

# First-Order Logic: Review

## First-order logic

- First-order logic (FOL) models the world in terms of
  - **Objects**, which are things with individual identities
  - **Properties** of objects that distinguish them from others
  - **Relations** that hold among sets of objects
  - **Functions**, which are a subset of relations where there is only one “value” for any given “input”
- Examples:
  - Objects: Students, lectures, companies, cars ...
  - Relations: Brother-of, bigger-than, outside, part-of, has-color, occurs-after, owns, visits, precedes, ...
  - Properties: blue, oval, even, large, ...
  - Functions: father-of, best-friend, second-half, more-than ...

## User provides

- **Constant symbols** representing individuals in the world
  - Mary, 3, green
- **Function symbols**, map individuals to individuals
  - father\_of(Mary) = John
  - color\_of(Sky) = Blue
- **Predicate symbols**, map individuals to truth values
  - greater(5,3)
  - green(Grass)
  - color(Grass, Green)

## FOL Provides

- **Variable symbols**
  - E.g., x, y, foo
- **Connectives**
  - Same as in propositional logic: not ( $\neg$ ), and ( $\wedge$ ), or ( $\vee$ ), implies ( $\rightarrow$ ), iff ( $\leftrightarrow$ )
- **Quantifiers**
  - Universal  $\forall x$  or (**Ax**)
  - Existential  $\exists x$  or (**Ex**)

## Sentences: built from terms and atoms

- A **term** (denoting a real-world individual) is a constant symbol, variable symbol, or n-place function of n terms.
  - $x$  and  $f(x_1, \dots, x_n)$  are terms, where each  $x_i$  is a term
  - A term with no variables is a **ground term** (i.e., john, father\_of(father\_of(john)))
- An **atomic sentence** (which has value true or false) is an n-place predicate of n terms (e.g., green(Kermit))
- A **complex sentence** is formed from atomic sentences connected by the logical connectives:
  - $\neg P, P \vee Q, P \wedge Q, P \rightarrow Q, P \leftrightarrow Q$  where P and Q are sentences

## Sentences: built from terms and atoms

- A **quantified sentence** adds quantifiers  $\forall$  and  $\exists$
- A **well-formed formula (wff)** is a sentence containing no “free” variables. That is, all variables are “bound” by universal or existential quantifiers.
  - ( $\forall x$ )P(x,y) has x bound as a universally quantified variable, but y is free

## A BNF for FOL

```
S := <Sentence> ;
<Sentence> := <AtomicSentence> |
  <Sentence> <Connective> <Sentence> |
  <Quantifier> <Variable>, ... <Sentence> |
  "NOT" <Sentence> |
  "(" <Sentence> ")";
<AtomicSentence> := <Predicate> "(" <Term>, ... ")" |
  <Term> "=" <Term>;
<Term> := <Function> "(" <Term>, ... ")" |
  <Constant> |
  <Variable>;
<Connective> := "AND" | "OR" | "IMPLIES" | "EQUIVALENT";
<Quantifier> := "EXISTS" | "FORALL";
<Constant> := "A" | "X1" | "John" | ... ;
<Variable> := "a" | "x" | "s" | ... ;
<Predicate> := "Before" | "HasColor" | "Raining" | ... ;
<Function> := "Mother" | "LeftLegOf" | ... ;
```

## Quantifiers

- **Universal quantification**
  - ( $\forall x$ )P(x) means P holds for **all** values of x in domain associated with variable
  - E.g., ( $\forall x$ ) dolphin(x)  $\rightarrow$  mammal(x)
- **Existential quantification**
  - ( $\exists x$ )P(x) means P holds for **some** value of x in domain associated with variable
  - E.g., ( $\exists x$ ) mammal(x)  $\wedge$  lays\_eggs(x)
  - Permits one to make a statement about some object without naming it

## Quantifiers

- Universal quantifiers often used with *implies* to form *rules*:  
 $(\forall x) \text{ student}(x) \rightarrow \text{smart}(x)$  means “All students are smart”
- Universal quantification is *rarely* used to make blanket statements about every individual in the world:  
 $(\forall x) \text{ student}(x) \wedge \text{smart}(x)$  means “Everyone in the world is a student and is smart”
- Existential quantifiers are usually used with “and” to specify a list of properties about an individual:  
 $(\exists x) \text{ student}(x) \wedge \text{smart}(x)$  means “There is a student who is smart”
- Common mistake: represent this EN sentence in FOL as:  
 $(\exists x) \text{ student}(x) \rightarrow \text{smart}(x)$   
 – What does this sentence mean?

## Quantifier Scope

- FOL sentences have structure, like programs
- In particular, the variables in a sentence have a scope
- For example, suppose we want to say  
 – “everyone who is alive loves someone”  
 $\neg(\forall x) \text{ alive}(x) \rightarrow (\exists y) \text{ loves}(x,y)$
- Here’s how we scope the variables

$(\forall x) \text{ alive}(x) \rightarrow (\exists y) \text{ loves}(x,y)$

— Scope of x  
 — Scope of y

## Quantifier Scope

- **Switching order of universal quantifiers *does not* change the meaning**  
 $\neg(\forall x)(\forall y)P(x,y) \leftrightarrow (\forall y)(\forall x) P(x,y)$   
 – “Dogs hate cats”
- **You can switch order of existential quantifiers**  
 $\neg(\exists x)(\exists y)P(x,y) \leftrightarrow (\exists y)(\exists x) P(x,y)$   
 – “A cat killed a dog”
- **Switching order of universals and existentials *does* change meaning:**  
 – Everyone likes someone:  $(\forall x)(\exists y) \text{ likes}(x,y)$   
 – Someone is liked by everyone:  $(\exists y)(\forall x) \text{ likes}(x,y)$

## Connections between All and Exists

- We can relate sentences involving  $\forall$  and  $\exists$  using **De Morgan’s laws**:  
 1.  $(\forall x) \neg P(x) \leftrightarrow \neg(\exists x) P(x)$   
 2.  $\neg(\forall x) P \leftrightarrow (\exists x) \neg P(x)$   
 3.  $(\forall x) P(x) \leftrightarrow \neg(\exists x) \neg P(x)$   
 4.  $(\exists x) P(x) \leftrightarrow \neg(\forall x) \neg P(x)$
- Examples  
 1. All dogs don’t like cats  $\leftrightarrow$  No dogs like cats  
 2. Not all dogs dance  $\leftrightarrow$  There is a dog that doesn’t dance  
 3. All dogs sleep  $\leftrightarrow$  There is no dog that doesn’t sleep  
 4. There is a dog that talks  $\leftrightarrow$  Not all dogs can’t talk

## Quantified inference rules

- Universal instantiation
  - $\forall x P(x) \therefore P(A)$
- Universal generalization
  - $P(A) \wedge P(B) \dots \therefore \forall x P(x)$
- Existential instantiation
  - $\exists x P(x) \therefore P(F)$       ←skolem constant F
- Existential generalization
  - $P(A) \therefore \exists x P(x)$       *F must be a "new" constant not appearing in the KB*

## Universal instantiation (a.k.a. universal elimination)

- If  $(\forall x) P(x)$  is true, then  $P(C)$  is true, where  $C$  is *any* constant in the domain of  $x$ , e.g.:
  - $(\forall x) \text{eats}(\text{John}, x) \Rightarrow$   
 $\text{eats}(\text{John}, \text{Cheese18})$
- Note that function applied to ground terms is also a constant
  - $(\forall x) \text{eats}(\text{John}, x) \Rightarrow$   
 $\text{eats}(\text{John}, \text{contents}(\text{Box42}))$

## Existential instantiation (a.k.a. existential elimination)

- From  $(\exists x) P(x)$  infer  $P(c)$ , e.g.:
  - $(\exists x) \text{eats}(\text{Mickey}, x) \rightarrow \text{eats}(\text{Mickey}, \text{Stuff345})$
- The variable is replaced by a **brand-new constant** not occurring in this or any sentence in the KB
- Also known as skolemization; constant is a **skolem constant**
- We don't want to accidentally draw other inferences about it by introducing the constant
- Can use this to reason about unknown objects, rather than constantly manipulating existential quantifiers

## Existential generalization (a.k.a. existential introduction)

- If  $P(c)$  is true, then  $(\exists x) P(x)$  is inferred, e.g.:
  - $\text{Eats}(\text{Mickey}, \text{Cheese18}) \Rightarrow$   
 $(\exists x) \text{eats}(\text{Mickey}, x)$
- All instances of the given constant symbol are replaced by the new variable symbol
- Note that the variable symbol cannot already exist anywhere in the expression

## Translating English to FOL

**Every gardener likes the sun**

$\forall x \text{ gardener}(x) \rightarrow \text{likes}(x, \text{Sun})$

**You can fool some of the people all of the time**

$\exists x \forall t \text{ person}(x) \wedge \text{time}(t) \rightarrow \text{can-fool}(x, t)$

**You can fool all of the people some of the time**

$\forall x \exists t (\text{person}(x) \rightarrow \text{time}(t) \wedge \text{can-fool}(x, t))$  Note 2 possible readings of NL sentence

$\forall x (\text{person}(x) \rightarrow \exists t (\text{time}(t) \wedge \text{can-fool}(x, t)))$

**All purple mushrooms are poisonous**

$\forall x (\text{mushroom}(x) \wedge \text{purple}(x)) \rightarrow \text{poisonous}(x)$

## Translating English to FOL

**No purple mushroom is poisonous (two ways)**

$\neg \exists x \text{ purple}(x) \wedge \text{mushroom}(x) \wedge \text{poisonous}(x)$

$\forall x (\text{mushroom}(x) \wedge \text{purple}(x)) \rightarrow \neg \text{poisonous}(x)$

**There are exactly two purple mushrooms**

$\exists x \exists y \text{ mushroom}(x) \wedge \text{purple}(x) \wedge \text{mushroom}(y) \wedge \text{purple}(y) \wedge \neg(x=y) \wedge \forall z (\text{mushroom}(z) \wedge \text{purple}(z)) \rightarrow ((x=z) \vee (y=z))$

**Obama is not short**

$\neg \text{short}(\text{Obama})$

## Logic and People



*"Logic—the last refuge of a scoundrel."*

- People can easily be confused by logic
- And are often suspicious of it, or give it too much weight

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## Monty Python example (Russell & Norvig)



**FIRST VILLAGER:** We have found a witch. May we burn her?

**ALL:** A witch! Burn her!

**BEDEVERE:** Why do you think she is a witch?

**SECOND VILLAGER:** She turned *me* into a newt.

**B:** A newt?

**V2 (after looking at himself for some time):** I got better.

**ALL:** Burn her anyway.

**B:** Quiet! Quiet! There are ways of telling whether she is a witch.

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**B:** Tell me... what do you do with witches?  
**ALL:** Burn them!  
**B:** And what do you burn, apart from witches?  
**V4:** ...wood?  
**B:** So **why do witches burn?**  
**V2 (pianissimo):** **because they're made of wood?**  
**B:** Good.  
**ALL:** I see. Yes, of course.

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**B:** So how can we tell if she is made of wood?

**V1:** Make a bridge out of her.

**B:** Ah... but can you not also make bridges out of stone?

**ALL:** Yes, of course... um... er...

**B:** Does wood sink in water?

**ALL:** No, no, it floats. Throw her in the pond.

**B:** Wait. Wait... tell me, what also floats on water?

**ALL:** Bread? No, no no. Apples... gravy... very small rocks...

**B:** No, no, no,



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**KING ARTHUR:** A duck!  
*(They all turn and look at Arthur. Bedevere looks up, very impressed.)*  
**B:** Exactly. So... logically...  
**V1 (beginning to pick up the thread):** **If she... weighs the same as a duck... she's made of wood.**  
**B:** And therefore?  
**ALL:** **A witch!**

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### Fallacy: Affirming the conclusion

$\forall x \text{ witch}(x) \rightarrow \text{burns}(x)$

$\forall x \text{ wood}(x) \rightarrow \text{burns}(x)$

-----  
 $\therefore \forall z \text{ witch}(z) \rightarrow \text{wood}(z)$

$p \rightarrow q$

$r \rightarrow q$

-----  
 $p \rightarrow r$



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## Monty Python Near-Fallacy #2

wood(x)  $\rightarrow$  can-build-bridge(x)  
-----  
 $\therefore$  can-build-bridge(x)  $\rightarrow$  wood(x)

- B: Ah... but can you not also make bridges out of stone?

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## Monty Python Fallacy #3

$\forall x$  wood(x)  $\rightarrow$  floats(x)  
 $\forall x$  duck-weight(x)  $\rightarrow$  floats(x)  
-----  
 $\therefore \forall x$  duck-weight(x)  $\rightarrow$  wood(x)

p  $\rightarrow$  q  
r  $\rightarrow$  q  
-----  
 $\therefore$  r  $\rightarrow$  p

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## Monty Python Fallacy #4

$\forall z$  light(z)  $\rightarrow$  wood(z)  
light(W)  
-----  
 $\therefore$  wood(W)                   % ok.....

witch(W)  $\rightarrow$  wood(W)   % applying universal instan.  
                                  % to fallacious conclusion #1

wood(W)  
-----  
 $\therefore$  witch(z)

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## Example: A simple genealogy KB by FOL

- **Build a small genealogy knowledge base using FOL that**
  - contains facts of immediate family relations (spouses, parents, etc.)
  - contains definitions of more complex relations (ancestors, relatives)
  - is able to answer queries about relationships between people
- **Predicates:**
  - parent(x, y), child(x, y), father(x, y), daughter(x, y), etc.
  - spouse(x, y), husband(x, y), wife(x,y)
  - ancestor(x, y), descendant(x, y)
  - male(x), female(y)
  - relative(x, y)
- **Facts:**
  - husband(Joe, Mary), son(Fred, Joe)
  - spouse(John, Nancy), male(John), son(Mark, Nancy)
  - father(Jack, Nancy), daughter(Linda, Jack)
  - daughter(Liz, Linda)
  - etc.

• **Rules for genealogical relations**

- $(\forall x,y) \text{parent}(x, y) \leftrightarrow \text{child}(y, x)$
- $(\forall x,y) \text{father}(x, y) \leftrightarrow \text{parent}(x, y) \wedge \text{male}(x)$  ;similarly for mother(x, y)
- $(\forall x,y) \text{daughter}(x, y) \leftrightarrow \text{child}(x, y) \wedge \text{female}(x)$  ;similarly for son(x, y)
- $(\forall x,y) \text{husband}(x, y) \leftrightarrow \text{spouse}(x, y) \wedge \text{male}(x)$  ;similarly for wife(x, y)
- $(\forall x,y) \text{spouse}(x, y) \leftrightarrow \text{spouse}(y, x)$  ;**spouse relation is symmetric**
- $(\forall x,y) \text{parent}(x, y) \rightarrow \text{ancestor}(x, y)$
- $(\forall x,y)(\exists z) \text{parent}(x, z) \wedge \text{ancestor}(z, y) \rightarrow \text{ancestor}(x, y)$
- $(\forall x,y) \text{descendant}(x, y) \leftrightarrow \text{ancestor}(y, x)$
- $(\forall x,y)(\exists z) \text{ancestor}(z, x) \wedge \text{ancestor}(z, y) \rightarrow \text{relative}(x, y)$   
;related by common ancestry
- $(\forall x,y) \text{spouse}(x, y) \rightarrow \text{relative}(x, y)$  ;related by marriage
- $(\forall x,y)(\exists z) \text{relative}(z, x) \wedge \text{relative}(z, y) \rightarrow \text{relative}(x, y)$  ;**transitive**
- $(\forall x,y) \text{relative}(x, y) \leftrightarrow \text{relative}(y, x)$  ;**symmetric**

• **Queries**

- $\text{ancestor}(\text{Jack}, \text{Fred})$  ; the answer is yes
- $\text{relative}(\text{Liz}, \text{Joe})$  ; the answer is yes
- $\text{relative}(\text{Nancy}, \text{Matthew})$   
;no answer in general, no if under closed world assumption
- $(\exists z) \text{ancestor}(z, \text{Fred}) \wedge \text{ancestor}(z, \text{Liz})$

## Axioms for Set Theory in FOL

1. The only sets are the empty set and those made by adjoining something to a set:  
 $\forall s \text{set}(s) \Leftrightarrow (s = \text{EmptySet}) \vee (\exists x,r \text{Set}(r) \wedge s = \text{Adjoin}(s,r))$
2. The empty set has no elements adjoined to it:  
 $\sim \exists x,s \text{Adjoin}(x,s) = \text{EmptySet}$
3. Adjoining an element already in the set has no effect:  
 $\forall x,s \text{Member}(x,s) \Leftrightarrow s = \text{Adjoin}(x,s)$
4. The only members of a set are the elements that were adjoined into it:  
 $\forall s,r \text{Member}(x,s) \Leftrightarrow \exists y,r (s = \text{Adjoin}(y,r) \wedge (x=y \vee \text{Member}(x,r)))$
5. A set is a subset of another iff all of the 1st set's members are members of the 2nd:  
 $\forall s,r \text{Subset}(s,r) \Leftrightarrow (\forall x \text{Member}(x,s) \Rightarrow \text{Member}(x,r))$
6. Two sets are equal iff each is a subset of the other:  
 $\forall s,r (s=r) \Leftrightarrow (\text{subset}(s,r) \wedge \text{subset}(r,s))$
7. Intersection  
 $\forall x,s1,s2 \text{member}(X, \text{intersection}(S1,S2)) \Leftrightarrow \text{member}(X,s1) \wedge \text{member}(X,s2)$
8. Union  
 $\exists x,s1,s2 \text{member}(X, \text{union}(s1,s2)) \Leftrightarrow \text{member}(X,s1) \vee \text{member}(X,s2)$

## Semantics of FOL

- **Domain M:** the set of all objects in the world (of interest)
- **Interpretation I:** includes
  - Assign each constant to an object in M
  - Define each function of n arguments as a mapping  $M^n \Rightarrow M$
  - Define each predicate of n arguments as a mapping  $M^n \Rightarrow \{T, F\}$
  - Therefore, every ground predicate with any instantiation will have a truth value
  - In general there is an infinite number of interpretations because |M| is infinite
- **Define logical connectives:**  $\sim, \wedge, \vee, \Rightarrow, \Leftrightarrow$  as in PL
- **Define semantics of  $(\forall x)$  and  $(\exists x)$** 
  - $(\forall x) P(x)$  is true iff P(x) is true under all interpretations
  - $(\exists x) P(x)$  is true iff P(x) is true under some interpretation

- **Model:** an interpretation of a set of sentences such that every sentence is *True*
- **A sentence is**
  - **satisfiable** if it is true under some interpretation
  - **valid** if it is true under all possible interpretations
  - **inconsistent** if there does not exist any interpretation under which the sentence is true
- **Logical consequence:**  $S \models X$  if all models of S are also models of X

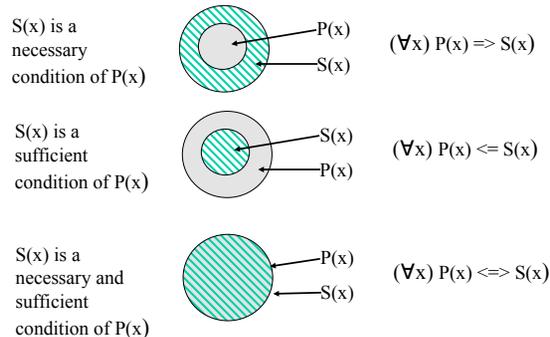
## Axioms, definitions and theorems

- **Axioms** are facts and rules that attempt to capture all of the (important) facts and concepts about a domain; axioms can be used to prove **theorems**
  - Mathematicians don't want any unnecessary (dependent) axioms, i.e. ones that can be derived from other axioms
  - Dependent axioms can make reasoning faster, however
  - Choosing a good set of axioms for a domain is a design problem
- A **definition** of a predicate is of the form " $p(X) \leftrightarrow \dots$ " and can be decomposed into two parts
  - **Necessary** description: " $p(x) \rightarrow \dots$ "
  - **Sufficient** description " $p(x) \leftarrow \dots$ "
  - Some concepts don't have complete definitions (e.g., person(x))

## More on definitions

- Example: define father(x, y) by parent(x, y) and male(x)
- **parent(x, y)** is a necessary (but not sufficient) description of father(x, y)
 
$$\text{father}(x, y) \rightarrow \text{parent}(x, y)$$
  - **parent(x, y) ^ male(x) ^ age(x, 35)** is a sufficient (but not necessary) description of father(x, y):
 
$$\text{father}(x, y) \leftarrow \text{parent}(x, y) \wedge \text{male}(x) \wedge \text{age}(x, 35)$$
  - **parent(x, y) ^ male(x)** is a necessary and sufficient description of father(x, y)
 
$$\text{parent}(x, y) \wedge \text{male}(x) \leftrightarrow \text{father}(x, y)$$

## More on definitions



## Higher-order logic

- FOL only allows to quantify over variables, and variables can only range over objects.
- HOL allows us to quantify over relations
- Example: (quantify over functions)
 

“two functions are equal iff they produce the same value for all arguments”

$$\forall f \forall g (f = g) \Leftrightarrow (\forall x f(x) = g(x))$$
- Example: (quantify over predicates)
 
$$\forall r \text{transitive}(r) \rightarrow (\forall xyz) r(x,y) \wedge r(y,z) \rightarrow r(x,z)$$
- More expressive, but undecidable, in general

## Expressing uniqueness

- Sometimes we want to say that there is a single, unique object that satisfies a certain condition
- “There exists a unique  $x$  such that  $\text{king}(x)$  is true”
  - $\exists x \text{ king}(x) \wedge \forall y (\text{king}(y) \rightarrow x=y)$
  - $\exists x \text{ king}(x) \wedge \neg \exists y (\text{king}(y) \wedge x \neq y)$
  - $\exists! x \text{ king}(x)$
- “Every country has exactly one ruler”
  - $\forall c \text{ country}(c) \rightarrow \exists! r \text{ ruler}(c,r)$
- Iota operator: “ $\iota x P(x)$ ” means “the unique  $x$  such that  $p(x)$  is true”
  - “The unique ruler of Freedonia is dead”
  - $\text{dead}(\iota x \text{ ruler}(\text{freedonia},x))$

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## Notational differences

- **Different symbols** for *and*, *or*, *not*, *implies*, ...
  - $\forall \exists \Rightarrow \Leftrightarrow \wedge \vee \neg \bullet \supset$
  - $p \vee (q \wedge r)$
  - $p + (q * r)$
  - etc
- **Prolog**
  - $\text{cat}(X) :- \text{furry}(X), \text{meows}(X), \text{has}(X, \text{claws})$
- **Lispy notations**
  - (forall ?x (implies (and (furry ?x)
  - (meows ?x)
  - (has ?x claws))
  - (cat ?x)))

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## Summary

- First order logic (FOL) introduces predicates, functions and quantifiers
- Much more expressive, but reasoning is more complex
  - Reasoning is semi-decidable
- FOL is a common AI knowledge representation language
- Other KR languages (e.g., OWL) are often defined by mapping them to FOL
- FOL variables range over objects
- HOL variables can range over functions, predicates or sentences