## Logical Inference

## Chapter 9

Some material adopted from notes
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## Overview

- Model checking
- Inference in first ader logic
- Inference rules and generalized modes ponens
-Forward chaining
-Backward chaining
-Resolution
- Clausal form
- Unification
- Resolution as search


## Model checking

- Given KB, does sentence S hold?
- Basically generate and test:
-Generate all the possible models
-Consider the models M in which KB is TRUE
-If $\forall \mathrm{M} \mathrm{S}$, then $S$ is provably true
-If $\forall \mathrm{M} \neg \mathrm{S}$, then S is provably false
-Otherwise ( $\exists \mathrm{M} 1 \mathrm{~S} \wedge \exists \mathrm{M} 2 \neg \mathrm{~S}$ ): S is satisfiable but neither provably true or provably false


## Efficient model checking

- Davis-Putnam algorithm (DPLL) is a Generate-and-test model checking with:
- Early termination (short-circuiting of disjunction and conjunction)
- Pure symbol heuristic: Any symbol that only appears negated or unnegated must be FALSE/TRUE respectively. (Can
"conditionalize" based on instantiations already produced)
- Unit clause heuristic: Any symbol that appears in a clause by itself can immediately be set to TRUE or FALSE
- WALKSAT: Local search for satisfiability: Pick a symbol to flip (toggle TRUE/FALSE), either using min-conflicts or choosing randomly
- ...or you can use any local or global search algorithm!


## Reminder: Inference rules for FOL

- Inference rules for propositional logic apply to FOL as well
- Modus Ponens, And-Introduction, And-Elimination, ...
- New (sound) inference rules for use with quantifiers:
-Universal elimination
-Existential introduction
-Existential elimination
-Generalized Modus Ponens (GMP)


## Automating FOL inference with Generalized Modus Ponens

## Generalized Modus Ponens (GMP)

- Apply modus ponens reasoning to generalized rules
- Combines And-Introduction, Universal-Elimination, and Modus Ponens - From $P(c)$ and $Q(c)$ and $(\forall x)(P(x) \wedge Q(x)) \rightarrow R(x)$ derive $R(c)$
- General case: Given
- atomic sentences $P_{1}, P_{2}, \ldots, P_{N}$
- implication sentence $\left(Q_{1} \wedge Q_{2} \wedge \ldots \wedge Q_{N}\right) \rightarrow R$
- $\mathrm{Q}_{1}, \ldots, \mathrm{Q}_{\mathrm{N}}$ and R are atomic sentences
substitution $\operatorname{subst}\left(\theta, P_{i}\right)=\operatorname{subst}\left(\theta, \mathrm{Q}_{\mathrm{i}}\right)$ for $\mathrm{i}=1, \ldots, \mathrm{~N}$
Derive new sentence: $\operatorname{subst}(\theta, R)$
- Substitutions
$-\operatorname{subst}(\theta, \alpha)$ denotes the result of applying a set of substitutions defined by $\theta$ to the sentence $\alpha$
- A substitution list $\theta=\left\{\mathrm{v}_{1} / \mathrm{t}_{1}, \mathrm{v}_{2} / \mathrm{t}_{2}, \ldots, \mathrm{v}_{\mathrm{n}} / \mathrm{t}_{\mathrm{n}}\right\}$ means to replace all occurrences of variable symbol $v_{i}$ by term $t_{i}$
- Substitutions are made in left-to-right order in the list
$-\operatorname{subst}(\{\mathrm{x} /$ IceCream, $\mathrm{y} /$ Ziggy $\}$, eats $(\mathrm{y}, \mathrm{x}))=$ eats(Ziggy, IceCream)


## Horn clauses

- A Horn clause is a sentence of the form: $(\forall \mathrm{x}) \mathrm{P}_{1}(\mathrm{x}) \wedge \mathrm{P}_{2}(\mathrm{x}) \wedge \ldots \wedge \mathrm{P}_{\mathrm{n}}(\mathrm{x}) \rightarrow \mathrm{Q}(\mathrm{x})$
where
$-\geq 0 \mathrm{P}_{\mathrm{S}}$ and 0 or 1 Q
- the $\mathrm{P}_{\mathrm{i}}$ and Q are positive (i.e., non-negated) literals
- Equivalently: $P_{I}(x) \vee P_{2}(x) \ldots \vee P_{n}(x)$ where the $P_{i}$ are all atomic and at most one of them is positive
- Prolog is based on Horn clauses
- Horn clauses represent a subset of the set of sentences representable in FOL


## Horn clauses II

- Special cases
-Typical rule: $\mathrm{P}_{1} \wedge \mathrm{P}_{2} \wedge \ldots \mathrm{P}_{\mathrm{n}} \rightarrow \mathrm{Q}$
- Constraint: $\mathrm{P}_{1} \wedge \mathrm{P}_{2} \wedge \ldots \mathrm{P}_{\mathrm{n}} \rightarrow$ false
$-A$ fact: true $\rightarrow \mathrm{Q}$
- These are not Horn clauses:
$-p(a) \vee q(a)$
$-(P \wedge Q) \rightarrow(R \vee S)$


## Forward chaining

- Proofs start with the given axioms/premises in KB, deriving new sentences using GMP until the goal/query sentence is derived
- This defines a forward-chaining inference procedure because it moves "forward" from the KB to the goal [eventually]
- Inference using GMP is sound and complete for KBs containing only Horn clauses


## Forward chaining algorithm

## 

 AddP䅠KF

 end

if premberes $=\| \mid$ then



s.nd

## Forward chaining example

- KB:
$-\operatorname{allergies}(\mathrm{X}) \rightarrow$ sneeze $(\mathrm{X})$
$-\operatorname{cat}(\mathrm{Y}) \wedge$ allergicToCats $(\mathrm{X}) \rightarrow$ allergies $(\mathrm{X})$
$-\operatorname{cat}(f e l i x)$
- allergicToCats(mary)
- Goal:
- sneeze(mary)


## Backward chaining

- Backward-chaining deduction using GMP is also complete for KBs containing only Horn clauses
- Proofs start with the goal query, find rules with that conclusion, and then prove each of the antecedents in the implication
- Keep going until you reach premises
- Avoid loops: check if new subgoal is already on the goal stack
- Avoid repeated work: check if new subgoal
- Has already been proved true
- Has already failed


## Backward chaining example




- KB:


```
    inputw KE-T kroulntes Firc
```




$q^{6}=$ Firstalifs


end


rnd

$-\operatorname{allergies}(\mathrm{X}) \rightarrow$ sneeze $(\mathrm{X})$
$-\operatorname{cat}(\mathrm{Y}) \wedge$ allergicToCats $(\mathrm{X}) \rightarrow \operatorname{allergies}(\mathrm{X})$

- cat(felix)
- allergicToCats(mary)
- Goal:
- sneeze(mary)


## Forward vs. backward chaining

- FC is data diven
-Automatic, unconscious processing
-E.g., object recognition, routine decisions
-May do lots of work that is irrelevant to the goal
-Efficient when you want to compute all conclusions
- BC is goat driven, better for problem solving
- Where are my keys? How do I get to my next class?
-Complexity of BC can be much less than linear in the size of the KB
-Efficient when you want one or a few decisions


## Mixed strategy

- Many practical reasoning systems do both forward and backward chaining
- The way you encode a rule determines how it is used, as in
$\%$ this is a forward chaining rule spouse $(X, Y)=>\operatorname{spouse}(Y, X)$.
$\%$ this is a backward chaining rule wife $(X, Y)<=\operatorname{spouse}(X, Y)$, female(X).
- Given a model of the rules you have and the kind of reason you need to do, it's possible to decide which to encode as FC and which as BC rules.


## Completeness of GMP

- GMP (using forward or backward chaining) is complete for KBs that contain only Horn clauses
- It is not complete for simple KBs that contain non-Horn clauses
- The following entail that $S(A)$ is true:

$$
\begin{aligned}
& \text { 1. }(\forall \mathrm{x}) \mathrm{P}(\mathrm{x}) \rightarrow \mathrm{Q}(\mathrm{x}) \\
& \text { 2. }(\forall \mathrm{x}) \neg \mathrm{P}(\mathrm{x}) \rightarrow \mathrm{R}(\mathrm{x}) \\
& \text { 3. }(\forall \mathrm{x}) \mathrm{Q}(\mathrm{x}) \rightarrow \mathrm{S}(\mathrm{x}) \\
& \text { 4. }(\forall \mathrm{x}) \mathrm{R}(\mathrm{x}) \rightarrow \mathrm{S}(\mathrm{x})
\end{aligned}
$$

- If we want to conclude $S(A)$, with GMP we cannot, since the second one is not a Horn clause


## How about in Prolog?

- Let's try encoding this in Prolog

| 1. $\mathrm{q}(\mathrm{X}):-\mathrm{p}(\mathrm{X})$. | 1. | $(\forall \mathrm{x}) \mathrm{P}(\mathrm{x}) \rightarrow \mathrm{Q}(\mathrm{x})$ |
| :--- | :--- | :--- |
| 2. $\mathrm{r}(\mathrm{X}):-\operatorname{neg}(\mathrm{p}(\mathrm{X}))$. | 2. | $(\forall \mathrm{x}) \neg \mathrm{P}(\mathrm{x}) \rightarrow \mathrm{R}(\mathrm{x})$ |
| 3. $\mathrm{s}(\mathrm{X}):-\mathrm{q}(\mathrm{X})$. | 3. | $(\forall \mathrm{x}) \mathrm{Q}(\mathrm{x}) \rightarrow \mathrm{S}(\mathrm{x})$ |
| 4. $\mathrm{s}(\mathrm{X}):-\mathrm{r}(\mathrm{X})$. | 4. | $(\forall \mathrm{x}) \mathrm{R}(\mathrm{x}) \rightarrow \mathrm{S}(\mathrm{x})$ |

- We should not use $\backslash+$ or not (in SWI) for negation since it means "negation as failure"
- Prolog explores possible proofs independently
- It can't ake a larger view and realize that one branch must be true, since $\mathbf{p}(\mathbf{x}) \vee \sim \mathbf{p}(\mathbf{x})$ is always true
- It is equivalent to $\mathrm{P}(\mathrm{x}) \vee \mathrm{R}(\mathrm{x})$


## Automating FOL Inference with Resolution

## Resolution

- Resolution is a sound and complete inference procedure for FOL
- Reminder: Resolution rule for propositional logic:
$-P_{1} \vee P_{2} \vee \ldots \vee P_{n}$
$-\neg \mathrm{P}_{1} \vee \mathrm{Q}_{2} \vee \ldots \vee \mathrm{Q}_{\mathrm{m}}$
- Resolvent: $P_{2} \vee \ldots \vee P_{n} \vee Q_{2} \vee \ldots \vee Q_{m}$


## Resolution covers many cases

- Modes Ponens
- from P and $\mathrm{P} \rightarrow \mathrm{Q}$ derive Q
- from P and $\neg \mathrm{P} \vee \mathrm{Q}$ derive Q
- Chaining
- from $\mathrm{P} \rightarrow \mathrm{Q}$ and $\mathrm{Q} \rightarrow \mathrm{R}$ derive $\mathrm{P} \rightarrow \mathrm{R}$
- from $(\neg \mathrm{P} \vee \mathrm{Q})$ and $(\neg \mathrm{Q} \vee \mathrm{R})$ derive $\neg \mathrm{P} \vee \mathrm{R}$
- Contradiction detection
- from $P$ and $\neg P$ derive false
- from P and $\neg \mathrm{P}$ derive the empty clause (=false)


## Resolution in first-order logic

- Given sentences in conjunctive normal form:
$-P_{1} \vee \ldots \vee P_{n}$ and $Q_{1} \vee \ldots \vee Q_{m}$
$-P_{i}$ and $Q_{i}$ are literals, i.e., positive or negated predicate symbol with its terms
- if $P_{j}$ and $\neg Q_{k}$ unify with substitution list $\theta$, then derive the resolvent sentence:
$\operatorname{subst}\left(\theta, P_{1} \vee \ldots \vee P_{j-1} \vee P_{j+1} \ldots P_{n} \vee Q_{1} \vee \ldots Q_{k-1} \vee Q_{k+1} \vee \ldots \vee Q_{m}\right)$
- Example
- from clause $\quad \mathbf{P}(\mathbf{x}, \mathbf{f}(\mathbf{a})) \vee \mathbf{P}(\mathbf{x}, \mathbf{f}(\mathbf{y})) \vee \mathbf{Q}(\mathbf{y})$
- and clause $\quad \neg \mathbf{P}(\mathbf{z}, \mathbf{f}(\mathbf{a})) \vee \neg \mathbf{Q}(\mathbf{z})$
- derive resolvent $\mathbf{P}(\mathbf{z}, \mathbf{f}(\mathbf{y})) \vee \mathbf{Q}(\mathbf{y}) \vee \neg \mathbf{Q}(\mathbf{z})$
- using $\quad \boldsymbol{\theta}=\{\mathbf{x} / \mathbf{z}\}$



## Resolution refutation

- Given a consistent set of axioms KB and goal sentence Q , show that $\mathrm{KB} \mid=\mathrm{Q}$
- Proof by contradiction: Add $\neg \mathrm{Q}$ to KB and try to prove false.
i.e., $(\mathrm{KB} \mid-\mathrm{Q}) \leftrightarrow(\mathrm{KB} \wedge \neg \mathrm{Q} \mid$ - False $)$
- Resolution is refutation complete: it can establish that a given sentence Q is entailed by KB , but can't (in general) be used to generate all logical consequences of a set of sentences
- Also, it cannot be used to prove that Q is not entailed by KB
- Resolution won't always give an answer since entailment is only semidecidable
- And you can't just run two proofs in parallel, one trying to prove Q and the other trying to prove $\neg \mathrm{Q}$, since KB might not entail either one


## Resolution example

- KB:
$-\operatorname{allergies}(\mathrm{X}) \rightarrow$ sneeze $(\mathrm{X})$
$-\operatorname{cat}(\mathrm{Y}) \wedge$ allergicToCats $(\mathrm{X}) \rightarrow$ allergies $(\mathrm{X})$
- cat(felix)
- allergicToCats(mary)
- Goal:
- sneeze(mary)


## Refutation resolution proof tree



## questions to be answered

- How to convert FOL sentences to conjunctive normal form (a.k.a. CNF, clause form): normalization and skolemization
- How to unify two argument lists, i.e., how to find their most general unifier (mgu) q: unification
- How to determine which two clauses in KB should be resolved next (among all resolvable pairs of clauses) : resolution (search) strategy


## Converting to CNF

## Converting sentences to CNF

1. Eliminate all $\leftrightarrow$ connectives
$(\mathrm{P} \leftrightarrow \mathrm{Q}) \Rightarrow\left((\mathrm{P} \rightarrow \mathrm{Q})^{\wedge}(\mathrm{Q} \rightarrow \mathrm{P})\right)$
2. Eliminate all $\rightarrow$ connectives

$$
(\mathrm{P} \rightarrow \mathrm{Q}) \Rightarrow(\neg \mathrm{P} \vee \mathrm{Q})
$$

3. Reduce the scope of each negation symbol to a single predicate

$$
\begin{aligned}
& \neg \neg \mathrm{P} \Rightarrow \mathrm{P} \\
& \neg(\mathrm{P} \vee \mathrm{Q}) \Rightarrow \neg \mathrm{P} \wedge \neg \mathrm{Q} \\
& \neg(\mathrm{P} \wedge \mathrm{Q}) \Rightarrow \neg \mathrm{P} \vee \neg \mathrm{Q} \\
& \neg(\forall \mathrm{x}) \mathrm{P} \Rightarrow(\exists \mathrm{x}) \neg \mathrm{P} \\
& \neg(\exists \mathrm{x}) \mathrm{P} \Rightarrow(\forall \mathrm{x}) \neg \mathrm{P}
\end{aligned}
$$

4. Standardize variables: rename all variables so that each quantifier has its own unique variable name

## Converting sentences to clausal form

 Skolem constants and functions5. Eliminate existential quantification by introducing Skolem constants/functions
$(\exists \mathrm{x}) \mathrm{P}(\mathrm{x}) \Rightarrow \mathrm{P}(\mathrm{C})$
C is a Skolem constant (a brand-new constant symbol that is not used in any other sentence)
$(\forall \mathrm{x})(\exists \mathrm{y}) \mathrm{P}(\mathrm{x}, \mathrm{y}) \Rightarrow(\forall \mathrm{x}) \mathrm{P}(\mathrm{x}, \mathrm{f}(\mathrm{x}))$
since $\exists$ is within the scope of a universally quantified variable, use a Skolem function $f$ to construct a new value that depends on the universally quantified variable
f must be a brand-new function name not occurring in any other sentence in the KB.
E.g., $(\forall \mathrm{x})(\exists \mathrm{y}) \operatorname{loves}(\mathrm{x}, \mathrm{y}) \Rightarrow(\forall \mathrm{x}) \operatorname{loves}(\mathrm{x}, \mathrm{f}(\mathrm{x}))$

In this case, $\mathrm{f}(\mathrm{x})$ specifies the person that x loves
a better name might be oneWhoIsLovedBy(x)

## Converting sentences to clausal form

6. Remove universal quantifiers by (1) moving them all to the left end; (2) making the scope of each the entire sentence; and (3) dropping the "prefix" part
Ex: $(\forall \mathrm{x}) \mathrm{P}(\mathrm{x}) \Rightarrow \mathrm{P}(\mathrm{x})$
7. Put into conjunctive normal form (conjunction of disjunctions) using distributive and associative laws
$(\mathrm{P} \wedge \mathrm{Q}) \vee \mathrm{R} \Rightarrow(\mathrm{P} \vee \mathrm{R}) \wedge(\mathrm{Q} \vee \mathrm{R})$
$(P \vee Q) \vee R \Rightarrow(P \vee Q \vee R)$
8. Split conjuncts into separate clauses
9. Standardize variables so each clause contains only variable names that do not occur in any other clause

## An example

$(\forall \mathbf{x})(\mathbf{P}(\mathbf{x}) \rightarrow((\forall \mathbf{y})(\mathbf{P}(\mathbf{y}) \rightarrow \mathbf{P}(\mathbf{f}(\mathbf{x}, \mathbf{y}))) \wedge \neg(\forall \mathbf{y})(\mathbf{Q}(\mathbf{x}, \mathbf{y}) \rightarrow \mathbf{P}(\mathbf{y}))))$
2. Eliminate $\rightarrow$
$(\forall \mathrm{x})(\neg \mathrm{P}(\mathrm{x}) \vee((\forall \mathrm{y})(\neg \mathrm{P}(\mathrm{y}) \vee \mathrm{P}(\mathrm{f}(\mathrm{x}, \mathrm{y}))) \wedge \neg(\forall \mathrm{y})(\neg \mathrm{Q}(\mathrm{x}, \mathrm{y}) \vee \mathrm{P}(\mathrm{y}))))$
3. Reduce scope of negation
$(\forall \mathrm{x})(\neg \mathrm{P}(\mathrm{x}) \vee((\forall \mathrm{y})(\neg \mathrm{P}(\mathrm{y}) \vee \mathrm{P}(\mathrm{f}(\mathrm{x}, \mathrm{y}))) \wedge(\exists \mathrm{y})(\mathrm{Q}(\mathrm{x}, \mathrm{y}) \wedge \neg \mathrm{P}(\mathrm{y}))))$
4. Standardize variables
$(\forall \mathrm{x})(\neg \mathrm{P}(\mathrm{x}) \vee((\forall \mathrm{y})(\neg \mathrm{P}(\mathrm{y}) \vee \mathrm{P}(\mathrm{f}(\mathrm{x}, \mathrm{y}))) \wedge(\exists \mathrm{z})(\mathrm{Q}(\mathrm{x}, \mathrm{z}) \wedge \neg \mathrm{P}(\mathrm{z}))))$
5. Eliminate existential quantification
$(\forall \mathrm{x})(\neg \mathrm{P}(\mathrm{x}) \vee((\forall \mathrm{y})(\neg \mathrm{P}(\mathrm{y}) \vee \mathrm{P}(\mathrm{f}(\mathrm{x}, \mathrm{y}))) \wedge(\mathrm{Q}(\mathrm{x}, \mathrm{g}(\mathrm{x})) \wedge \neg \mathrm{P}(\mathrm{g}(\mathrm{x})))))$
6. Drop universal quantification symbols
$(\neg \mathrm{P}(\mathrm{x}) \vee((\neg \mathrm{P}(\mathrm{y}) \vee \mathrm{P}(\mathrm{f}(\mathrm{x}, \mathrm{y}))) \wedge(\mathrm{Q}(\mathrm{x}, \mathrm{g}(\mathrm{x})) \wedge \neg \mathrm{P}(\mathrm{g}(\mathrm{x})))))$

## Example

7. Convert to conjunction of disjunctions
$(\neg \mathrm{P}(\mathrm{x}) \vee \neg \mathrm{P}(\mathrm{y}) \vee \mathrm{P}(\mathrm{f}(\mathrm{x}, \mathrm{y}))) \wedge(\neg \mathrm{P}(\mathrm{x}) \vee \mathrm{Q}(\mathrm{x}, \mathrm{g}(\mathrm{x}))) \wedge$

$$
(\neg \mathrm{P}(\mathrm{x}) \vee \neg \mathrm{P}(\mathrm{~g}(\mathrm{x})))
$$

8. Create separate clauses
$\neg \mathrm{P}(\mathrm{x}) \vee \neg \mathrm{P}(\mathrm{y}) \vee \mathrm{P}(\mathrm{f}(\mathrm{x}, \mathrm{y}))$

## Unification

$\neg \mathrm{P}(\mathrm{x}) \vee \mathrm{Q}(\mathrm{x}, \mathrm{g}(\mathrm{x}))$

$$
\neg \mathrm{P}(\mathrm{x}) \vee \neg \mathrm{P}(\mathrm{~g}(\mathrm{x}))
$$

9. Standardize variables
$\neg \mathrm{P}(\mathrm{x}) \vee \neg \mathrm{P}(\mathrm{y}) \vee \mathrm{P}(\mathrm{f}(\mathrm{x}, \mathrm{y}))$
$\neg \mathrm{P}(\mathrm{z}) \vee \mathrm{Q}(\mathrm{z}, \mathrm{g}(\mathrm{z}))$
$\neg \mathrm{P}(\mathrm{w}) \vee \neg \mathrm{P}(\mathrm{g}(\mathrm{w}))$

## Unification

- Unification is a "pattern-matching" procedure - Takes two atomic sentences, called literals, as input
- Returns "Failure" if they do not match and a substitution list, $\theta$, if they do
- That is, $\operatorname{unify}(p, q)=\theta$ means $\operatorname{subst}(\theta, p)=\operatorname{subst}(\theta, q)$ for two atomic sentences, $p$ and $q$
- $\boldsymbol{\theta}$ is called the most general unifier (mgu)
- All variables in the given two literals are implicitly universally quantified
- To make literals match, replace (universally quantified) variables by terms


## Unification algorithm

## procedure unify $(\mathrm{p}, \mathrm{q}, \theta)$

Scan p and q left-to-right and find the first corresponding terms where p and q "disagree" (i.e., p and q not equal) If there is no disagreement, return $\theta$ (success!)
Let r and s be the terms in p and q , respectively,
where disagreement first occurs
If variable(r) then \{
Let $\theta=\operatorname{union}(\theta,\{r / s\})$
Return unify $(\operatorname{subst}(\theta, p), \operatorname{subst}(\theta, q), \theta)$
$\}$ else if variable(s) then $\{$
Let $\theta=\operatorname{union}(\theta,\{\mathrm{s} / \mathrm{r}\})$
$\operatorname{Return} \operatorname{unify}(\operatorname{subst}(\theta, p), \operatorname{subst}(\theta, q), \theta)$
\} else return "Failure"
end

## Unification: Remarks

- Unify is a linear-time algorithm that returns the most general unifier (mgu), i.e., the shortest-length substitution list that makes the two literals match.
- In general, there is not a unique minimum-length substitution list, but unify returns one of minimum length
- A variable can never be replaced by a term containing that variable
Example: $\mathrm{xf}(\mathrm{f})$ is illegal.
- This "occurs check" should be done in the above pseudocode before making the recursive calls


## Unification examples

- Example:
- parents(x, father(x), mother(Bill))
- parents(Bill, father(Bill), y)
- \{x/Bill, y/mother(Bill) $\}$
- Example:
- parents(x, father(x), mother(Bill))
- parents(Bill, father(y), z)
- \{x/Bill, y/Bill, z/mother(Bill)\}
- Example:
- parents(x, father(x), mother(Jane))
- parents(Bill, father(y), mother(y))
- Failure


## Resolution example

## Practice example Did Curiosity kill the cat

- Jack owns a dog. Every dog owner is an animal lover. No animal lover kills an animal. Either Jack or Curiosity killed the cat, who is named Tuna. Did Curiosity kill the cat?
- These can be represented as follows:
A. $(\exists x) \operatorname{Dog}(x) \wedge$ Owns(Jack,x)
B. $(\forall \mathrm{x})((\exists \mathrm{y}) \operatorname{Dog}(\mathrm{y}) \wedge \operatorname{Owns}(\mathrm{x}, \mathrm{y})) \rightarrow$ AnimalLover $(\mathrm{x})$
C. $(\forall \mathrm{x})$ AnimalLover $(\mathrm{x}) \rightarrow((\forall \mathrm{y})$ Animal $(\mathrm{y}) \rightarrow \neg \operatorname{Kills}(\mathrm{x}, \mathrm{y}))$
D. Kills(Jack,Tuna) $\vee$ Kills(Curiosity,Tuna)
E. Cat(Tuna)
F. $(\forall \mathrm{x}) \operatorname{Cat}(\mathrm{x}) \rightarrow \operatorname{Animal}(\mathrm{x})$ GOAL
G. Kills(Curiosity, Tuna)


## The resolution refutation proof

## - Convert to clause form

A1. ( $\operatorname{Dog}(\mathrm{D})$ ) $\qquad$ D is a skolem constant

A2. (Owns(Jack,D))
B. ( $\neg \operatorname{Dog}(\mathrm{y}), \neg \mathrm{Owns}(\mathrm{x}, \mathrm{y})$, AnimalLover( x$))$
C. ( $\neg$ AnimalLover(a), $\neg$ Animal(b), $\neg$ Kills $(\mathrm{a}, \mathrm{b}))$
D. (Kills(Jack,Tuna), Kills(Curiosity,Tuna))
E. Cat(Tuna)
F. $(\neg \operatorname{Cat}(\mathrm{z}), \operatorname{Animal}(\mathrm{z}))$

- Add the negation of query:
$\neg$ G: $\neg$ Kills(Curiosity, Tuna)

| $\mathrm{R} 1: \neg \mathrm{G}, \mathrm{D},\{ \}$ | (Kills(Jack, Tuna)) |
| :---: | :---: |
| R2: R1, C, \{a/Jack, b/Tuna \} | (~AnimalLover(Jack), ~Animal(Tuna)) |
| R3: R2, B, \{x/Jack $\}$ | ( $\sim \operatorname{Dog}(\mathrm{y}), \sim$ Owns(Jack, y), $\sim$ Animal(Tuna)) |
| R4: R3, A1, $\{\mathrm{y} / \mathrm{D}\}$ | ( $\sim$ Owns(Jack, D), <br> ~Animal(Tuna)) |
| R5: R4, A2, \{\} | ( $\sim$ Animal(Tuna)) |
| R6: R5, F, \{z/Tuna \} | ( $\sim$ Cat(Tuna)) |
| R7: R6, E, \{\} | FALSE |

- The proof tree

R1: K(J,T) $\{\mathrm{a} / \mathrm{J}, \mathrm{b} / \mathrm{T}\}\}^{\mathrm{C}}$
R2: $\neg \mathrm{AL}(\mathrm{J}) \vee \neg \mathrm{A}(\mathrm{T})$
$\mathrm{R} 3: \neg \mathrm{D}(\mathrm{y}) \vee \neg \mathrm{O}(\mathrm{J}, \mathrm{y}) \vee \neg \mathrm{A}(\mathrm{T})$
\y/D\}
R4: $\neg \mathrm{O}(\mathrm{J}, \mathrm{D}), \neg \mathrm{A}(\mathrm{T})$



## Resolution TP as search

- Resolution can be thought of as the bottom-up construction of a search tree, where the leaves are the clauses produced by KB and the negation of the goal
- When a pair of clauses generates a new resolvent clause, add a new node to the tree with arcs directed from the resolvent to the two parent clauses
- Resolution succeeds when a node containing the False clause is produced, becoming the root node of the tree
- A strategy is complete if its use guarantees that the empty clause (i.e., false) can be derived whenever it is entailed


## Resolution search strategies

## Strategies

- There are a number of general (domain-independent) strategies that are useful in controlling a resolution theorem prover
- We'll briefly look at the following:
- Breadth-first
- Length heuristics
- Set of support
- Input resolution
- Subsumption
- Ordered resolution


## Example

1. $\neg$ Battery-OK $\vee \neg$ Bulbs-OK $\vee$ Headlights-Work
2. $\neg$ Battery-OK $\vee \neg$ Starter-OK $\vee$ Empty-Gas-Tank $\vee$ Engine-Starts
3. $\neg$ Engine-Starts $\vee$ Flat-Tire $\vee$ Car-OK
4. Headlights-Work

Battery-OK
6. Starter-OK
7. $\neg$ Empty-Gas-Tank
8. $\neg \mathrm{Car-OK}$
9. $\neg$ Flat-Tire $<$ negated goal

## Breadth-first search

- Level 0 clauses are the original axioms and the negation of the goal
- Level k clauses are the resolvents computed from two clauses, one of which must be from level $\mathrm{k}-1$ and the other from any earlier level
- Compute all possible level 1 clauses, then all possible level 2 clauses, etc.
- Complete, but very inefficient


## BFS example

1. $\neg$ Battery-OK $\vee \neg$ Bulbs-OK $\vee$ Headlights-Work
2. $\neg$ Battery-OK $\vee \neg$ Starter-OK $\vee$ Empty-Gas-Tank $\vee$ Engine-Starts
3. $\neg$ Engine-Starts $\vee$ Flat-Tire $\vee$ Car-OK
4. Headlights-Work
5. Battery-OK
6. Starter-OK
7. $\neg E m p t y-G a s-T a n k$
8. $\neg$ Car-OK
9. $\neg$ Battery-OK $\vee \neg$ Bulbs-OK
10. $\neg$ Battery-OK $\vee \neg$ Starter-OK $\vee$ Empty-Gas-Tank $\vee$ Flat-Tire $\vee$ Car-OK
11. $\neg$ Starter-OK $\vee$ Empty-Gas-Tank $\vee$ Engine-Starts
12. $\neg$ Battery-OK $\vee$ Empty-Gas-Tank $\vee$ Engine-Starts
13. $\neg$ Battery-OK $\neg$ Starter-OK $\vee$ Engine-Starts
14. ... [and we're still only at Level 1!]

- Shortest-clause heuristic:

Generate a clause with the fewest literals first

- Unit resolution:

Prefer resolution steps in which at least one parent clause is a "unit clause," i.e., a clause containing a single literal

## Length heuristics

- Not complete in general, but complete for Horn clause KBs


## Unit resolution example

1. $\neg$ Battery-OK $\vee \neg$ Bulbs-OK $\vee$ Headlights-Work
2. $\neg$ Battery-OK $\vee \neg$ Starter-OK $\vee$ Empty-Gas-Tank $\vee$ Engine-Starts
3. $\neg$ Engine-Starts $\vee$ Flat-Tire $\vee$ Car-OK
4. Headlights-Work
5. Battery-OK
6. Starter-OK
7. $\neg$ Empty-Gas-Tank
8. ᄀCar-OK
9. $\neg$ Flat-Tire
10. $\neg$ Bulbs-OK $\vee$ Headlights-Work
11. $\neg$ Starter-OK $\vee$ Empty-Gas-Tank $\vee$ Engine-Starts
12. $\neg$ Battery-OK $\vee$ Empty-Gas-Tank $\vee$ Engine-Starts
13. $\neg$ Battery-OK $\neg$ Starter-OK $\vee$ Engine-Starts
14. $\neg$ Engine-Starts $\vee$ Flat-Tire
15. $\neg$ Engine-Starts $\neg$ Car-OK
16. ... [this doesn't seem to be headed anywhere either!]

## Set of support

- At least one parent clause must be the negation of the goal or a "descendant" of such a goal clause (i.e., derived from a goal clause)
- (When there's a choice, take the most recent descendant)
- Complete (assuming all possible set-of-support clauses are derived)
- Gives a goal-directed character to the search


## Set of support example

## Unit resolution + set of support example

1. $\neg$ Battery-OK $\vee \neg$ Bulbs-OK $\vee$ Headlights-Work
2. $\neg$ Battery-OK $\vee \neg$ Starter-OK $\vee$ Empty-Gas-Tank $\vee$ Engine-Starts
3. $\neg$ Engine-Starts $\vee$ Flat-Tire $\vee$ Car-OK
4. Headlights-Work
5. Battery-OK
6. Starter-OK
7. $\neg E m p t y-G a s-T a n k$
8. $\neg$ Car-OK
9. $\neg$ Flat-Tire

9,3
Engine-Starts $\vee$ Car-OK
10,2
10,8 12. ᄀEngine-Starts
1,5 13. $\neg$ Starter-OK $\vee$ Empty-Gas-Tank $\vee$ Car-OK
11,6 14. $\neg$ Battery-OK $\vee$ Empty-Gas-Tank $\vee$ Car-OK
11,7 15. $\neg$ Battery-OK $\vee \neg$ Starter-OK $\vee$ Car-OK
16. ... [a bit more focused, but we still seem to be wandering]
$\neg$ Battery-OK $\vee \neg$ Bulbs-OK $\vee$ Headlights-Work
2. $\neg$ Battery-OK $\vee \neg$ Starter-OK $\vee$ Empty-Gas-Tank $\vee$ Engine-Starts
3. $\neg$ Engine-Starts $\vee$ Flat-Tire $\vee$ Car-OK
4. Headlights-Work
5. Battery-OK
6. Starter-OK
7. $\neg$ Empty-Gas-Tank
8. $\neg$ Car-OK
9. $\neg$ Flat-Tire

9,3 10. $\neg$ Engine-Starts $\vee$ Car-OK
10,8 11. $\neg$ Engine-Starts
12,2 12. $\neg$ Battery-OK $\vee \neg$ Starter-OK $\vee$ Empty-Gas-Tank
12,5 13. $\neg$ Starter-OK $\vee$ Empty-Gas-Tank
13,6 14. Empty-Gas-Tank
14,7 15. FALSE
[Hooray! Now that's more like it!]

## Simplification heuristics

- Subsumption:

Eliminate all sentences that are subsumed by (more specific than) an existing sentence to keep the KB small

- If $\mathrm{P}(\mathrm{x})$ is already in the KB , adding $\mathrm{P}(\mathrm{A})$ makes no sense $-\mathrm{P}(\mathrm{x})$ is a superset of $\mathrm{P}(\mathrm{A})$
- Likewise adding $\mathrm{P}(\mathrm{A}) \vee \mathrm{Q}(\mathrm{B})$ would add nothing to the KB
- Tautology:

Remove any clause containing two complementary literals (tautology)

- Pure symbol:

If a symbol always appears with the same "sign," remove all the clauses that contain it

## Example (Pure Symbol)

Battery-OK $\vee$-Starter-OK $\vee$ Empty-Gas-Tank $\vee$ Engine-Starts
$\neg$ Battery-OK $\vee \neg$ Starter-OK $\vee$ Empty
$\neg$ Engine-Starts $v$

Beattery-OK
Starter-OK
. $\neg$ Empty-Gas-Tank
8. $\neg$ Car-OK
9. $\neg$ Flat-Tire

## Input resolution

- At least one parent must be one of the input sentences (i.e., either a sentence in the original KB or the negation of the goal)
- Not complete in general, but complete for Horn clause KBs right)
- Linear resolution
- Extension of input resolution
- One of the parent sentences must be an input sentence or an ancestor of the other sentence
- Complete


## Ordered resolution

- Search for resolvable sentences in order (left to
- This is how Prolog operates
- Resolve the first element in the sentence first
- This forces the user to define what is important in generating the "code"
- The way the sentences are written controls the resolution


## Prolog

- A logic programming language based on Horn clauses
- Resolution refutation
- Control strategy: goal-directed and depth-first
- always start from the goal clause
- always use the new resolvent as one of the parent clauses for resolution
- backtracking when the current thread fails
- complete for Horn clause KB
- Support answer extraction (can request single or all answers)
- Orders the clauses and literals within a clause to resolve non-determinism
- $\mathrm{Q}(\mathrm{a})$ may match both $\mathrm{Q}(\mathrm{x})<=\mathrm{P}(\mathrm{x})$ and $\mathrm{Q}(\mathrm{y})<=\mathrm{R}(\mathrm{y})$
- A (sub)goal clause may contain more than one literals, i.e., $<=$ P1 (a), P2(a)
- Use "closed world" assumption (negation as failure)
- If it fails to derive $P(a)$, then assume $\sim P(a)$


## Summary

- Logical agents apply inference to a knowledge base to derive new information and make decisions
- Basic concepts of logic:
- Syntax: formal structure of sentences
- Semantics: truth of sentences wrt models
- Entailment: necessary truth of one sentence given another
- Inference: deriving sentences from other sentences
- Soundness: derivations produce only entailed sentences
- Completeness: derivations can produce all entailed sentences
- FC and BC are linear time, complete for Horn clauses
- Resolution is a sound and complete inference method for propositional and first-order logic

