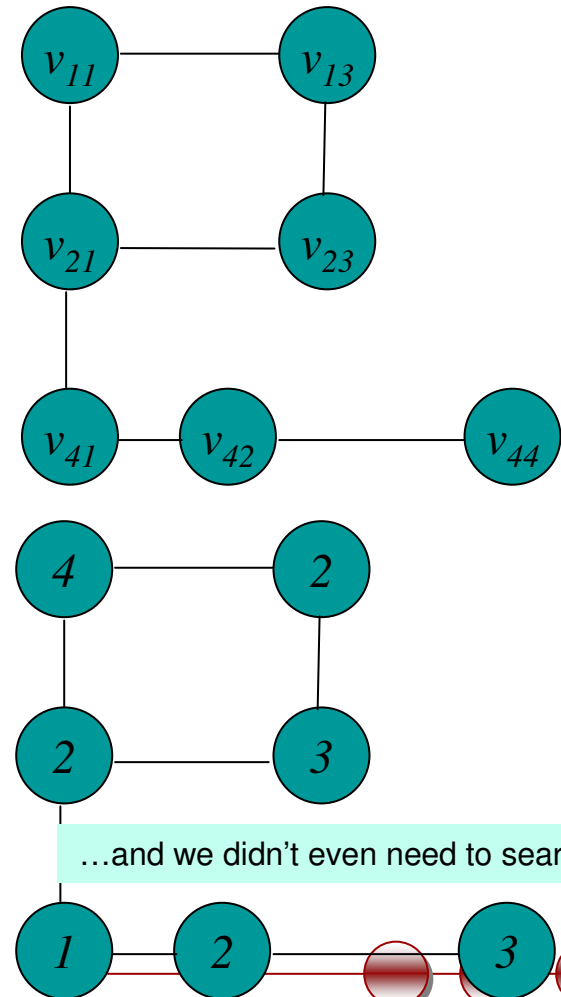
# Constraint propagation: Sudoku

$v_{11}$	3	$v_{13}$	1
$v_{21}$	1	$v_{23}$	4
3	4	1	2
$v_{41}$	$v_{42}$	4	$v_{44}$



Node consistency

Arc consistency



...and we didn't even need to search!

# K-consistency

- K- consistency generalizes the notion of arc consistency to sets of more than two variables.
  - A graph is K-consistent if, for legal values of any K-1 variables in the graph, and for any Kth variable  $V_k$ , there is a legal value for  $V_k$
- Strong K-consistency = J-consistency for all  $J \leq K$
- **Node consistency = strong 1-consistency**
- **Arc consistency = strong 2-consistency**
- Path consistency = strong 3-consistency

# Why do we care?

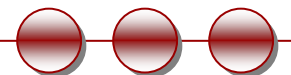
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1. If we have a CSP with  $N$  variables that is known to be **strongly  $N$ -consistent**, we can solve it **without backtracking**
2. For any CSP that is **strongly  $K$ -consistent**, if we find an **appropriate variable ordering** (one with “small enough” branching factor), we can solve the CSP **without backtracking**

# Forward checking

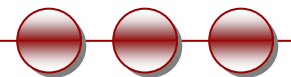
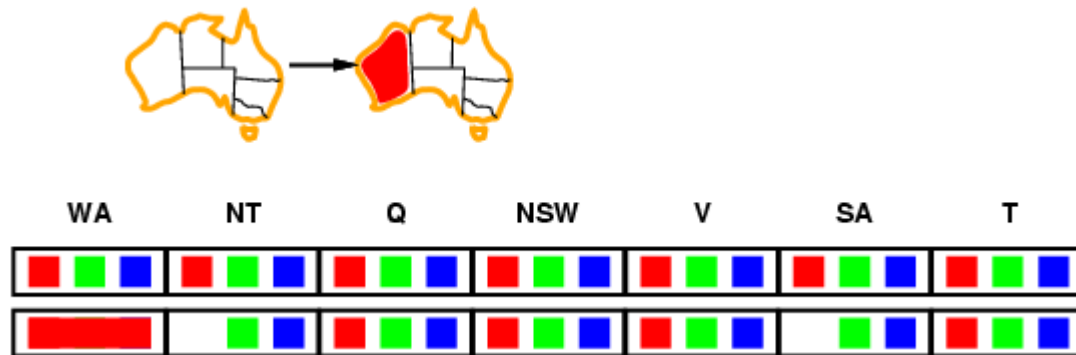
- Idea:

- Interleaving search and inference of reductions in the domain of the variables
- Keep track of remaining legal values for unassigned variables
- Terminate search when any variable has no legal values



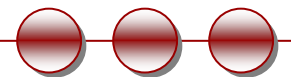
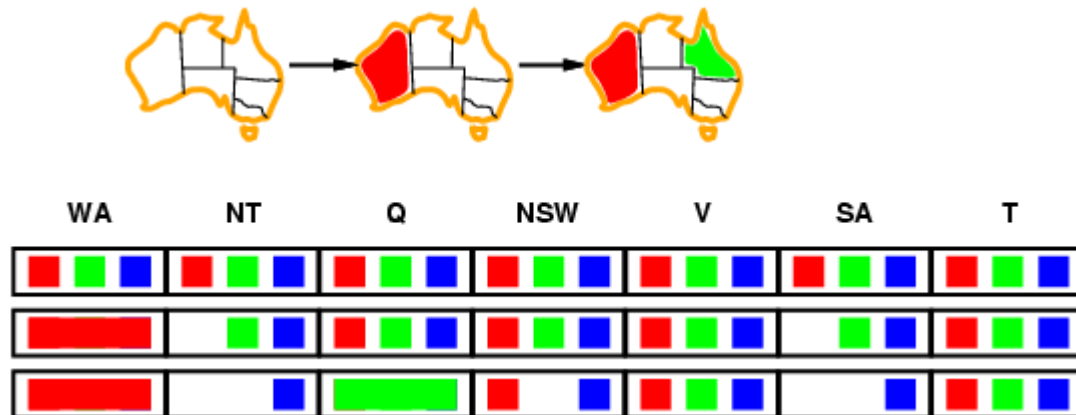
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- Idea:
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# Forward checking

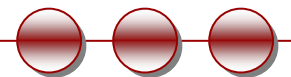
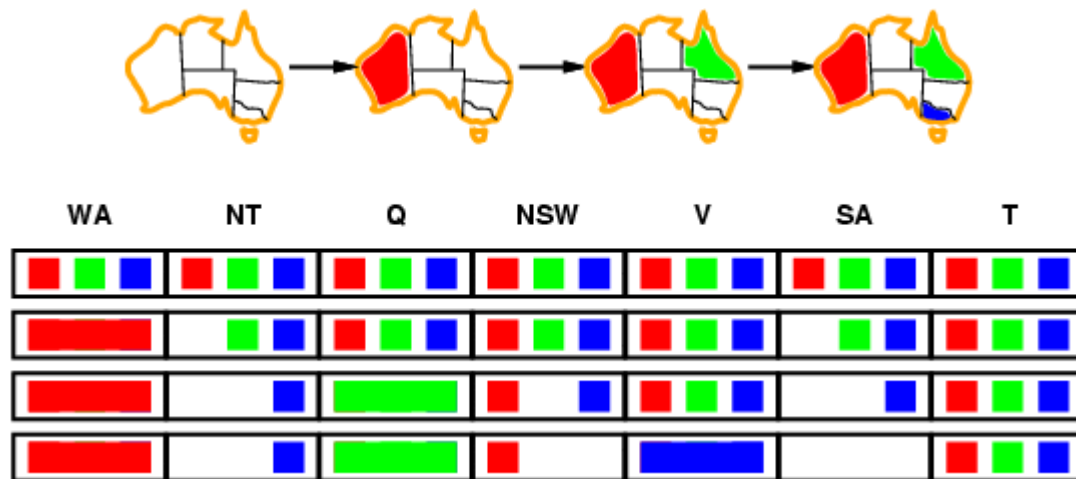
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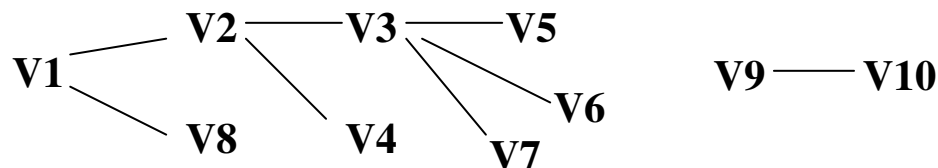
# Forward checking

- Idea:
  - Keep track of remaining legal values for unassigned variables
  - Terminate search when any variable has no legal values



# Tree-structured constraint graph

- A **constraint tree** rooted at  $V_1$  satisfies the following property:
  - There exists an ordering  $V_1, \dots, V_n$  such that every node has zero or one parents (i.e., each node only has constraints with at most one “earlier” node in the ordering)



- Also known as an *ordered constraint graph with width 1*
- If this constraint tree is also **node- and arc-consistent** (a.k.a. *strongly 2-consistent*), then it can be **solved without backtracking**
- (More generally, if the ordered graph is strongly  $k$ -consistent, and has width  $w < k$ , then it can be solved without backtracking.)

# Proof sketch for constraint trees

- Perform backtracking search in the order that satisfies the constraint tree condition
- Every node, when instantiated, is constrained only by at most one previous node
- Arc consistency tells us that there must be at least one legal instantiation in this case
  - (If there are no legal solutions, the arc consistency procedure will collapse the graph – some node will have no legal instantiations)
- Keep doing this for all  $n$  nodes, and you have a legal solution – without backtracking!

# Backtrack-free CSPs: Proof sketch

- Given a strongly  $k$ -consistent OCG,  $G$ , with width  $w < k$ :
  - Instantiate variables in order, choosing values that are consistent with the constraints between  $V_i$  and its parents
  - Each variable has at most  $w$  parents, and  $k$ -consistency tells us we can find a legal value consistent with the values of those  $w$  parents
- *Unfortunately*, achieving  $k$ -consistency is hard (and can increase the width of the graph in the process!)
- *Fortunately*, 2-consistency is relatively easy to achieve, so constraint trees are easy to solve
- *Unfortunately*, many CGs have width greater than one (that is, no equivalent tree), so we still need to improve search

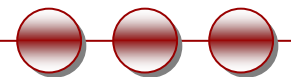
# So what if we don't have a tree?

- Answer #1: Try **interleaving** constraint propagation and backtracking
- Answer #2: Try using **variable-ordering** heuristics to improve search
- Answer #3: Try using **value-ordering** heuristics during variable instantiation
- Answer #4: See if **iterative repair** works better
- Answer #5: Try using **intelligent backtracking** methods



# Intelligent backtracking

- **Backtracking search is chronological backtracking**
- **Backjumping:**
  - Jumps to the most recent assignment in the *conflict set*
  - if  $V_j$  fails, jump back to the variable  $V_i$  with greatest  $i$  such that the constraint  $(V_i, V_j)$  fails (i.e., most recently instantiated variable in conflict with  $V_i$ )

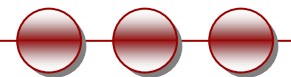




# Intelligent backtracking

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- **Backchecking:** keep track of incompatible value assignments computed during backjumping
- **Backmarking:** keep track of which variables led to the incompatible variable assignments for improved backchecking



# Local search for CSPs

- Hill-climbing, simulated annealing typically work with "complete" states, i.e., all variables assigned
- To apply to CSPs:
  - allow states with unsatisfied constraints
  - operators **reassign** variable values
- Variable selection: randomly select any conflicted variable
- Value selection by **min-conflicts** heuristic:
  - choose value that violates the fewest constraints
  - i.e., hill-climb with  $h(n)$  = total number of violated constraints

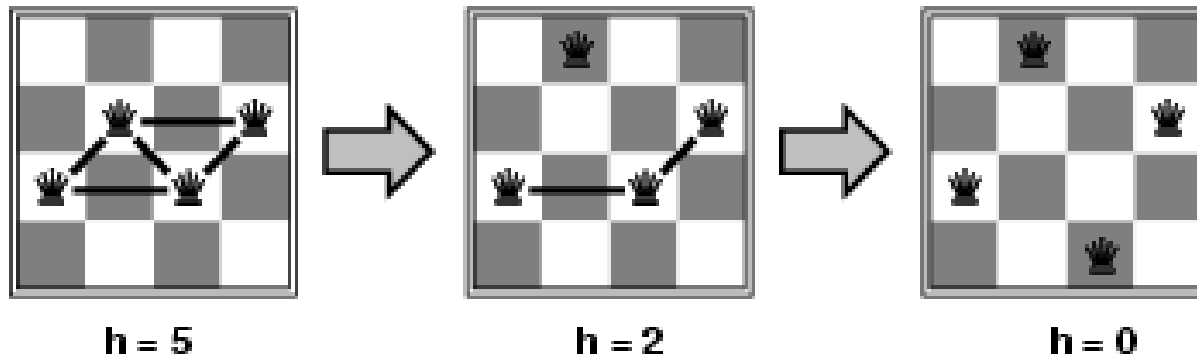


# Local search for CSPs

- Min-conflicts: Select new values that minimally conflict with the other variables
  - Use in conjunction with hill climbing or simulated annealing or...
- Local maxima strategies
  - Random restart
  - Random walk
  - Tabu search: don't try recently attempted values

# Example: 4-Queens

- **States:** 4 queens in 4 columns ( $4^4 = 256$  states)
- **Actions:** move queen in column
- **Goal test:** no attacks
- **Evaluation:**  $h(n) =$  number of attacks



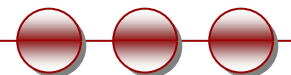
- Given random initial state, can solve  $n$ -queens in almost constant time for arbitrary  $n$  with high probability (e.g.,  $n = 10,000,000$ )



# Min-conflicts heuristic

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- Iterative repair method
  1. Find some “reasonably good” initial solution
    - E.g., in N-queens problem, use greedy search through rows, putting each queen where it conflicts with the smallest number of previously placed queens, breaking ties *randomly*
  2. Find a variable in conflict (randomly)
  3. Select a new value that minimizes the number of constraint violations
    - $O(N)$  time and space
  4. Repeat steps 2 and 3 until done
- Performance depends on quality and informativeness of initial assignment; inversely related to distance to solution



# Some challenges for constraint reasoning

- What if not all constraints can be satisfied?
  - Hard vs. soft constraints
  - Degree of constraint satisfaction
  - Cost of violating constraints
- What if constraints are of different forms?
  - Symbolic constraints
  - Numerical constraints [constraint solving]
  - Temporal constraints
  - Mixed constraints

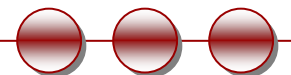
# Some challenges for constraint reasoning II

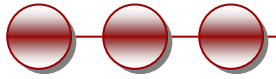
- What if constraints are represented intensionally?
  - Cost of evaluating constraints (time, memory, resources)
- What if constraints, variables, and/or values change over time?
  - Dynamic constraint networks
  - Temporal constraint networks
  - Constraint repair
- What if you have multiple agents or systems involved in constraint satisfaction?
  - Distributed CSPs
  - Localization techniques

# Distributed Constraint Satisfaction



- Looks at solving CSP when there is a collection of agents, each of which controls a subset of the constraint variables.
- Active area of research; annual conferences and workshops.





**Thanks for coming -- see you  
next Tuesday!**

