

Chapter 2

Structured Web Documents in XML



Adapted from slides from Grigoris Antoniou and Frank van Harmelen

Outline

(1) Introduction

(2) XML details

(3) Structuring

- DTDs
- XML Schema

(4) Namespaces

(5) Accessing, querying XML documents: XPath

(6) Transformations: XSLT

Role of XML in the Semantic Web

- Most of the Semantic Web involves ideas and languages at a fairly abstract level
 - e.g., for defining ontologies, publishing data using them
- But we also need a practical way of encoding the abstract languages
- Today's Web technology is (still) heavily based on XML standards
- So XML is (1) a potential alternative that the SW must improve on and (2) the most common encoding for SW data on the Web

To paraphrase Jamie Zawinski

Some people, when confronted with a problem, think, "I know, I'll use XML."

Now they have two problems.

History

- XML's roots are in SGML
 - Standard Generalized Markup Language
 - A metalanguage for defining document markup languages
 - Very extensible, but very complicated
- HTML was defined using SGML
 - It's a markup language, not a *markup metalanguage*
- XML proposal to W3C in July 1996
 - Idea: a simplified SGML could greatly expand the power and flexibility of the Web
 - First XML Meeting, August 1996, Seattle
- Evolving series of W3C recommendations

(1) Introduction

An HTML Example

```
<h2>Nonmonotonic Reasoning: Context-  
  Dependent Reasoning</h2>  
<i>by <b>V. Marek</b> and  
  <b>M. Truszczynski</b></i><br>  
Springer 1993<br>  
ISBN 0387976892
```

(1) Introduction

The Same Example in XML

```
<book>  
  <title>Nonmonotonic Reasoning: Context-Dependent  
  Reasoning</title>  
  <author>V. Marek</author>  
  <author>M. Truszczynski</author>  
  <publisher>Springer</publisher>  
  <year>1993</year>  
  <ISBN>0387976892</ISBN>  
</book>
```

(1) Introduction

HTML versus XML: Similarities

- Both use **tags** (e.g. `<h2>` and `</year>`)
 - Tags may be nested (tags within tags)
 - Human users can read and interpret both HTML and XML representations quite easily
- ... **But how about machines?**

(1) Introduction

Problems Interpreting HTML Documents

An intelligent agent trying to retrieve the names of the authors of the book

- Authors' names could appear immediately after the title
- or immediately after the word "by" or "van" if it's in Dutch
- Are there two authors?
- Or just one, called "V. Marek and M. Truszczyński"?

(1) Introduction

HTML vs XML: Structural Information

- HTML documents do not contain **structural information**: pieces of the document and their relationships.
- XML more easily accessible to machines because
 - Every piece of information is described.
 - Relations are also defined through the nesting structure.
 - E.g., the `<author>` tags appear within the `<book>` tags, so they describe properties of the particular book.

(1) Introduction

HTML vs XML: Structural Information

- A machine processing the XML document would be able to deduce that
 - the **author** element refers to the enclosing **book** element
 - rather than by proximity considerations or other heuristics
- XML allows the definition of constraints on values
 - E.g. a year must be a number of four digits

(1) Introduction

HTML vs. XML: Formatting

- The HTML representation provides more than the XML representation:
 - The formatting of the document is also described
- The main use of an HTML document is to display information: it must define formatting
- **XML: separation of content from display**
 - same information can be displayed in different ways
 - Presentation specified by documents using other XML standards (CSS, XSL)

(1) Introduction

HTML vs. XML: Another Example

In HTML

```
<h2>Relationship matter-energy</h2>
<i> E = M × c2 </i>
```

In XML

```
<equation>
  <gloss>Relationship matter energy </gloss>
  <leftside> E </leftside>
  <rightside> M × c2 </rightside>
</equation>
```

(1) Introduction

HTML vs. XML: Different Use of Tags

- Both HTML documents use the same tags
- The XML documents use completely different tags
- HTML tags come from and finite, pre-defined collection
- They define properties for display: font, color, lists ...
- XML tags not fixed: **user definable tags**
- XML **meta markup language**: language for defining markup languages

(1) Introduction

XML Vocabularies

- Web applications must agree on common vocabularies to communicate and collaborate
- Communities and business sectors define their specialized vocabularies
 - mathematics (MathML)
 - bioinformatics (BSML)
 - human resources (HRML)
 - Syndication (RSS)
 - Vector graphics (SVG)
 - ...

(1) Introduction

Outline

(1) Introduction

(2) Detailed Description of XML

(3) Structuring

- DTDs
- XML Schema

(4) Namespaces

(5) Accessing, querying XML documents: XPath

(6) Transformations: XSLT

(2) XML details

The XML Language

An XML document consists of

- a **prolog**
- a number of **elements**
- an optional **epilog** (not discussed, not used much)

(2) XML details

Prolog of an XML Document

The prolog consists of

- an XML declaration and
- an optional reference to external structuring documents

```
<?xml version="1.0" encoding="UTF-16"?>
```

```
<!DOCTYPE book SYSTEM "book.dtd">
```

(2) XML details

XML Elements

- Elements are the “things” the XML document talks about
 - E.g. books, authors, publishers
- An element consists of:
 - an opening tag
 - the content
 - a closing tag

```
<lecturer>David Billington</lecturer>
```

(2) XML details

XML Elements

- Tag names can be chosen almost freely.
- The first character must be a letter, an underscore, or a colon
- No name may begin with the string “xml” in any combination of cases
 - E.g. “Xml”, “xML”

(2) XML details

Content of XML Elements

- Content may be text, or other elements, or nothing

```
<lecturer>
  <name>David Billington</name>
  <phone> +61 - 7 - 3875 507 </phone>
</lecturer>
```

- If there is no content, then the element is called empty; it can be abbreviated as follows:

```
<lecturer/> for <lecturer></lecturer>
```

(2) XML details

XML Attributes

- An empty element is not necessarily meaningless
 - It may have some properties in terms of attributes
- An **attribute** is a name-value pair inside the opening tag of an element

```
<lecturer
  name="David Billington"
  phone="+61 - 7 - 3875 507" />
```

(2) XML details

XML Attributes: An Example

```
<order orderNo="23456"
  customer="John Smith"
  date="October 15, 2002" >
  <item itemNo="a528" quantity="1" />
  <item itemNo="c817" quantity="3" />
</order>
```

(2) XML details

The Same Example without Attributes

```
<order>
  <orderNo>23456</orderNo>
  <customer>John Smith</customer>
  <date>October 15, 2002</date>
  <item>
    <itemNo>a528</itemNo>
    <quantity>1</quantity>
  </item>
  <item>
    <itemNo>c817</itemNo>
    <quantity>3</quantity>
  </item>
</order>
```

(2) XML details

XML Elements vs. Attributes

- Attributes can be replaced by elements
- When to use elements and when attributes is a matter of taste
- **But attributes cannot be nested**

(2) XML details

Further Components of XML Docs

- **Comments**
 - A piece of text that is to be ignored by parser
- ```
<!-- This is a comment -->
```
- **Processing Instructions (PIs)**
    - Define procedural attachments
- ```
<?stylesheet type="text/css" href="mystyle.css"?>
```

(2) XML details

Well-Formed XML Documents

Syntactically correct documents must adhere to many rules

- Only one outermost element (the **root element**)
 - Each element contains an opening and a corresponding closing tag
 - Tags may not overlap
- ```
<author><name>Lee Hong</author></name>
```
- Attributes within an element have unique names
  - Element and tag names must be permissible

(2) XML details

## The Tree Model of XML Docs

The tree representation of an XML document is an **ordered** labeled tree:

- There is exactly one root
- There are no cycles
- Each non-root node has exactly one parent
- Each node has a label.
- The order of elements is important
- ... but the order of attributes is not important

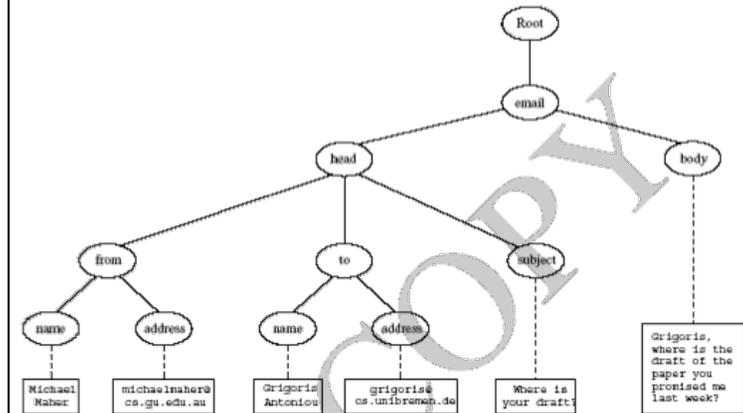
(2) XML details

## Tree Model of XML Documents

```
<email>
<head>
 <from name="Michael Maher"
 address="michaelmaher@cs.gu.edu.au"/>
 <to name="Grigoris Antoniou"
 address="grigoris@cs.unibremen.de"/>
 <subject>Where is your draft?</subject>
</head>
<body>
 Grigoris, where is the draft of the paper you promised
 me last week?
</body>
</email>
```

(2) XML details

## Tree Model of XML Documents



(2) XML details

## Outline

- (1) Introduction
- (2) Detailed Description of XML
- (3) **Structuring**
  - DTDs
  - XML Schema
- (4) Namespaces
- (5) Accessing, querying XML documents: XPath
- (6) Transformations: XSLT

## Structuring XML Documents

- Some XML documents are required to follow constraints defined in a “template” which can...
- Define all the element and attribute names that may be used
- Define the structure
  - what values an attribute may take
  - which elements may or must occur within other elements, etc.
- If such structuring information exists, the document can be **validated**

(3) Structure

## Structuring XML Documents

- An XML document is **valid** if
  - it is well-formed
  - respects the structuring information it uses
- There are several ways of defining the structure of XML documents:
  - **DTDs** (*Document Type Definition*) came first, was based on SGML's approach.
  - **XML Schema** (aka *XML Schema Definition* or XSD) is a more recent W3C recommendation and offers extended possibilities
  - RELAX NG and DSDs are two alternatives

(3) Structure

## DTD: Element Type Definition

```
<lecturer>
 <name>David Billington</name>
 <phone> +61 - 7 - 3875 507 </phone>
</lecturer>
```

DTD for above element (and all **lecturer** elements):

```
<!ELEMENT lecturer (name, phone) >
<!ELEMENT name (#PCDATA) >
<!ELEMENT phone (#PCDATA) >
```

(3) Structure: DTDs

## The Meaning of the DTD

- The element types **lecturer**, **name**, and **phone** may be used in the document
- A **lecturer** element contains a **name** element and a **phone** element, in that order (*sequence*)
- A **name** element and a **phone** element may have any content
  - In DTDs, **#PCDATA** is the only atomic type for elements
  - PCDATA = "*parsed character data*"

(3) Structure: DTDs

## Disjunction in Element Type Definitions

- We express that a **lecturer** element contains *either* a **name** element *or* a **phone** element as follows:

```
<!ELEMENT lecturer (name | phone) >
```
- A **lecturer** element contains a **name** element and a **phone** element in *any order*.

```
<!ELEMENT lecturer((name,phone)|
(phone,name))>
```
- Do you see a problem with this approach?

(3) Structure: DTDs

## Example of an XML Element

```
<order orderNo="23456"
 customer="John Smith"
 date="October 15, 2002">
 <item itemNo="a528" quantity="1"/>
 <item itemNo="c817" quantity="3"/>
</order>
```

(3) Structure: DTDs

## The Corresponding DTD

```
<!ELEMENT order (item+)>
<!ATTLIST order
 orderNo ID #REQUIRED
 customer CDATA #REQUIRED
 date CDATA #REQUIRED >

<!ELEMENT item EMPTY>
<!ATTLIST item
 itemNo ID #REQUIRED
 quantity CDATA #REQUIRED
 comments CDATA #IMPLIED >
```

(3) Structure: DTDs

## Comments on the DTD

- The **item** element type is defined to be empty
  - i.e., it can contain no elements
- **+** (after **item**) is a **cardinality operator**:
  - Specifies how many item elements can be in an order
  - **?**: appears zero times or once
  - **\***: appears zero or more times
  - **+**: appears one or more times
  - No cardinality operator means exactly once

(3) Structure: DTDs

## Comments on the DTD

- In addition to defining elements, we define attributes
- This is done in an **attribute list** containing:
  - Name of the element type to which the list applies
  - A list of triplets of attribute name, attribute type, and value type
- **Attribute name**: A name that may be used in an XML document using a DTD

(3) Structure: DTDs

## DTD: Attribute Types

- Similar to predefined data types, but limited selection
- The most important types are
  - **CDATA**, a string (sequence of characters)
  - **ID**, a name that is *unique* across the entire XML document (~ DB key)
  - **IDREF**, a reference to another element with an ID attribute carrying the same value as the IDREF attribute (~ DB foreign key)
  - **IDREFS**, a series of IDREFs
  - **(v1|...|vn)**, an enumeration of all possible values
- Limitations: no dates, number ranges etc.

(3) Structure: DTDs

## DTD: Attribute Value Types

- **#REQUIRED**
  - Attribute must appear in every occurrence of the element type in the XML document
- **#IMPLIED**
  - The appearance of the attribute is optional
- **#FIXED "value"**
  - Every element must have this attribute
- **"value"**
  - This specifies the default value for the attribute

(3) Structure: DTDs

## Referencing with IDREF and IDREFS

```
<!ELEMENT family (person*)>
<!ELEMENT person (name)>
<!ELEMENT name (#PCDATA)>
<!ATTLIST person
 id ID #REQUIRED
 mother IDREF #IMPLIED
 father IDREF #IMPLIED
 children IDREFS #IMPLIED >
```

(3) Structure: DTDs

## An XML Document Respecting the DTD

```
<family>
 <person id="bob" mother="mary" father="peter">
 <name>Bob Marley</name>
 </person>
 <person id="bridget" mother="mary">
 <name>Bridget Jones</name>
 </person>
 <person id="mary" children="bob bridget">
 <name>Mary Poppins</name>
 </person>
 <person id="peter" children="bob">
 <name>Peter Marley</name>
 </person>
</family>
```

(3) Structure: DTDs

## A DTD for an Email Element

```
<!ELEMENT email (head,body)>
<!ELEMENT head (from,to+,cc*,subject)>
<!ELEMENT from EMPTY>
<!ATTLIST from
 name CDATA #IMPLIED
 address CDATA #REQUIRED>
<!ELEMENT to EMPTY>
<!ATTLIST to
 name CDATA #IMPLIED
 address CDATA #REQUIRED>
```

(3) Structure: DTDs

## A DTD for an Email Element

```
<!ELEMENT cc EMPTY>
<!ATTLIST cc
 name CDATA #IMPLIED
 address CDATA #REQUIRED>
<!ELEMENT subject (#PCDATA) >
<!ELEMENT body (text,attachment*) >
<!ELEMENT text (#PCDATA) >
<!ELEMENT attachment EMPTY >
<!ATTLIST attachment
 encoding (mime|binhex) "mime"
 file CDATA #REQUIRED>
```

(3) Structure: DTDs

## Interesting Parts of the DTD

- A **head** element contains (in that order):
  - a **from** element
  - at least one **to** element
  - zero or more **cc** elements
  - a **subject** element
- In **from**, **to**, and **cc** elements
  - the **name** attribute is not required
  - the **address** attribute is always required

(3) Structure: DTDs

## Interesting Parts of the DTD

- A **body** element contains
  - a **text** element
  - possibly followed by a number of **attachment** elements
- The **encoding** attribute of an **attachment** element must have either the value “**mime**” or “**binhex**”
  - “**mime**” is the default value

(3) Structure: DTDs

## Remarks on DTDs

- A DTD can be interpreted as an Extended Backus-Naur Form (EBNF)
  - **<!ELEMENT email (head,body)>**
  - is equivalent to **email ::= head body**
- Recursive definitions possible in DTDs
  - **<!ELEMENT bintree  
((bintree root bintree)|emptytree)>**

(3) Structure: DTDs

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- (1) Introduction
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  - DTDs
  - **XML Schema**
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- (6) Transformations: XSLT

## XML Schema

- XML Schema is a significantly richer language for defining the structure of XML documents
- Syntax is based on XML itself
  - separate tools to handle them not needed
- Reuse and refinement of schemas
  - can expand or delete existing schemas
- Sophisticated set of **data types**, compared to DTDs (which only supports strings)
- W3C published the XML Schema recommendation in 2001

(3) Structure: XML Schema

## XML Schema

- An XML schema is an element with an opening tag like
  - <schema "http://www.w3.org/2000/10/ XMLSchema"  
version="1.0">**
- Structure of schema elements
  - Element and attribute types using data types

(3) Structure: XML Schema

## Element Types

```
<element name="email"/>
<element name="head"
 minOccurs="1"
 maxOccurs="1"/>
<element name="to" minOccurs="1"/>
```

Cardinality constraints:

- **minOccurs="x"** (default value 1)
- **maxOccurs="x"** (default value 1)
- Generalizations of \*, ?, + offered by DTDs

(3) Structure: XML Schema

## Attribute Types

```
<attribute name="id" type="ID" use="required"/>
< attribute name="speaks" type="Language"
 use="default" value="en"/>
```

- Existence: **use="x"**, where **x** may be **optional** or **required**
- Default value: **use="x" value="..."**, where **x** may be **default** or **fixed**

(3) Structure: XML Schema

## Data Types

- There are many **built-in data types**
  - Numerical data types: **integer**, **Short** etc.
  - String types: **string**, **ID**, **IDREF**, **CDATA** etc.
  - Date and time data types: **time**, **Month** etc.
- There are also **user-defined data types**
  - **simple data types**, which cannot use elements or attributes
  - **complex data types**, which can use these

(3) Structure: XML Schema

## Complex Data Types

**Complex data types** are defined from already existing data types by defining some attributes (if any) and using:

- **sequence**, a sequence of existing data type elements (order is important)
- **all**, a collection of elements that must appear (order is not important)
- **choice**, a collection of elements, of which one will be chosen

(3) Structure: XML Schema

## A Data Type Example

```
<complexType name="lecturerType">
 <sequence>
 <element name="firstname" type="string"
 minOccurs="0" maxOccurs="unbounded"/>
 <element name="lastname" type="string"/>
 </sequence>
 <attribute name="title" type="string"
 use="optional"/>
</complexType>
```

(3) Structure: XML Schema

## Data Type Extension

Already existing data types can be extended by new elements or attributes. Example:

```
<complexType name="extendedLecturerType">
 <extension base="lecturerType">
 <sequence>
 <element name="email" type="string"
 minOccurs="0" maxOccurs="1"/>
 </sequence>
 <attribute name="rank" type="string"
 use="required"/>
 </extension>
</complexType>
```

(3) Structure: XML Schema

## Resulting Data Type

```
<complexType name="extendedLecturerType">
 <sequence>
 <element name="firstname" type="string"
 minOccurs="0" maxOccurs="unbounded"/>
 <element name="lastname" type="string"/>
 <element name="email" type="string"
 minOccurs="0" maxOccurs="1"/>
 </sequence>
 <attribute name="title" type="string" use="optional"/>
 <attribute name="rank" type="string" use="required"/>
</complexType>
```

(3) Structure: XML Schema

## Data Type Extension

A **hierarchical relationship** exists between the original and the extended type

- Instances of the extended type are also instances of the original type
- They may contain additional information, but neither less information, nor information of the wrong type

(3) Structure: XML Schema

## Data Type Restriction

- An existing data type may be restricted by adding constraints on certain values
- Restriction is not the opposite from extension
  - Restriction is not achieved by deleting elements or attributes
- The following **hierarchical relationship** still holds:
  - Instances of the restricted type are also instances of the original type
  - They satisfy at least the constraints of the original type

(3) Structure: XML Schema

## Example of Data Type Restriction

```
<complexType name="restrictedLecturerType">
 <restriction base="lecturerType">
 <sequence>
 <element name="firstname" type="string"
 minOccurs="1" maxOccurs="2"/>
 </sequence>
 <attribute name="title" type="string"
 use="required"/>
 </restriction>
 </complexType>
```

(3) Structure: XML Schema

## Restriction of Simple Data Types

```
<simpleType name="dayOfMonth">
 <restriction base="integer">
 <minInclusive value="1"/>
 <maxInclusive value="31"/>
 </restriction>
</simpleType>
```

(3) Structure: XML Schema

## Data Type Restriction: Enumeration

```
<simpleType name="dayOfWeek">
 <restriction base="string">
 <enumeration value="Mon"/>
 <enumeration value="Tue"/>
 <enumeration value="Wed"/>
 <enumeration value="Thu"/>
 <enumeration value="Fri"/>
 <enumeration value="Sat"/>
 <enumeration value="Sun"/>
 </restriction>
</simpleType>
```

(3) Structure: XML Schema

## XML Schema: The Email Example

```
<element name="email" type="emailType"/>

<complexType name="emailType">
 <sequence>
 <element name="head" type="headType"/>
 <element name="body" type="bodyType"/>
 </sequence>
</complexType>
```

(3) Structure: XML Schema

## XML Schema: The Email Example

```
<complexType name="headType">
 <sequence>
 <element name="from" type="nameAddress"/>
 <element name="to" type="nameAddress"
 minOccurs="1" maxOccurs="unbounded"/>
 <element name="cc" type="nameAddress"
 minOccurs="0" maxOccurs="unbounded"/>
 <element name="subject" type="string"/>
 </sequence>
</complexType>
```

(3) Structure: XML Schema

## XML Schema: The Email Example

```
<complexType name="nameAddress">
 <attribute name="name" type="string"
 use="optional"/>
 <attribute name="address"
 type="string" use="required"/>
</complexType>
```

- Similar for bodyType

(3) Structure: XML Schema

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## Namespaces

- An XML document may use more than one DTD or schema
- Since each structuring document was developed independently, name clashes may appear
- The solution is to use a different prefix for each DTD or schema
  - **prefix:name**

(4) Namespaces

## An Example

```
<vu:instructors xmlns:vu="http://www.vu.com/empDTD"
 xmlns:gu="http://www.gu.au/empDTD"
 xmlns:uky=http://www.uky.edu/empDTD >

 <uky:faculty uky:title="assistant professor"
 uky:name="John Smith"
 uky:department="Computer Science"/>

 <gu:academicStaff gu:title="lecturer"
 gu:name="Mate Jones"
 gu:school="Information Technology"/>

</vu:instructors>
```

(4) Namespaces

## Namespace Declarations

- Namespaces are declared within an element and can be used in that element and any of its children (elements and attributes)
- A namespace declaration has the form:
  - **xmlns:prefix="location"**
  - **location** is the address of the DTD or schema
- If a prefix is not specified: **xmlns="location"** then the **location** is used by default

(4) Namespaces

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## Addressing & Querying XML Documents

- In relational databases, parts of a database can be selected and retrieved using SQL
  - Also very useful for XML documents
  - **Query languages**: XQuery, XQL, XML-QL
- The central concept of XML query languages is a **path expression**
  - Specifies how a node or a set of nodes, in the tree representation of the XML document can be reached

(5) XPath

## XPath

- XPath is core for XML query languages
- Language for addressing parts of an XML document.
  - It operates on the tree data model of XML
  - It has a non-XML syntax

(5) XPath

## Types of Path Expressions

- **Absolute** (starting at the root of the tree)
  - Syntactically they begin with the symbol /
  - It refers to the root of the document (situated one level above the root element of the document)
- **Relative** to a context node

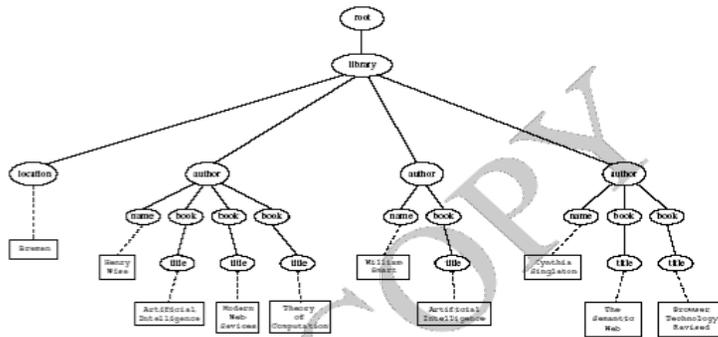
(5) XPath

## An XML Example

```
<library location="Bremen">
 <author name="Henry Wise">
 <book title="Artificial Intelligence"/>
 <book title="Modern Web Services"/>
 <book title="Theory of Computation"/>
 </author>
 <author name="William Smart">
 <book title="Artificial Intelligence"/>
 </author>
 <author name="Cynthia Singleton">
 <book title="The Semantic Web"/>
 <book title="Browser Technology Revised"/>
 </author>
</library>
```

(5) XPath

## Tree Representation



(5) XPath

## Examples of Path Expressions in XPath

- **Q1: Address all author elements**  
**/library/author**
- Addresses all **author** elements that are children of the **library** element node, which resides immediately below the root
- **/t1/.../tn**, where each **ti+1** is a child node of **ti**, is a path through the tree representation

(5) XPath

## Examples of Path Expressions in XPath

- **Q2: Address all author elements**  
**//author**
  - Here **//** says that we should consider all elements in the document and check whether they are of type **author**
  - This path expression addresses all **author** elements anywhere in the document

(5) XPath

## Examples of Path Expressions in XPath

- **Q3: Address the location attribute nodes within library element nodes**  
**/library/@location**
  - Note: The symbol **@** is used to denote attribute nodes
- **Q4: Address all title attribute nodes within book elements anywhere in the document, which have the value "Artificial Intelligence"**  
**//book/@title="Artificial Intelligence"**

(5) XPath

## Examples of Path Expressions in XPath

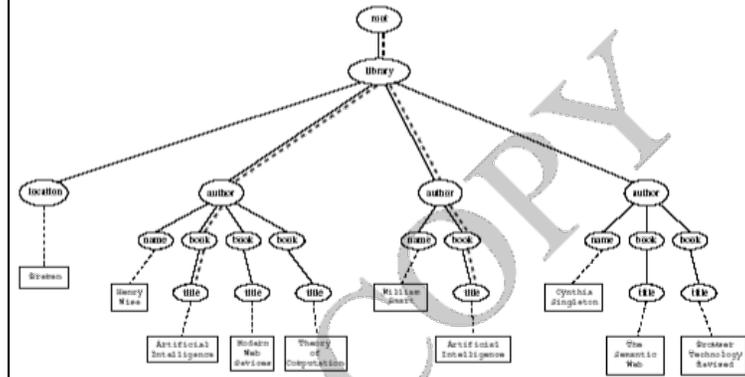
- **Q5: Address all books with title “Artificial Intelligence”**

**/book[@title="Artificial Intelligence"]**

- A test in brackets is a **filter expression** that restricts the set of addressed nodes.
- Note differences between Q4 and Q5:
  - Query 5 addresses **book** elements, the **title** of which satisfies a certain condition.
  - Query 4 collects **title** attribute nodes of **book** elements

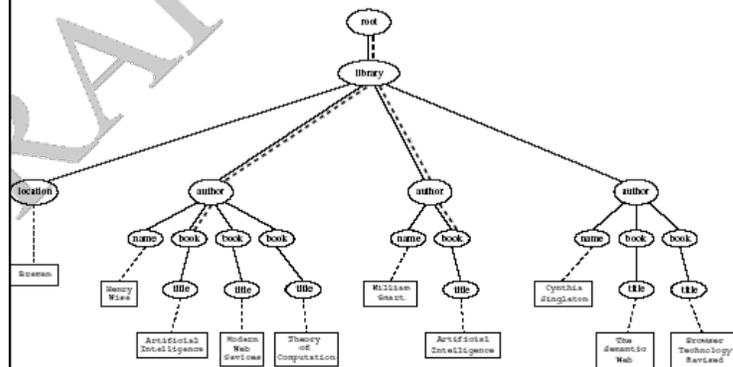
(5) XPath

## Tree Representation of Query 4



(5) XPath

## Tree Representation of Query 5



(5) XPath

## Examples of Path Expressions in XPath

- **Q6: Address first author element node in the XML document**  
**//author[1]**
- **Q7: Address last book element within the first author element node in the document**  
**//author[1]/book[last()]**
- **Q8: Address all book element nodes without a title attribute**  
**//book[not @title]**

(5) XPath

## General Form of Path Expressions

- A **path expression** consists of a series of steps, separated by slashes
- A **step** consists of
  - An **axis specifier**,
  - A **node test**, and
  - An optional **predicate**

(5) XPath

## General Form of Path Expressions

- An **axis specifier** determines the tree relationship between the nodes to be addressed and the context node
  - E.g. parent, ancestor, child (the default), sibling, attribute node
  - // is such an axis specifier: descendant or self

(5) XPath

## General Form of Path Expressions

- A **node test** specifies which nodes to address
  - The most common node tests are element names
  - E.g., \* addresses all element nodes
  - **comment()** addresses all comment nodes

(5) XPath

## General Form of Path Expressions

- **Predicates** (or *filter expressions*) are optional and are used to refine the set of addressed nodes
  - E.g., the expression **[1]** selects the first node
  - **[position()=last()]** selects the last node
  - **[position() mod 2 =0]** selects the even nodes
- XPath has a more complicated full syntax.
  - We have only presented the abbreviated syntax

(5) XPath

## Outline

- (1) Introduction
- (2) Detailed Description of XML
- (3) Structuring
  - DTDs
  - XML Schema
- (4) Namespaces
- (5) Accessing, querying XML documents: XPath
- (6) Transformations: XSLT**

## Displaying XML Documents

```
<author>
 <name>Grigoris Antoniou</name>
 <affiliation>University of Bremen</affiliation>
 <email>ga@tzi.de</email>
</author>
```

may be displayed in different ways:

<b>Grigoris Antoniou</b>	<i>Grigoris Antoniou</i>
University of Bremen	University of Bremen
<a href="mailto:ga@tzi.de">ga@tzi.de</a>	<a href="mailto:ga@tzi.de">ga@tzi.de</a>

**Idea:** use an external style sheet to transform an XML tree into an HTML or XML tree

(5) XSLT transformations

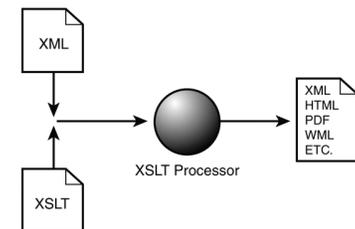
## Style Sheets

- Style sheets can be written in various languages
  - E.g. CSS2 (cascading style sheets level 2)
  - XSL (extensible stylesheet language)
- XSL includes
  - a transformation language (XSLT)
  - a formatting language
  - Both are XML applications

(5) XSLT transformations

## XSL Transformations (XSLT)

- XSLT specifies rules with which an input XML document is transformed to
  - another XML document
  - an HTML document
  - plain text



- The output document may use the same DTD or schema, or a completely different vocabulary
- XSLT can be used independently of the formatting language

(5) XSLT transformations

## XSLT

- Move data and metadata from one XML representation to another
- XSLT is chosen when applications that use different DTDs or schemas need to communicate
- XSLT can be used for machine processing of content without any regard to displaying the information for people to read.
- In the following example we use XSLT only to display XML documents as HTML

(5) XSLT transformations

## XSLT Transformation into HTML

```
<author>
 <name>Grigoris Antoniou</name>
 <affiliation>University of Bremen</affiliation>
 <email>ga@tzi.de</email>
</author>
```

```
<xsl:template match="/author">
 <html>
 <head><title>An author</title></head>
 <body bgcolor="white">
 <xsl:value-of select="name"/>

 <xsl:value-of select="affiliation"/>

 <i><xsl:value-of select="email"/></i>
 </body>
 </html>
</xsl:template>
```

(5) XSLT transformations

## Style Sheet Output

```
<author>
 <name>Grigoris Antoniou</name>
 <affiliation>University of Bremen</affiliation>
 <email>ga@tzi.de</email>
</author>
```

```
<xsl:template match="/author"> <html>
 <head><title>An author</title></head>
 <body bgcolor="white">
 <xsl:value-of select="name"/>

 <xsl:value-of select="affiliation"/>

 <i><xsl:value-of select="email"/></i>
 </body>
</html></xsl:template>
```

```
<html>
 <head><title>An author</title></head>
 <body bgcolor="white">
 Grigoris Antoniou

 University of Bremen

 <i>ga@tzi.de</i>
 </body>
</html>
```

(5) XSLT transformations

## Observations About XSLT

- XSLT documents are XML documents
  - XSLT resides on top of XML
- The XSLT document defines a **template**
  - In this case an HTML document, with some placeholders for content to be inserted
- **xsl:value-of** retrieves the value of an element and copies it into the output document
  - It places some content into the template

(5) XSLT transformations

## A Template

```
<html>
 <head><title>An author</title></head>
 <body bgcolor="white">
 ...

 ...

 <i>...</i>
 </body>
</html>
```

(5) XSLT transformations

## Auxiliary Templates

- We have an XML document with details of several authors
- It is a waste of effort to treat each **author** element separately
- In such cases, a special template is defined for **author** elements, which is used by the main template

(5) XSLT transformations

## Example of an Auxiliary Template

```
<authors>
 <author>
 <name>Grigoris Antoniou</name>
 <affiliation>University of Bremen</affiliation>
 <email>ga@tzi.de</email>
 </author>
 <author>
 <name>David Billington</name>
 <affiliation>Griffith University</affiliation>
 <email>david@gu.edu.net</email>
 </author>
</authors>
```

(5) XSLT transformations

## Example of an Auxiliary Template (2)

```
<xsl:template match="/">
 <html>
 <head><title>Authors</title></head>
 <body bgcolor="white">
 <xsl:apply-templates select="authors"/>
 <!-- Apply templates for AUTHORS children -->
 </body>
 </html>
</xsl:template>
```

(5) XSLT transformations

### Example of an Auxiliary Template (3)

```
<xsl:template match="authors">
 <xsl:apply-templates select="author"/>
</xsl:template>

<xsl:template match="author">
 <h2><xsl:value-of select="name"/></h2>
 <p> Affiliation:<xsl:value-of select="affiliation"/>

 Email: <xsl:value-of select="email"/> </p>
</xsl:template>
```

(5) XSLT transformations

### Multiple Authors Output

```
<html>
 <head><title>Authors</title></head>
 <body bgcolor="white">
 <h2>Grigoris Antoniou</h2>
 <p>Affiliation: University of Bremen

 Email: ga@tzi.de</p>
 <h2>David Billington</h2>
 <p>Affiliation: Griffith University

 Email: david@gu.edu.net</p>
 </body>
</html>
```

(5) XSLT transformations

### Explanation of the Example

**xsl:apply-templates** element causes all children of the context node to be matched against the selected path expression

- e.g., if the current template applies to /, then element **xsl:apply-templates** applies to root element
- i.e. the **authors** element (/ is located above the root element)
- If current context node is the **authors** element, then element **xsl:apply-templates select="author"** causes the template for the **author** elements to be applied to all **author** children of the **authors** element

(5) XSLT transformations

### Explanation of the Example

- It is good practice to define a template for each element type in the document
  - Even if no specific processing is applied to certain elements, the **xsl:apply-templates** element should be used
  - E.g. **authors**
- In this way, we work from the root to the leaves of the tree, and **all** templates are applied

(5) XSLT transformations

## Processing XML Attributes

Suppose we wish to transform to itself the element:

```
<person firstname="John" lastname="Woo"/>
```

### Wrong solution:

```
<xsl:template match="person">
 <person firstname="<xsl:value-of select="@firstname">"
 lastname="<xsl:value-of select="@lastname">"/>
</xsl:template>
```

(5) XSLT transformations

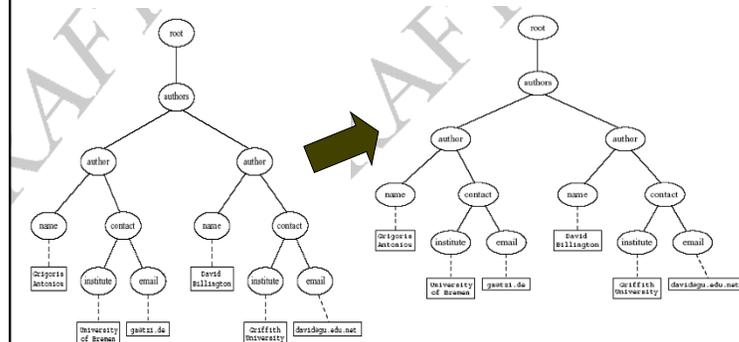
## Processing XML Attributes

- Not well-formed because tags are not allowed within the values of attributes
- We wish to add attribute values into template

```
<xsl:template match="person">
 <person
 firstname="{@firstname}"
 lastname="{@lastname}" />
</xsl:template>
```

(5) XSLT transformations

## Transforming an XML Document to Another



(5) XSLT transformations

## Transforming an XML Document to Another

```
<xsl:template match="/">
 <?xml version="1.0" encoding="UTF-16"?>
 <authors>
 <xsl:apply-templates select="authors"/>
 </authors>
</xsl:template>
```

```
<xsl:template match="authors">
 <author>
 <xsl:apply-templates select="author"/>
 </author>
</xsl:template>
```

(5) XSLT transformations

## Transforming an XML Document to Another

```
<xsl:template match="author">
 <name><xsl:value-of select="name"/></name>
 <contact>
 <institution>
 <xsl:value-of select="affiliation"/>
 </institution>
 <email><xsl:value-of select="email"/></email>
 </contact>
</xsl:template>
```

(5) XSLT transformations

## Summary

- XML is a metalanguage that allows users to define markup
- XML separates content and structure from formatting
- XML is the de facto standard to represent and exchange structured information on the Web
- XML is supported by query languages

## For Discussion in Subsequent Chapters

- The nesting of tags does not have standard meaning
- The semantics of XML documents is not accessible to machines, only to people
- Collaboration and exchange are supported if there is underlying shared understanding of the vocabulary
- XML is well-suited for close collaboration, where domain- or community-based vocabularies are used
  - It is not so well-suited for global communication.