

# First-Order Logic: Review

## First-order logic

- First-order logic (FOL) models the world in terms of
  - **Objects**, which are things with individual identities
  - **Properties** of objects that distinguish them from others
  - **Relations** that hold among sets of objects
  - **Functions**, which are a subset of relations where there is only one “value” for any given “input”
- Examples:
  - Objects: Students, lectures, companies, cars ...
  - Relations: Brother-of, bigger-than, outside, part-of, has-color, occurs-after, owns, visits, precedes, ...
  - Properties: blue, oval, even, large, ...
  - Functions: father-of, best-friend, second-half, more-than ...

## User provides

- **Constant symbols** representing individuals in the world
  - Mary, 3, green
- **Function symbols**, map individuals to individuals
  - father\_of(Mary) = John
  - color\_of(Sky) = Blue
- **Predicate symbols**, map individuals to truth values
  - greater(5,3)
  - green(Grass)
  - color(Grass, Green)

## FOL Provides

- **Variable symbols**
  - E.g., x, y, foo
- **Connectives**
  - Same as in propositional logic: not ( $\neg$ ), and ( $\wedge$ ), or ( $\vee$ ), implies ( $\rightarrow$ ), iff ( $\leftrightarrow$ )
- **Quantifiers**
  - Universal  $\forall x$  or (**Ax**)
  - Existential  $\exists x$  or (**Ex**)

### Sentences: built from terms and atoms

- A **term** (denoting a real-world individual) is a constant symbol, variable symbol, or n-place function of n terms.
- Examples of terms:
  - Constants: john, umbc
  - Variables: x, y, z
  - Functions: mother\_of(john), phone(mother(x))
- Ground terms have no variables in them
  - A term with no variables is a **ground term**, i.e., john, father\_of(father\_of(john))

### Sentences: built from terms and atoms

- An **atomic sentence** (which has value true or false) is an n-place predicate of n terms, e.g.:
  - green(Kermit)
  - between(Philadelphia, Baltimore, DC)
  - loves(X, mother(X))
- A **complex sentence** is formed from atomic sentences connected by the logical connectives:
  - $\neg P$ ,  $P \vee Q$ ,  $P \wedge Q$ ,  $P \rightarrow Q$ ,  $P \leftrightarrow Q$  where P and Q are sentences

### Sentences: built from terms and atoms

- A **quantified sentence** adds quantifiers  $\forall$  and  $\exists$ 
  - $\forall x$  loves(x, mother(x))
  - $\exists x$  number(x)  $\wedge$  greater(x, 100), prime(x)
- A **well-formed formula (wff)** is a sentence containing no “free” variables. That is, all variables are “bound” by either a universal or existential quantifiers.
  - ( $\forall x$ )P(x,y) has x bound as a universally quantified variable, but y is free

### A BNF for FOL

```
S := <Sentence> ;
<Sentence> := <AtomicSentence> |
    <Sentence> <Connective> <Sentence> |
    <Quantifier> <Variable>, ... <Sentence> |
    "NOT" <Sentence> |
    "(" <Sentence> ")";
<AtomicSentence> := <Predicate> "(" <Term>, ... ")" |
    <Term> "=" <Term>;
<Term> := <Function> "(" <Term>, ... ")" |
    <Constant> |
    <Variable>;
<Connective> := "AND" | "OR" | "IMPLIES" | "EQUIVALENT";
<Quantifier> := "EXISTS" | "FORALL" ;
<Constant> := "A" | "X1" | "John" | ... ;
<Variable> := "a" | "x" | "s" | ... ;
<Predicate> := "Before" | "HasColor" | "Raining" | ... ;
<Function> := "Mother" | "LeftLegOf" | ... ;
```

## Quantifiers

- **Universal quantification**

- $(\forall x)P(x)$  means P holds for **all** values of x in domain associated with variable

- E.g.,  $(\forall x) \text{dolphin}(x) \rightarrow \text{mammal}(x)$

- **Existential quantification**

- $(\exists x)P(x)$  means P holds for **some** value of x in domain associated with variable

- E.g.,  $(\exists x) \text{mammal}(x) \wedge \text{lays\_eggs}(x)$

- Permits one to make a statement about some object without naming it

## Quantifiers

- Universal quantifiers often used with *implies* to form *rules*:  
 $(\forall x) \text{student}(x) \rightarrow \text{smart}(x)$  means “All students are smart”

- Universal quantification is *rarely* used to make blanket statements about every individual in the world:

- $(\forall x) \text{student}(x) \wedge \text{smart}(x)$  means “Everyone in the world is a student and is smart”

- Existential quantifiers are usually used with “and” to specify a list of properties about an individual:

- $(\exists x) \text{student}(x) \wedge \text{smart}(x)$  means “There is a student who is smart”

- Common mistake: represent this EN sentence in FOL as:

- $(\exists x) \text{student}(x) \rightarrow \text{smart}(x)$

- What does this sentence mean?

## Quantifier Scope

- FOL sentences have structure, like programs

- In particular, the variables in a sentence have a scope

- For example, suppose we want to say

- “everyone who is alive loves someone”

- $(\forall x) \text{alive}(x) \rightarrow (\exists y) \text{loves}(x,y)$

- Here’s how we scope the variables

$(\forall x) \text{alive}(x) \rightarrow (\exists y) \text{loves}(x,y)$

— Scope of x  
— Scope of y

## Quantifier Scope

- **Switching order of universal quantifiers *does not* change the meaning**

- $(\forall x)(\forall y)P(x,y) \leftrightarrow (\forall y)(\forall x)P(x,y)$

- “Dogs hate cats” (i.e., “all dogs hate all cats”)

- **You can switch order of existential quantifiers**

- $(\exists x)(\exists y)P(x,y) \leftrightarrow (\exists y)(\exists x)P(x,y)$

- “A cat killed a dog”

- **Switching order of universals and existentials *does* change meaning:**

- Everyone likes someone:  $(\forall x)(\exists y) \text{likes}(x,y)$

- Someone is liked by everyone:  $(\exists y)(\forall x) \text{likes}(x,y)$

## Connections between All and Exists

- We can relate sentences involving  $\forall$  and  $\exists$  using extensions to [De Morgan's laws](#):
  1.  $(\forall x) \neg P(x) \leftrightarrow \neg(\exists x) P(x)$
  2.  $\neg(\forall x) P \leftrightarrow (\exists x) \neg P(x)$
  3.  $(\forall x) P(x) \leftrightarrow \neg(\exists x) \neg P(x)$
  4.  $(\exists x) P(x) \leftrightarrow \neg(\forall x) \neg P(x)$
- Examples
  1. All dogs don't like cats  $\leftrightarrow$  No dogs like cats
  2. Not all dogs dance  $\leftrightarrow$  There is a dog that doesn't dance
  3. All dogs sleep  $\leftrightarrow$  There is no dog that doesn't sleep
  4. There is a dog that talks  $\leftrightarrow$  Not all dogs can't talk

## Quantified inference rules

- Universal instantiation  
 $\neg \forall x P(x) \therefore P(A)$  # where  $A$  is some constant
- Universal generalization  
 $\neg P(A) \wedge P(B) \dots \therefore \forall x P(x)$  # if  $AB\dots$  enumerate all # individuals
- Existential instantiation ←Skolem\* constant  $F$   
 $F$  must be a "new" constant not appearing in the KB  
 $\neg \exists x P(x) \therefore P(F)$
- Existential generalization  
 $\neg P(A) \therefore \exists x P(x)$

\* After [Thoralf Skolem](#)

## Universal instantiation (a.k.a. universal elimination)

- If  $(\forall x) P(x)$  is true, then  $P(C)$  is true, where  $C$  is *any* constant in the domain of  $x$ , e.g.:  
 $(\forall x) \text{eats}(\text{John}, x) \Rightarrow$   
 $\text{eats}(\text{John}, \text{Cheese18})$
- Note that function applied to ground terms is also a constant  
 $(\forall x) \text{eats}(\text{John}, x) \Rightarrow$   
 $\text{eats}(\text{John}, \text{contents}(\text{Box42}))$

## Existential instantiation (a.k.a. existential elimination)

- From  $(\exists x) P(x)$  infer  $P(c)$ , e.g.:  
 $\neg (\exists x) \text{eats}(\text{Mikey}, x) \rightarrow \text{eats}(\text{Mikey}, \text{Stuff345})$
- The variable is replaced by a **brand-new constant** not occurring in this or any sentence in the KB
- Also known as skolemization; constant is a **skolem constant**
- We don't want to accidentally draw other inferences about it by introducing the constant
- Can use this to reason about unknown objects, rather than constantly manipulating existential quantifiers

### Existential generalization (a.k.a. existential introduction)

- If  $P(c)$  is true, then  $(\exists x) P(x)$  is inferred, e.g.:  
 $Eats(Mickey, Cheese18) \Rightarrow (\exists x) eats(Mickey, x)$
- All instances of the given constant symbol are replaced by the new variable symbol
- Note that the variable symbol cannot already exist anywhere in the expression

### Translating English to FOL

**Every gardener likes the sun**

$$\forall x \text{ gardener}(x) \rightarrow \text{likes}(x, \text{Sun})$$

**You can fool some of the people all of the time**

$$\exists x \forall t \text{ person}(x) \wedge \text{time}(t) \rightarrow \text{can-fool}(x, t)$$

**You can fool all of the people some of the time**

$$\exists t \text{ time}(t) \wedge \forall x \text{ person}(x) \rightarrow \text{can-fool}(x, t)$$

$$\forall x \text{ person}(x) \rightarrow \exists t \text{ time}(t) \wedge \text{can-fool}(x, t)$$

Note 2 possible readings of NL sentence

**All purple mushrooms are poisonous**

$$\forall x (\text{mushroom}(x) \wedge \text{purple}(x)) \rightarrow \text{poisonous}(x)$$

### Translating English to FOL

**No purple mushroom is poisonous** (two ways)

$$\neg \exists x \text{ purple}(x) \wedge \text{mushroom}(x) \wedge \text{poisonous}(x)$$

$$\forall x (\text{mushroom}(x) \wedge \text{purple}(x)) \rightarrow \neg \text{poisonous}(x)$$

**There are exactly two purple mushrooms**

$$\begin{aligned} &\exists x \exists y \text{ mushroom}(x) \wedge \text{purple}(x) \wedge \text{mushroom}(y) \wedge \text{purple}(y) \\ &\wedge \neg(x=y) \wedge \forall z (\text{mushroom}(z) \wedge \text{purple}(z)) \\ &\rightarrow ((x=z) \vee (y=z)) \end{aligned}$$

**Obama is not short**

$$\neg \text{short}(\text{Obama})$$

### Logic and People



*"Logic—the last refuge of a scoundrel."*

- People can easily be confused by logic
- And are often suspicious of it, or give it too much weight

## Monty Python example (Russell & Norvig)



**FIRST VILLAGER:** We have found a witch. May we burn her?  
**ALL:** A witch! Burn her!  
**BEDEVERE:** Why do you think she is a witch?  
**SECOND VILLAGER:** She turned *me* into a newt.  
**B:** A newt?  
**V2 (after looking at himself for some time):** I got better.  
**ALL:** Burn her anyway.  
**B:** Quiet! Quiet! There are ways of telling whether she is a witch.

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**B:** Tell me... what do you do with witches?  
**ALL:** Burn them!  
**B:** And what do you burn, apart from witches?  
**V4:** ...wood?  
**B:** So **why do witches burn?**  
**V2 (pianissimo):** **because they're made of wood?**  
**B:** Good.  
**ALL:** I see. Yes, of course.

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**B:** So how can we tell if she is made of wood?

**V1:** Make a bridge out of her.

**B:** Ah... but can you not also make bridges out of stone?

**ALL:** Yes, of course... um... er...

**B:** Does wood sink in water?

**ALL:** No, no, it floats. Throw her in the pond.

**B:** Wait. Wait... tell me, what also floats on water?

**ALL:** Bread? No, no no. Apples... gravy... very small rocks...

**B:** No, no, no,



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**KING ARTHUR:** A duck!

*(They all turn and look at Arthur. Bedevere looks up, very impressed.)*

**B:** Exactly. So... logically...

**V1 (beginning to pick up the thread):** **If she... weighs the same as a duck... she's made of wood.**

**B:** And therefore?

**ALL:** **A witch!**

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### Fallacy: Affirming the conclusion

$\forall x \text{ witch}(x) \rightarrow \text{burns}(x)$

$\forall x \text{ wood}(x) \rightarrow \text{burns}(x)$

-----  
 $\therefore \forall z \text{ witch}(z) \rightarrow \text{wood}(z)$

$p \rightarrow q$

$r \rightarrow q$

-----  
 $p \rightarrow r$



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### Monty Python Near-Fallacy #2

$\text{wood}(x) \rightarrow \text{can-build-bridge}(x)$

-----  
 $\therefore \text{can-build-bridge}(x) \rightarrow \text{wood}(x)$

- B: Ah... but can you not also make bridges out of stone?

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### Monty Python Fallacy #3

$\forall x \text{ wood}(x) \rightarrow \text{floats}(x)$

$\forall x \text{ duck-weight}(x) \rightarrow \text{floats}(x)$

-----  
 $\therefore \forall x \text{ duck-weight}(x) \rightarrow \text{wood}(x)$

$p \rightarrow q$

$r \rightarrow q$

-----  
 $\therefore r \rightarrow p$

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### Monty Python Fallacy #4

$\forall z \text{ light}(z) \rightarrow \text{wood}(z)$

$\text{light}(W)$

-----  
 $\therefore \text{wood}(W)$                     % ok.....

$\text{witch}(W) \rightarrow \text{wood}(W)$     % applying universal instan.  
   % to fallacious conclusion #1

$\text{wood}(W)$

-----  
 $\therefore \text{witch}(z)$

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### Example: A simple genealogy KB by FOL

- **Build a small genealogy knowledge base using FOL that**
  - contains facts of immediate family relations (spouses, parents, etc.)
  - contains definitions of more complex relations (ancestors, relatives)
  - is able to answer queries about relationships between people
- **Predicates:**
  - parent(x, y), child(x, y), father(x, y), daughter(x, y), etc.
  - spouse(x, y), husband(x, y), wife(x, y)
  - ancestor(x, y), descendant(x, y)
  - male(x), female(y)
  - relative(x, y)
- **Facts:**
  - husband(Joe, Mary), son(Fred, Joe)
  - spouse(John, Nancy), male(John), son(Mark, Nancy)
  - father(Jack, Nancy), daughter(Linda, Jack)
  - daughter(Liz, Linda)
  - etc.

### • Rules for genealogical relations

- $(\forall x, y) \text{ parent}(x, y) \leftrightarrow \text{child}(y, x)$
- $(\forall x, y) \text{ father}(x, y) \leftrightarrow \text{parent}(x, y) \wedge \text{male}(x)$  ;similarly for *mother(x, y)*
- $(\forall x, y) \text{ daughter}(x, y) \leftrightarrow \text{child}(x, y) \wedge \text{female}(x)$  ;similarly for *son(x, y)*
- $(\forall x, y) \text{ husband}(x, y) \leftrightarrow \text{spouse}(x, y) \wedge \text{male}(x)$  ;similarly for *wife(x, y)*
- $(\forall x, y) \text{ spouse}(x, y) \leftrightarrow \text{spouse}(y, x)$  ;*spouse relation is symmetric*
- $(\forall x, y) \text{ parent}(x, y) \rightarrow \text{ancestor}(x, y)$
- $(\forall x, y)(\exists z) \text{ parent}(x, z) \wedge \text{ancestor}(z, y) \rightarrow \text{ancestor}(x, y)$
- $(\forall x, y) \text{ descendant}(x, y) \leftrightarrow \text{ancestor}(y, x)$
- $(\forall x, y)(\exists z) \text{ ancestor}(z, x) \wedge \text{ancestor}(z, y) \rightarrow \text{relative}(x, y)$   
;related by common ancestry
- $(\forall x, y) \text{ spouse}(x, y) \rightarrow \text{relative}(x, y)$  ;related by marriage
- $(\forall x, y)(\exists z) \text{ relative}(z, x) \wedge \text{relative}(z, y) \rightarrow \text{relative}(x, y)$  ;*transitive*
- $(\forall x, y) \text{ relative}(x, y) \leftrightarrow \text{relative}(y, x)$  ;*symmetric*
- **Queries**
  - ancestor(Jack, Fred) ; *the answer is yes*
  - relative(Liz, Joe) ; *the answer is yes*
  - relative(Nancy, Matthew) ;*no answer, no under closed world assumption*
  - $(\exists z) \text{ ancestor}(z, \text{Fred}) \wedge \text{ancestor}(z, \text{Liz})$

### Axioms for Set Theory in FOL

- The only sets are the empty set and those made by adjoining something to a set:  
 $\forall s \text{ set}(s) \leftrightarrow (s = \text{EmptySet}) \vee (\exists x, r \text{ Set}(r) \wedge s = \text{Adjoin}(s, r))$
- The empty set has no elements adjoined to it:  
 $\sim \exists x, s \text{ Adjoin}(x, s) = \text{EmptySet}$
- Adjoining an element already in the set has no effect:  
 $\forall x, s \text{ Member}(x, s) \leftrightarrow s = \text{Adjoin}(x, s)$
- The only members of a set are the elements that were adjoined into it:  
 $\forall x, s \text{ Member}(x, s) \leftrightarrow \exists y, r (s = \text{Adjoin}(y, r) \wedge (x = y \vee \text{Member}(x, r)))$
- A set is a subset of another iff all of the 1st set's members are members of the 2nd:  
 $\forall s, r \text{ Subset}(s, r) \leftrightarrow (\forall x \text{ Member}(x, s) \Rightarrow \text{Member}(x, r))$
- Two sets are equal iff each is a subset of the other:  
 $\forall s, r (s = r) \leftrightarrow (\text{subset}(s, r) \wedge \text{subset}(r, s))$
- Intersection  
 $\forall x, s1, s2 \text{ member}(X, \text{intersection}(S1, S2)) \leftrightarrow \text{member}(X, s1) \wedge \text{member}(X, s2)$
- Union  
 $\exists x, s1, s2 \text{ member}(X, \text{union}(s1, s2)) \leftrightarrow \text{member}(X, s1) \vee \text{member}(X, s2)$

### Semantics of FOL

- **Domain M:** the set of all objects in the world (of interest)
- **Interpretation I:** includes
  - Assign each constant to an object in M
  - Define each function of n arguments as a mapping  $M^n \Rightarrow M$
  - Define each predicate of n arguments as a mapping  $M^n \Rightarrow \{T, F\}$
  - Therefore, every ground predicate with any instantiation will have a truth value
  - In general there's an infinite number of interpretations because |M| is infinite
- **Define logical connectives:**  $\sim, \wedge, \vee, \Rightarrow, \Leftrightarrow$  as in PL
- **Define semantics of  $(\forall x)$  and  $(\exists x)$** 
  - $(\forall x) P(x)$  is true iff P(x) is true under all interpretations
  - $(\exists x) P(x)$  is true iff P(x) is true under some interpretation



- **Model:** an interpretation of a set of sentences such that every sentence is *True*
- **A sentence is**
  - **satisfiable** if it is true under some interpretation
  - **valid** if it is true under all possible interpretations
  - **inconsistent** if there does not exist any interpretation under which the sentence is true
- **Logical consequence:**  $S \models X$  if all models of  $S$  are also models of  $X$

## Axioms, definitions and theorems

- **Axioms** are facts and rules that attempt to capture all of the (important) facts and concepts about a domain; axioms can be used to prove **theorems**
  - Mathematicians don't want any unnecessary (dependent) axioms, i.e. ones that can be derived from other axioms
  - Dependent axioms can make reasoning faster, however
  - Choosing a good set of axioms for a domain is a design problem
- A **definition** of a predicate is of the form " $p(X) \leftrightarrow \dots$ " and can be decomposed into two parts
  - **Necessary** description: " $p(x) \rightarrow \dots$ "
  - **Sufficient** description " $p(x) \leftarrow \dots$ "
  - Some concepts don't have complete definitions (e.g.,  $\text{person}(x)$ )

## More on definitions

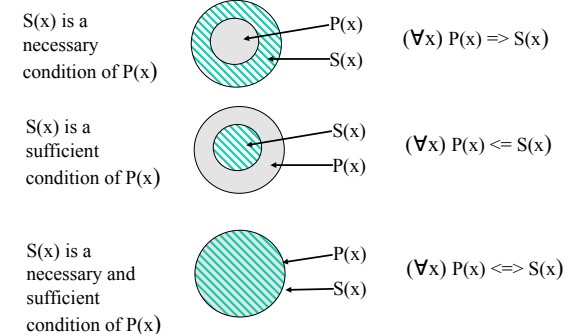
Example: define  $\text{father}(x, y)$  by  $\text{parent}(x, y)$  and  $\text{male}(x)$

- **$\text{parent}(x, y)$**  is a necessary (but not sufficient) description of  $\text{father}(x, y)$ 

$$\text{father}(x, y) \rightarrow \text{parent}(x, y)$$
- **$\text{parent}(x, y) \wedge \text{male}(x) \wedge \text{age}(x, 35)$**  is a sufficient (but not necessary) description of  $\text{father}(x, y)$ :
 
$$\text{father}(x, y) \leftarrow \text{parent}(x, y) \wedge \text{male}(x) \wedge \text{age}(x, 35)$$
- **$\text{parent}(x, y) \wedge \text{male}(x)$**  is a necessary and sufficient description of  $\text{father}(x, y)$ 

$$\text{parent}(x, y) \wedge \text{male}(x) \leftrightarrow \text{father}(x, y)$$

## More on definitions



## Higher-order logic

- FOL only allows to quantify over variables, and variables can only range over objects.
- HOL allows us to quantify over relations
- Example: (quantify over functions)
  - “two functions are equal iff they produce the same value for all arguments”
  - $\forall f \forall g (f = g) \leftrightarrow (\forall x f(x) = g(x))$
- Example: (quantify over predicates)
  - $\forall r \text{ transitive}(r) \rightarrow (\forall xyz) r(x,y) \wedge r(y,z) \rightarrow r(x,z)$
- More expressive, but undecidable, in general

## Expressing uniqueness



- We often want to say that there is a single, unique object that satisfies a certain condition
- There exists a unique  $x$  such that  $\text{king}(x)$  is true
  - $\exists x \text{ king}(x) \wedge \forall y (\text{king}(y) \rightarrow x=y)$
  - $\exists x \text{ king}(x) \wedge \neg \exists y (\text{king}(y) \wedge x \neq y)$
  - $\exists! x \text{ king}(x)$
- “Every country has exactly one ruler”
  - $\forall c \text{ country}(c) \rightarrow \exists! r \text{ ruler}(c,r)$
- Iota operator:  $\iota x P(x)$  means “the unique  $x$  such that  $p(x)$  is true”
  - “The unique ruler of Freedonia is dead”
  - $\text{dead}(\iota x \text{ ruler}(\text{freedonia},x))$

## Notational differences

- **Different symbols** for *and*, *or*, *not*, *implies*, ...
  - $\forall \exists \Rightarrow \Leftrightarrow \wedge \vee \neg \bullet \supset$
  - $p \vee (q \wedge r)$
  - $p + (q * r)$
- **Prolog**
  - $\text{cat}(X) :- \text{furry}(X), \text{meows}(X), \text{has}(X, \text{claws})$
- **Lispy notations**
  - (forall ?x (implies (and (furry ?x)
  - (meows ?x)
  - (has ?x claws))
  - (cat ?x)))

## FOL Summary

- First order logic (FOL) introduces predicates, functions and quantifiers
- More expressive, but reasoning is more complex
  - Reasoning in propositional logic is NP hard, FOL is semi-decidable
- A common AI knowledge representation language
  - Other KR languages (e.g., [OWL](#)) are often defined by mapping them to FOL
- FOL variables range over objects
  - HOL variables can range over functions, predicates or sentences